EXHIBIT 3 REDACTED VERSION OF DOCUMENT SOUGHT TO BE SEALED

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1
         IN THE UNITED STATES DISTRICT COURT FOR THE
               NORTHERN DISTRICT OF CALIFORNIA
 2
 3
                   SAN FRANCISCO DIVISION
 4
5
     WAYMO, LLC,
                                )
6
               Plaintiffs,
 7
               - vs -
                               ) Case No.
     UBER TECHNOLOGIES, INC., ) 3:17-cv-00939
8
9
     OTTOMOTTO LLC; OTTO
10
     TRUCKING, LLC,
11
               Defendants.
12
13
14
15
          VIDEOTAPED DEPOSITION OF JOHN BARES,
16
     a witness, called by the Plaintiff for examination,
     in accordance with the Federal Rules of Civil
17
     Procedure, taken by and before Tammie Elias, RPR and
18
19
     Notary Public in and for the Commonwealth of
     Pennsylvania, at the office of Reed Smith, 225 Fifth
20
21
     Avenue, Suite 1200, Pittsburgh, Pennsylvania, on
22
     Friday, June 16, 2017, commencing at 9:05 a.m.
23
     JOB No. 2640097
24
25
     PAGES 1 - 317
                                                   Page 1
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| 1 | Q. | You have discussed Lidar with Anthony | 09:49a |
|----|-------|---|---------|
| 2 | | Levandowski; correct? | 09:49a |
| 3 | Α. | Yes. | 09:49a |
| 4 | Q. | Many times? | 09:49a |
| 5 | Α. | A fair number of times. | 09:49a |
| 6 | Q. | Roughly how many times have you had | 09:49a |
| 7 | | discussions with Mr. Levandowski related to | 09:49a |
| 8 | | Lidar? | 09:49a |
| 9 | Α. | 10 to 15. | 09:49a |
| 10 | Q. | You have had phone calls with Mr. Levandowski | 09:49a |
| 11 | | about Lidar? | 09:49a |
| 12 | Α. | Uh-huh. | 09:49a |
| 13 | Q. | You have talked to him in person about Lidar? | 09:49a |
| 14 | Α. | Yes. | 09:49a |
| 15 | Q. | Now, you participated in jams with him about | 09:49a |
| 16 | | Lidar? | 09:49a |
| 17 | | MR. BRILLE: Objection, form. | 09:50a |
| 18 | Α. | Not really, no. | 09:50a |
| 19 | BY MI | R. JUDAH: | 09:50a |
| 20 | Q. | What is a jam in the context of Uber's | 09:50a |
| 21 | | business? | 09:50a |
| 22 | Α. | Putting a set of people related to a topic in | 09:50a |
| 23 | | a room and working very hard on that problem | 09:50a |
| 24 | | with sort of no time bound to try to get to a | 09:50a |
| 25 | | solution. | 09:50a |
| | | | Page 37 |
| | | | |

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| 1 | Q. | How many jams have you participated in with | 09:50a |
|----|------|--|---------|
| 2 | | Mr. Levandowski? | 09:50a |
| 3 | Α. | One that I know of. | 09:50a |
| 4 | Q. | To the best of your recollection, you have | 09:50a |
| 5 | | only participated in one jam with | 09:50a |
| 6 | | Mr. Levandowski? | 09:50a |
| 7 | Α. | Uh-huh, yes. | 09:50a |
| 8 | Q. | To be clear, that's not just a one-on-one, | 09:50a |
| 9 | | that would include any jam that both you and | 09:50a |
| 10 | | he had attended or participated in? | 09:50a |
| 11 | Α. | Well, the one-on-one wouldn't constitute a jam | 09:50a |
| 12 | | in my view. The only one that I'm aware of. | 09:50a |
| 13 | Q. | Have you ever participated in a technical dive | 09:50a |
| 14 | | with Mr. Levandowski? | 09:51a |
| 15 | | MR. BRILLE: Objection, form. | 09:51a |
| 16 | Α. | I guess I yes. Fair to say yes. | 09:51a |
| 17 | BY M | R. JUDAH: | 09:51a |
| 18 | Q. | How many technical dives have you participated | 09:51a |
| 19 | | in with Mr. Levandowski? | 09:51a |
| 20 | Α. | Well, developing the laser requirements that | 09:51a |
| 21 | | we talked about earlier, that milestones | 09:51a |
| 22 | | requirements document he and I had, those 10 | 09:51a |
| 23 | | or 15 discussions. I don't professionally | 09:51a |
| 24 | | I'm not sure if any of those constitute a | 09:51a |
| 25 | | dive, but they were a detailed technical | 09:51a |
| | | | Page 38 |

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| 1 | | discussion that expanded half an hour to an | 09:51a |
|----|------|--|---------|
| 2 | | hour maybe. | 09:51a |
| 3 | Q. | Is your testimony that other than those | 09:51a |
| 4 | | discussions with Mr. Levandowski specific to | 09:51a |
| 5 | | the milestones, you have never had any | 09:51a |
| 6 | | discussions with Mr. Levandowski in a letter? | 09:51a |
| 7 | | MR. BRILLE: Objection, form. | 09:51a |
| 8 | Α. | I have never had, correct, yes, I have never | 09:51a |
| 9 | | had discussions with him about the design or | 09:52a |
| 10 | | requirements of Lidar documents Lidar | 09:52a |
| 11 | | devices subsequent to that period, that string | 09:52a |
| 12 | | of discussions. | 09:52a |
| 13 | BY M | R. JUDAH: | 09:52a |
| 14 | Q. | Other than those 10 to 15 discussions about | 09:52a |
| 15 | | the milestones in connection with the is it | 09:52a |
| 16 | | fair to say it was in connection with a term | 09:52a |
| 17 | | sheet, is that what the milestones were in | 09:52a |
| 18 | | relation to? | 09:52a |
| 19 | Α. | Yeah, uh-huh. | 09:52a |
| 20 | Q. | So other than those 10 to 15 discussions with | 09:52a |
| 21 | | Mr. Levandowski about the milestones in | 09:52a |
| 22 | | connection with the term sheet, you have never | 09:52a |
| 23 | | discussed lasers with Mr. Levandowski? | 09:52a |
| 24 | Α. | Well, are we talking about the design of laser | 09:52a |
| 25 | | devices or laser datas that might be used to | 09:52a |
| | | | Page 39 |

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| 1 | | detect a car or something? | 09:52a |
|----|----|--|---------|
| 2 | Q. | I'm asking anything related to Lidar or | 09:52a |
| 3 | | lasers? | 09:53a |
| 4 | А. | Okay, then yes, I have talked to him | 09:53a |
| 5 | | subsequent to that. | 09:53a |
| 6 | Q. | How many times have you had discussions with | 09:53a |
| 7 | | Mr. Levandowski related to lasers or Lidar | 09:53a |
| 8 | | other than those 10 to 15 milestone | 09:53a |
| 9 | | discussions? | 09:53a |
| 10 | Α. | With regard to use of laser data in software, | 09:53a |
| 11 | | dozens, probably 30. | 09:53a |
| 12 | Q. | You have discussed Lidar with Mr. Levandowski | 09:53a |
| 13 | | in person? | 09:53a |
| 14 | Α. | Uh-huh. | 09:53a |
| 15 | Q. | You have discussed it with him over the phone? | 09:53a |
| 16 | A. | Yes. | 09:53a |
| 17 | Q. | Have you e-mailed with Mr. Levandowski about | 09:53a |
| 18 | | Lidar? | 09:53a |
| 19 | Α. | Occasionally not several times perhaps, not | 09:53a |
| 20 | | much. | 09:53a |
| 21 | Q. | Have you ever texted with Mr. Levandowski | 09:53a |
| 22 | | about Lidar? | 09:54a |
| 23 | A. | Is this subsequent to that milestone period or | 09:54a |
| 24 | | | 09:54a |
| 25 | Q. | Let's start | 09:54a |
| | | | Page 40 |
| | | | |

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| 1 | A. | Yes, we were. | 11:35a |
|----|----|--|---------|
| 2 | Q. | And who was working on that effort? | 11:35a |
| 3 | A. | So we started that effort around July of 2015 | 11:35a |
| 4 | | and that would have been led by Scott Boehmke, | 11:35a |
| 5 | | with two other key people would have been Jim | 11:35a |
| 6 | | Gasbarro and Rob Doll, D-O-L-L. | 11:35a |
| 7 | Q. | Are you familiar with different ranges of | 11:35a |
| 8 | | Lidar? | 11:35a |
| 9 | A. | What do you mean by range? | 11:35a |
| 10 | Q. | Mid range, long range, short range, is that a | 11:35a |
| 11 | | term that you have ever used? | 11:35a |
| 12 | A. | I have. Not at that time. | 11:35a |
| 13 | Q. | When did you start using terms like mid range | 11:35a |
| 14 | | or long range Lidar? | 11:35a |
| 15 | A. | More in discussions with Anthony Levandowski | 11:35a |
| 16 | | in January of 2016 where we were to start to | 11:35a |
| 17 | | talk about how we were separating up the | 11:36a |
| 18 | | problem space. | 11:36a |
| 19 | Q. | So focusing on the time period before you | 11:36a |
| 20 | | started discussing the problem space with | 11:36a |
| 21 | | Anthony Levandowski, how was Uber approaching | 11:36a |
| 22 | | the Lidar issue with respect to range? | 11:36a |
| 23 | | MR. BRILLE: Objection to form. | 11:36a |
| 24 | A. | It's not a clear question. How were we | 11:36a |
| 25 | | MR. BRILLE: If you don't understand | 11:36a |
| | | | Page 99 |

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| 1 | | prepared. So I thought maybe I'll be able to | 03:09p |
|----|----|--|----------|
| 2 | | meet with him and I want to have my thoughts | 03:09p |
| 3 | | straight in case I do. | 03:09p |
| 4 | Q. | Do you have any recollection as to who told | 03:09p |
| 5 | | you that you may have the opportunity to meet | 03:09p |
| 6 | | Mr. Levandowski in San Francisco on that trip? | 03:09p |
| 7 | Α. | I might have asked Brian McClendon hey, is it | 03:09p |
| 8 | | possible, I'm coming out anyway for a | 03:10p |
| 9 | | different meeting. I had another good | 03:10p |
| 10 | | another solid reason to be there, so I might | 03:10p |
| 11 | | have asked Brian, hey, is it possible I can | 03:10p |
| 12 | | get some time with Anthony. I recall Brian | 03:10p |
| 13 | | was trying to not be connected to Anthony, so | 03:10p |
| 14 | | I might have asked hey, can I talk to him | 03:10p |
| 15 | | because I had no reasons to not talk to him. | 03:10p |
| 16 | Q. | Do you have any recollection as to how those | 03:10p |
| 17 | | communications that Mr. McClendon would have | 03:10p |
| 18 | | taken place, would they have been over the | 03:10p |
| 19 | | phone, would they have been over e-mail? | 03:10p |
| 20 | А. | Probably over the phone. | 03:10p |
| 21 | Q. | So | 03:10p |
| 22 | Α. | I talk to him weekly, he was my boss, so | 03:10p |
| 23 | Q. | So directing your attention then to this first | 03:10p |
| 24 | | section, the part that you said were notes | 03:10p |
| 25 | | sort of in anticipation of possibly speaking | 03:10p |
| | | | Page 212 |
| | | | |

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| 1 | | still go off and build their product. | 05:14p |
|----|----|--|----------|
| 2 | Q. | So | 05:14p |
| 3 | A. | And this is them saying we're not in | 05:14p |
| 4 | | agreement, they didn't want to do that | 05:14p |
| 5 | | evidently or something. | 05:14p |
| 6 | Q. | So skipping down to the February 26th call, | 05:14p |
| 7 | | there's the bullet current thinking, do you | 05:14p |
| 8 | | see that? | 05:14p |
| 9 | A. | Yes. | 05:14p |
| 10 | Q. | Current thinking for MS number one for mid and | 05:14p |
| 11 | | long range (dates)? | 05:14p |
| 12 | A. | Yes. | 05:14p |
| 13 | Q. | What does MS refer to? | 05:14p |
| 14 | A. | Milestone. | 05:14p |
| 15 | Q. | So this refers to the milestone? | 05:14p |
| 16 | A. | Yes, the milestones. And these first eight or | 05:14p |
| 17 | | ten bullets, those are my notes to myself | 05:14p |
| 18 | | going into the meeting, questions for him. | 05:14p |
| 19 | | And then the meeting starts where it says IP | 05:15p |
| 20 | | infringement. | 05:15p |
| 21 | Q. | And so there's a bullet here IP infringement, | 05:15p |
| 22 | | do you see that? | 05:15p |
| 23 | A. | Uh-huh. | 05:15p |
| 24 | Q. | We're to provide a list of patents that we are | 05:15p |
| 25 | | worried about. Did you work on assembling | 05:15p |
| | | | Page 293 |

1 COMMONWEALTH OF PENNSYLVANIA) CERTIFICATE 2. COUNTY OF INDIANA SS: 3 I, Tammie Elias, RPR and Notary Public in and for the Commonwealth of Pennsylvania, do hereby 4 certify that the witness, JOHN BARES, was by me 5 first duly sworn to testify to the truth; that the 6 7 foregoing deposition was taken at the time and place 8 stated herein; and that the said deposition was recorded stenographically by me and then reduced to 9 printing under my direction, and constitutes a true 10 11 record of the testimony given by said witness. 12 I further certify that the inspection, reading 13 and signing of said deposition were NOT waived by 14 counsel for the respective parties and by the 15 witness. 16 I further certify that I am not a relative or employee of any of the parties, or a relative or 17 18 employee of either counsel, and that I am in no way 19 interested directly or indirectly in this action. IN WITNESS WHEREOF, I have hereunto set my 2.0 hand and affixed my seal of office this 19th day of 21 June, 2017. 22 23 24 <%signature%> 25 Notary Public Page 317

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1
                 UNITED STATES DISTRICT COURT
 2
                NORTHERN DISTRICT OF CALIFORNIA
                    SAN FRANCISCO DIVISION
 3
 4
 5
      WAYMO LLC,
                     Plaintiff,
 6
 7
                                    ) Case No.
              VS.
 8
      UBER TECHNOLOGIES, INC., ) 3:17-cv-00939-WHA
 9
      OTTOMOTTO LLC; OTTO
                                     )
      TRUCKING LLC,
10
                     Defendants.
11
12
          HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY
13
14
15
            VIDEOTAPED DEPOSITION OF SCOTT BOEHMKE
                   San Francisco, California
16
17
                    Monday, April 17, 2017
                           Volume I
18
19
20
     Reported by:
21
     SUZANNE F. GUDELJ, CSR No. 5111
22
23
     Job No. 2596382
24
    PAGES 1 - 79
25
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| 1 | Q Okay. So you started talking with Mr. | |
|----|--|----------|
| 2 | Levandowski about LiDAR sensors for Uber's | |
| 3 | self-driving cars in April of 2016, correct? | |
| 4 | A Yes. | |
| 5 | Q And you were interested in talking to him | 02:14:52 |
| 6 | because he was going to provide custom LiDAR | |
| 7 | technology for Uber, right? | |
| 8 | MR. KIM: Objection. Vague. | |
| 9 | THE WITNESS: Could you be more specific? | |
| 10 | MR. JAFFE: So Mr. Kim, I don't know if | 02:15:06 |
| 11 | you've read Judge Alsup's standing order recently, | |
| 12 | but he has very specific guidance about the type of | |
| 13 | objections, and so at this point, I would just | |
| 14 | suggest to you that what you're doing is a little | |
| 15 | bit farther out of bounds than what has been done in | 02:15:23 |
| 16 | this case so far. But | |
| 17 | MR. KIM: So I'll | |
| 18 | MR. JAFFE: So putting that aside | |
| 19 | MR. KIM: I've read Judge Alsup's standing | |
| 20 | order, and I disagree with that characterization. | 02:15:33 |
| 21 | My objection was just as to the improper form of the | |
| 22 | question. It's also consistent with the objections | |
| 23 | we made in depositions taken by yourself and | |
| 24 | Mr. Perlson for both Mr. Levandowski and also others | |
| 25 | in this case. | 02:15:52 |
| | | Page 12 |

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| 1 | BY MR. JAFFE: | |
|----|--|----------|
| 2 | Q Mr. Boehmke, you were interested in talking | |
| 3 | with Mr. Levandowski because he was going to provide | |
| 4 | Uber with custom LiDAR technology, right? | |
| 5 | A He was going to provide a sensor for our | 02:16:06 |
| 6 | cars. | |
| 7 | Q What kind of a sensor? | |
| 8 | A A laser sensor LiDAR sensor. | |
| 9 | Q A custom LiDAR sensor? | |
| 10 | A Correct. | 02:16:17 |
| 11 | Q So again, you'd agree with me, then, that | |
| 12 | you were interested in talking with Mr. Levandowski | |
| 13 | because he was going to provide a custom LiDAR | |
| 14 | solution for Uber, right? | |
| 15 | A Yes. | 02:16:27 |
| 16 | Q And this was three months, or actually | |
| 17 | February, March, two and a half to three months | |
| 18 | since he left at the time Google, right? | |
| 19 | MR. KIM: Objection to the extent it calls | |
| 20 | for speculation. | 02:16:37 |
| 21 | THE WITNESS: I don't know when he left | |
| 22 | Google. | |
| 23 | BY MR. JAFFE: | |
| 24 | Q Did you ask him? | |
| 25 | A No. | 02:16:41 |
| | | Page 13 |

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| 1 | Q Were you surprised that Mr. Levandowski and | |
|----|--|----------|
| 2 | his company could develop a custom LiDAR solution in | |
| 3 | two and a half months? | |
| 4 | A He wasn't developing it in two and a half | |
| 5 | months. | 02:16:54 |
| 6 | Q Can you explain? | |
| 7 | A You just said it was two and a half months | |
| 8 | after he left Google. | |
| 9 | Q Mm-hmm. | |
| 10 | A He wasn't coming to me with a sensor. | 02:17:00 |
| 11 | Q What was he coming to you with? | |
| 12 | A He was coming to me asking what we wanted. | |
| 13 | Q And what did you want? | |
| 14 | A I wanted a sensor that could meet a set of | |
| 15 | criteria so that we could drive our cars. | 02:17:12 |
| 16 | Q And did he say that he could implement | |
| 17 | that? | |
| 18 | A At the time, the discussions were more | |
| 19 | configuration. I was explaining to him what we were | |
| 20 | looking for. There were discussions later with | 02:17:28 |
| 21 | others where I elaborated further on our approach. | |
| 22 | So in April did he say he could do it? No. | |
| 23 | Q So your testimony is that you and Anthony | |
| 24 | started speaking, and you communicated requirements | |
| 25 | to him in kind of a vendor relationship; is that | 02:17:46 |
| | | Page 14 |

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| 1 | right? | | |
|----|----------|---|----------|
| 2 | A | Yes. | |
| 3 | Q | He didn't come to you with any sort of | |
| 4 | technol | ogy proposal; is that right? | |
| 5 | A | Yes. | 02:17:54 |
| 6 | Q | Okay. So you communicated these | |
| 7 | require | ments to Mr. Levandowski. What happened | |
| 8 | next? | | |
| 9 | A | So I met with him on in the end of | |
| 10 | April. | I went out to the Otto facility in the | 02:18:07 |
| 11 | beginniı | ng of May and furthered discussions with | |
| 12 | others a | at Otto. | |
| 13 | Q | Who were these others? | |
| 14 | A | Most primarily Daniel Gruver. | |
| 15 | Q | Anyone else? | 02:18:23 |
| 16 | A | There were a number of other minor players | |
| 17 | that I v | was introduced to. Didn't have as many | |
| 18 | technica | al conversations with them. | |
| 19 | Q | What are their names? | |
| 20 | A | There was a Rattner. The names escape me. | 02:18:34 |
| 21 | Q | So the only two people that you can | |
| 22 | remembe | r speaking with at Otto were Mr. Levandowski, | |
| 23 | Mr. Gru | ver and a Mr. Rattner; is that right? | |
| 24 | A | And there was a Nancy who let me in the | |
| 25 | door. | | 02:18:55 |
| | | | Page 15 |

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| 1 | BY MR. JA | AFFE: | |
|----|-----------|--|----------|
| 2 | Q | It was? | |
| 3 | А | Yes. It just happened to be cut in half | |
| 4 | among two | o sensors. | |
| 5 | Q | Okay. And your your testimony is that | 02:40:42 |
| 6 | you came | up with that design for use with | |
| 7 | the | , right? | |
| 8 | A | Yes. | |
| 9 | Q | Okay. When did you come up with that? | |
| 10 | А | Over the period from December to March | 02:40:53 |
| 11 | of Dec | cember 2015 to March of 2016. | |
| 12 | | MR. JAFFE: Let's mark as Exhibit 53 a | |
| 13 | document | Bates labeled UBER 495. | |
| 14 | | (Deposition Exhibit 53 marked by the court | |
| 15 | | reporter.) | 02:42:02 |
| 16 | BY MR. J | AFFE: | |
| 17 | Q | Mr. Boehmke, can you please turn to the | |
| 18 | last ema: | il in this thread here? | |
| 19 | A | Last meaning the most recent, the oldest? | |
| 20 | Q | The oldest | 02:42:12 |
| 21 | A | Okay. | |
| 22 | Q | in time. You see there's an email from | |
| 23 | Mr. Leva | ndowski to yourself dated June 9, 2016? | |
| 24 | A | Yep. | |
| 25 | Q | And do you see he asks you to prepare a | 02:42:22 |
| | | | Page 34 |

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| 1 | list of angles for the beams under two different | |
|----|--|----------|
| 2 | scenarios. Do you see that? | |
| 3 | A Yes. | |
| 4 | Q One would be the | |
| | that's A. Do you see that? | 02:42:35 |
| 6 | A Yes. | |
| 7 | Q So that corresponds to kind of the | |
| 8 | architecture, right? | |
| 9 | A The | |
| | but yes. | 02:42:44 |
| 11 | Q And then the second one, B, is you have | |
| | | |
| | | |
| | Do you see that? | |
| 15 | A Yes. | 02:42:57 |
| 16 | Q So this was Mr. Levandowski directing you | |
| 17 | on how to prepare the right? | |
| 18 | MR. KIM: Objection. Mischaracterizes | |
| 19 | evidence. | |
| 20 | THE WITNESS: This was a configuration that | 02:43:06 |
| 21 | I had talked with the guys out West about ahead of | |
| 22 | time, and he was asking for an assignment. | |
| 23 | BY MR. JAFFE: | |
| 24 | Q Where is the evidence that you you spoke | |
| 25 | with Mr. Levandowski about this configuration before | 02:43:19 |
| | | Page 35 |

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| 1 | he sent you this email? | |
|----|---|----------|
| 2 | A I'm not saying I spoke with him. I'm | |
| 3 | saying I spoke with these guys. They're cc'd on the | |
| 4 | mail. | |
| 5 | Q Fair. Where is the evidence that you spoke | 02:43:29 |
| 6 | with anyone about this particular beam spacing | |
| 7 | design before Mr. Levandowski asked you to prepare | |
| 8 | it here in June 9th, 2016? | |
| 9 | A I do not have that with me. | |
| 10 | Q Are you aware of it at all? | 02:43:45 |
| 11 | A I can't I can't point to anything right | |
| 12 | now. I would need to go look. | |
| 13 | Q Okay. So sitting here today, you're not | |
| 14 | aware of any evidence that supports that you were | |
| 15 | that you came up with this | 02:44:00 |
| 16 | before Mr. Levandowski directed you to do so in | |
| 17 | June 9th, 2016; fair? | |
| 18 | A No, wait. You're saying that he was | |
| 19 | telling me to make , and | |
| 20 | that was the first I heard of this? | 02:44:13 |
| 21 | Q No, sorry. | |
| 22 | A I'm not sure what you're saying for | |
| 23 | evidence. | |
| 24 | Q Sorry. I'll come back to that. | |
| 25 | Moving forward here in time, there's a long | 02:44:28 |
| | | Page 36 |

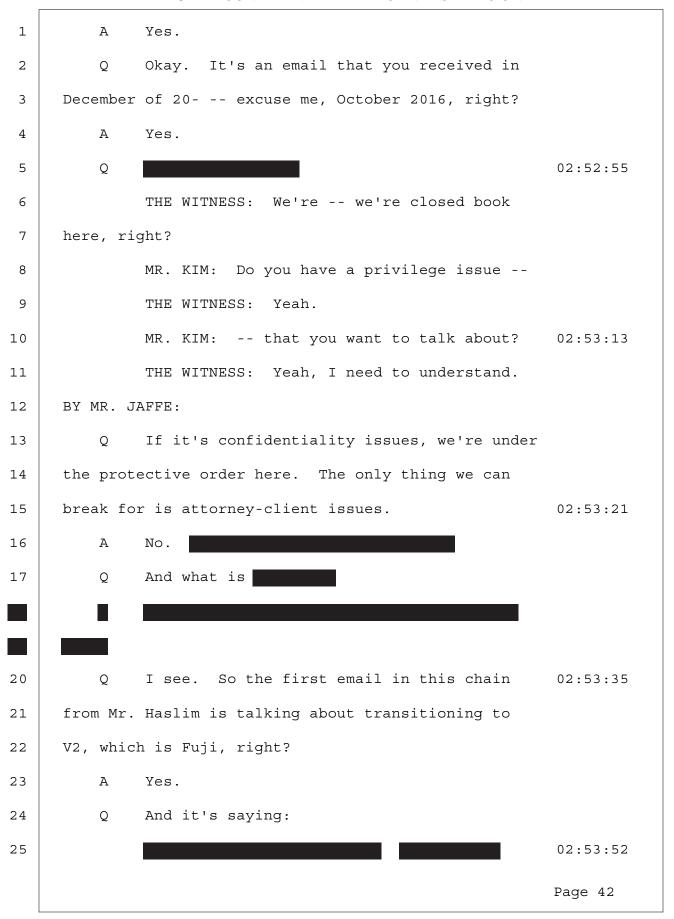
Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 19 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

| 1 | | |
|----|--|----------|
| 2 | MR. KIM: Objection. Vague. | |
| 3 | THE WITNESS: | |
| | | |
| 5 | BY MR. JAFFE: | 02:50:00 |
| 6 | Q Right. | |
| | | |
| | right? | |
| 9 | A Yes. | |
| 10 | Q Okay. | 02:50:07 |
| 11 | MR. JAFFE: This is going to be | |
| 12 | Exhibit 53 excuse me, 54, UBER 00008493. | |
| 13 | (Deposition Exhibit 54 marked by the court | |
| 14 | reporter.) | |
| 15 | BY MR. JAFFE: | 02:50:56 |
| 16 | Q Mr. Boehmke, do you recognize the email I | |
| 17 | placed in front of you as Exhibit 54? | |
| 18 | A Yes. | |
| 19 | Q It's an email that you wrote, right? | |
| 20 | A Yes. | 02:51:05 |
| 21 | Q In June 2016? | |
| 22 | A Yes. | |
| 23 | Q And it's to Mr. Levandowski, Mr. Meyhofer, | |
| 24 | Mr. Rice and James Haslim, right? | |
| 25 | A Yes. | 02:51:17 |
| | | Page 40 |

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| 1 | Q | And then you say first line is, quote: | |
|----|----------|---|----------|
| 2 | | "James, FYI, today we discussed the | |
| 3 | para | meters driving our beam spacing." | |
| 4 | | Do you see that? | |
| 5 | А | Mm-hmm. | 02:51:24 |
| 6 | Q | Who did you discuss "the parameters driving | |
| 7 | our beam | spacing with"? | |
| 8 | А | I don't recall. | |
| 9 | Q | Did you discuss them with Mr. Levandowski? | |
| 10 | А | I said I don't recall. | 02:51:38 |
| 11 | Q | Do you think that's a reasonable assumption | |
| 12 | from loo | king at this email where he's on the "to" | |
| 13 | line? | | |
| 14 | | MR. KIM: Objection. Calls for | |
| 15 | speculat | ion. | 02:52:04 |
| 16 | | THE WITNESS: Yeah, I I don't recall. | |
| 17 | BY MR. J | AFFE: | |
| 18 | Q | All right. You can put that aside. | |
| 19 | | MR. JAFFE: This is going to be Exhibit 55. | |
| 20 | It's UBE | R 8592. | 02:52:23 |
| 21 | | (Deposition Exhibit 55 marked by the court | |
| 22 | | reporter.) | |
| 23 | BY MR. J | AFFE: | |
| 24 | Q | Mr. Boehmke, have you seen Exhibit 55 | |
| 25 | before? | | 02:52:40 |
| | | | Page 41 |

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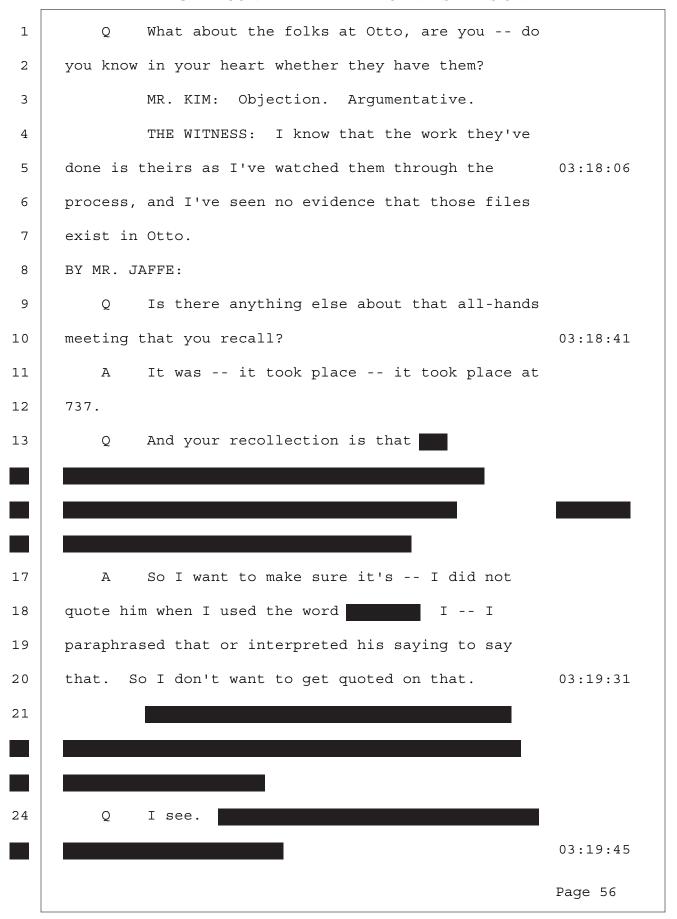
Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 22 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

| 1 | | |
|----|---|--------------|
| 2 | Right? | |
| 3 | A That's what it says. | |
| 4 | Q So Mr. Haslim is proposing transitionin | g to |
| 5 | this Fuji project in October on October 26th, | 02:54:04 |
| 6 | 2016, right? | |
| 7 | A Yes. | |
| 8 | Q So you hadn't really started transition | ing |
| 9 | to Fuji before this email, right? | |
| 10 | A This email was days after his meeting w | ith 02:54:16 |
| 11 | us in Pittsburgh, yes. | |
| 12 | Q When you say "us," who are you referrin | g |
| 13 | to? | |
| 14 | A The meetings with Eric and myself. | |
| 15 | Q And your testimony is that Mr. Levandow | ski 02:54:27 |
| 16 | was not at that meeting, right? | |
| 17 | A No. | |
| 18 | Q He didn't come into that meeting at all | ? |
| 19 | A No. | |
| 20 | Q Okay. Now, later in his email he talks | 02:54:36 |
| 21 | about an optical cavity. Do you see that? | |
| 22 | A Mm-hmm. | |
| 23 | Q And it talks about the transmit element | s |
| 24 | and I'm paraphrasing here are | |
| | | 02:54:50 |
| | | Page 43 |

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| 1 | А | I don't know. I don't recall. | |
|----|----------|---|----------|
| 2 | Q | You don't deny that he said that, right? | |
| 3 | А | I I don't recall that part of the | |
| 4 | conversa | tion. | |
| 5 | Q | Okay. What else do you recall him saying? | 03:16:57 |
| 6 | А | | |
| | | | |
| | | | |
| | | | |
| | | | 03:17:21 |
| 11 | А | I don't recall him saying any of that. | |
| 12 | Q | He didn't address those allegations, right? | |
| 13 | А | I don't recall that, no. | |
| 14 | Q | And have you ever followed up to ask him: | |
| 15 | Hey, wha | t happened? | 03:17:31 |
| 16 | А | No. | |
| 17 | Q | Why not? | |
| 18 | А | There's no opportunity to do that. And I | |
| 19 | know in | my heart that we haven't used any of that | |
| 20 | stuff if | it does exist. | 03:17:41 |
| 21 | Q | So you know in your heart that Mr. | |
| 22 | Levandow | ski doesn't have any Waymo files? | |
| 23 | А | I did not say that. I said I don't have | |
| 24 | any of t | hose files. My coworkers don't have any of | |
| 25 | those fi | les. | 03:17:53 |
| | | | Page 55 |

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Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 25 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

| 1 | I, the undersigned, a Certified Shorthand |
|----|--|
| 2 | Reporter of the State of California, do hereby |
| 3 | certify: |
| 4 | That the foregoing proceedings were taken |
| 5 | before me at the time and place herein set forth; |
| 6 | that any witnesses in the foregoing proceedings, |
| 7 | prior to testifying, were duly sworn; that a record |
| 8 | of the proceedings was made by me using machine |
| 9 | shorthand which was thereafter transcribed under my |
| 10 | direction; that the foregoing transcript is a true |
| 11 | record of the testimony given. |
| 12 | Further, that if the foregoing pertains to |
| 13 | the original transcript of a deposition in a Federal |
| 14 | Case, before completion of the proceedings, review |
| 15 | of the transcript [] was [X] was not requested. |
| 16 | I further, certify I am neither financially |
| 17 | interested in the action nor a relative or employee |
| 18 | of any attorney or party to this action. |
| 19 | IN WITNESS WHEREOF, I have this date |
| 20 | subscribed my name. |
| 21 | Dated: 4/18/2017 |
| 22 | |
| 23 | Surpine J. Gudelj. |
| 24 | SUZANNE F. GUDELJ |
| 25 | CSR No. 5111 |
| | Page 79 |

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UNITED STATES DISTRICT COURT

FOR THE NORTHERN DISTRICT OF CALIFORNIA

WAYMO, LLC,

Plaintiff,

vs.

No. 3:17-CV-00939

UBER TECHNOLOGIES; INC.;

OTTOMOTTO, LLC; and OTTO

TRUCKING, LLC,

Defendants.
)

HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

Continued Videotaped Deposition of SCOTT BOEHMKE,

Volume II, taken at 435 Sixth Avenue, Pittsburgh,

Pennsylvania 15222, commencing at 9:01 a.m.

Friday, July 28, 2017, before Rebecca L. Schnur,

Notary Public in and for the Commonwealth of

Pennsylvania.

JOB No. 2665736

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| | | Page 293 |
|----|--|----------|
| 1 | I'll represent to you that this is a log that | 14:59:40 |
| 2 | was produced by Uber in response to a court order in | 14:59:43 |
| 3 | this case. That order directed Uber to disclose all | 14:59:47 |
| 4 | oral and written communications between Anthony | 14:59:51 |
| 5 | Levandowski and any employee of Uber involving lidar. | 14:59:53 |
| 6 | A. I'm familiar with that request. | 14:59:59 |
| 7 | Q. And I want to walk through some of the | 15:00:02 |
| 8 | entries that involve you. | 15:00:05 |
| 9 | If you turn forward to entry number 145 | 15:00:09 |
| 10 | A. Okay. | 15:00:19 |
| 11 | Q we see an April 26, 2016 entry at | 15:00:19 |
| 12 | 12:51 p.m., a text message from Anthony Levandowski to | 15:00:25 |
| 13 | Scott Boehmke? | 15:00:28 |
| 14 | A. Yes. | 15:00:31 |
| 15 | Q. Do you believe that this was the first | 15:00:32 |
| 16 | communication between yourself and Mr. Levandowski? | 15:00:34 |
| 17 | A. They would fit the right time frame. That | 15:00:40 |
| 18 | makes sense. | 15:00:42 |
| 19 | Q. And do you remember what this text message | 15:00:43 |
| 20 | concerned? | 15:00:47 |
| 21 | A. I recall trying to get in communication with | 15:00:51 |
| 22 | him. This led to us sitting down in a conference room | 15:00:55 |
| 23 | in doing some brainstorming. At the time we were | 15:01:01 |
| 24 | talking about fiber lasers. And I, after that meeting, | 15:01:05 |
| 25 | sent him some simulation results I had done in | 15:01:08 |
| | | |

| | | | Page 294 |
|----|----------------|--|----------|
| 1 | December. | | 15:01:14 |
| 2 | Q. If | you look forward to the next page, entries | 15:01:14 |
| 3 | 154 through 1 | 56, the "Subjects Discussed" state, | 15:01:17 |
| 4 | "whiteboard p | photo from 4/27/16 conversation regarding | 15:01:23 |
| 5 | use of fiber | laser for scanning"? | 15:01:28 |
| 6 | A. Yep |) . | 15:01:32 |
| 7 | Q. Is | that the meeting that you just referenced? | 15:01:32 |
| 8 | A. Tha | t's correct. | 15:01:35 |
| 9 | Q. And | l this meeting took place in Pittsburgh at | 15:01:35 |
| 10 | ATC's location | on? | 15:01:37 |
| 11 | A. Tha | t's correct. | 15:01:39 |
| 12 | Q. And | d it says, "whiteboard photo." Was there a | 15:01:39 |
| 13 | photo taken o | of a whiteboard? | 15:01:42 |
| 14 | A. Yes | 5 . | 15:01:44 |
| 15 | Q. Doe | es that photo still exist? | 15:01:45 |
| 16 | A. Yes | 5 . | 15:01:48 |
| 17 | Q. Do | you have custody of that photo? | 15:01:50 |
| 18 | A. Yes | 5. | 15:01:53 |
| 19 | MR. | SCHMIDT: Counsel, if that photo hasn't | 15:01:55 |
| 20 | been pro | duced, we ask that you produce it, please. | 15:01:57 |
| 21 | MR. | KIM: I think it has been produced. | 15:01:59 |
| 22 | Dic | you pick up the documents from reception? | 15:02:01 |
| 23 | MR. | SCHMIDT: I did. | 15:02:03 |
| 24 | MR. | KIM: Okay. Are there photos and texts? | 15:02:04 |
| 25 | MR. | SCHMIDT: There are text messages. I do | 15:02:08 |
| | | | |

| | | Page 295 |
|----|--|----------|
| 1 | not see any photos. | 15:02:10 |
| 2 | And I'll note for the record that these text | 15:02:16 |
| 3 | messages were produced after business hours last | 15:02:18 |
| 4 | night East Coast time. So I have attempted my | 15:02:21 |
| 5 | best to review them during the breaks, but we will | 15:02:27 |
| 6 | reserve all rights with respect to this witness | 15:02:29 |
| 7 | due to late production. | 15:02:33 |
| 8 | And I ask, if the photo hasn't been produced, | 15:02:36 |
| 9 | it be produced. | 15:02:38 |
| 10 | MR. KIM: I'm look into it. But I note your | 15:02:40 |
| 11 | request. | 15:02:42 |
| 12 | BY MR. SCHMIDT: | 15:02:42 |
| 13 | Q. If you look down on entries 162 through | 15:02:46 |
| 14 | 164 | 15:02:49 |
| 15 | A. Okay. | 15:02:52 |
| 16 | Q it looks like additional text messages and | 15:02:52 |
| 17 | e-mails. And on 164, specifically, it looks like Dan | 15:02:55 |
| 18 | Gruver was looped into the communication? | 15:03:00 |
| 19 | A. Yes. | 15:03:03 |
| 20 | Q. Do you remember Mr. Gruver being brought into | 15:03:04 |
| 21 | the conversation? | 15:03:07 |
| 22 | A. I was heading out West for the meeting on the | 15:03:08 |
| 23 | 5th, I believe, and so I was communicating with Dan. | 15:03:10 |
| 24 | Q. So these communications involved your visit | 15:03:13 |
| 25 | out to Otto, which was reflected in that document we | 15:03:16 |
| | | |

| | | Page 296 |
|----|---|----------|
| 1 | reviewed earlier, Exhibit 452. Correct? | 15:03:20 |
| 2 | A. I won't dig up the number, but yeah, that was | 15:03:25 |
| 3 | my trip out there in early May. | 15:03:28 |
| 4 | Q. And if you look at entries 167 and 168, these | 15:03:33 |
| 5 | are e-mails involving a combination of yourself, | 15:03:38 |
| 6 | Mr. Gruver, and Anthony Levandowski that state, "E-mail | 15:03:42 |
| 7 | discussing meeting with Anthony for lidar discussions | 15:03:46 |
| 8 | and brainstorming"? | 15:03:49 |
| 9 | A. Right. | 15:03:50 |
| 10 | Q. Was there a meeting with Anthony for lidar | 15:03:51 |
| 11 | discussions and brainstorming around this time? | 15:03:53 |
| 12 | A. So I believe I was at Otto on the 5th, and | 15:03:56 |
| 13 | discussion was me asking I remember asking where I | 15:04:04 |
| 14 | was going and getting a response of the address and | 15:04:08 |
| 15 | something of that nature. | 15:04:18 |
| 16 | MR. SCHMIDT: Keep that exhibit in front of | 15:04:29 |
| 17 | you, but I want to mark another one in the | 15:04:30 |
| 18 | meantime. | 15:04:32 |
| 19 | (Whereupon, Deposition Exhibit 463 was marked | 15:04:33 |
| 20 | for identification.) | 15:04:33 |
| 21 | Q. What's placed before you is Exhibit 463, and | 15:04:54 |
| 22 | 463 is a text message I will represent to you that | 15:04:59 |
| 23 | this is the "from" line is from Anthony at | 15:05:06 |
| 24 | associated phone number, and the participants line, the | 15:05:09 |
| 25 | person who this text message is being sent to, is | 15:05:14 |
| 1 | | |

```
Page 372
 1
                  CERTIFICATE
     COMMONWEALTH OF PENNSYLVANIA
 2
 3
     COUNTY OF ALLEGHENY
                                    )
 4
          I, Rebecca L. Schnur, do hereby certify that
 5
     before me, a Notary Public in and for the Commonwealth
 6
     aforesaid, personally appeared SCOTT BOEHMKE, who then
 7
     was by me first duly cautioned and sworn to testify the
     truth, the whole truth, and nothing but the truth in
     the taking of his oral deposition in the cause
     aforesaid; that the testimony then given by him as
10
     above set forth was by me reduced to stenotype in the
11
     presence of said witness, and afterwards transcribed by
     means of computer-aided transcription.
12
1.3
          I do further certify that this deposition was
     taken at the time and place in the foregoing caption
14
     specified, and was completed without adjournment.
15
          I do further certify that I am not a relative,
16
     counsel or attorney of either party or otherwise
17
     interested in the event of this action.
18
          IN WITNESS WHEREOF, I have hereunto set my hand
19
     and affixed my seal of office at Pittsburgh,
20
     Pennsylvania, on this 31st of July, 2017.
2.1
22
          <%signature%>
23
          Rebecca L. Schnur, RDR, Notary Public
24
          In and for the Commonwealth of Pennsylvania
25
          My Commission expires June 16, 2021.
```

Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 32 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

| 1 | |
|----|---|
| 1 | UNITED STATES DISTRICT COURT |
| 2 | NORTHERN DISTRICT OF CALIFORNIA |
| 3 | SAN FRANCISCO DIVISION |
| 4 | 000 |
| 5 | |
| 6 | WAYMO LLC, |
| 7 | Plaintiff, |
| 8 | vs. No. 3:17-cv-00939-WHA |
| 9 | UBER TECHNOLOGIES, INC.; |
| | OTTOMOTTO LLC; OTTO TRUCKING, |
| 10 | INC., |
| 11 | Defendants. |
| | / |
| 12 | |
| 13 | |
| 14 | WAYMO HIGHLY CONFIDENTIAL ATTORNEYS' EYES ONLY |
| 15 | |
| 16 | VIDEOTAPED DEPOSITION OF DON BURNETTE |
| 17 | SAN FRANCISCO, CALIFORNIA |
| 18 | FRIDAY, AUGUST 18, 2017 |
| 19 | |
| 20 | |
| 21 | |
| 22 | BY: ANDREA M. IGNACIO, CSR, RPR, CRR, CCRR, CLR ~ |
| 23 | CSR LICENSE NO. 9830 |
| 24 | JOB NO. 2681032 |
| 25 | PAGES 1 - 168 |
| | Page 1 |

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| 1 | LiDAR; correct? | 12:42 |
|----|--|-------|
| 2 | A Yes. | 12:42 |
| 3 | Q What conversations do you remember having | 12:42 |
| 4 | with Anthony Levandowski about LiDAR? | 12:43 |
| 5 | A I don't remember having any specific | 12:43 |
| 6 | conversations with him about LiDAR. | 12:43 |
| 7 | Q So you don't remember what he's told you | 12:43 |
| 8 | about LiDAR? | 12:43 |
| 9 | A Correct. | 12:43 |
| 10 | Q You don't remember what you've told him about | 12:43 |
| 11 | LiDAR? | 12:43 |
| 12 | A Correct. | 12:43 |
| 13 | Q Fair to say that he he he's worked with | 12:43 |
| 14 | LiDAR more than you have? | 12:43 |
| 15 | A Very much so. | 12:43 |
| 16 | Q How much of your work for for Uber | 12:43 |
| 17 | so so Uber involves, in your opinion, LiDAR? | 12:43 |
| 18 | MS. HARTNETT: Objection. | 12:43 |
| 19 | THE WITNESS: Almost none. | 12:43 |
| 20 | MR. JUDAH: Q. What what aspect of it | 12:43 |
| 21 | does involve LiDAR? | 12:43 |
| 22 | A My interaction with LiDAR is to the extent | 12:43 |
| 23 | that, after we've received the data from the sensor as | 12:43 |
| 24 | it's been produced, how to then process the algorithms | 12:43 |
| 25 | to interpret the data. | 12:43 |
| | Page 144 | |

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| 1 | Q Okay. Directing your attention there's a | 12:47 |
|----|---|--------|
| 2 | number of entries here. | 12:47 |
| 3 | Do you see this? | 12:47 |
| 4 | A There's a number of entries here. | 12:47 |
| 5 | Q Right. | 12:47 |
| 6 | So it goes up to 1,083. | 12:47 |
| 7 | Do you see that? | 12:47 |
| 8 | A Yes. | 12:47 |
| 9 | Q So, I want to direct your attention to | 12:47 |
| 10 | your name appears on this in a number of entries, but | 12:47 |
| 11 | I'm just going to focus on entry 866. If you could | 12:48 |
| 12 | turn to that one. | 12:48 |
| 13 | MS. HARTNETT: Just for the record, the | 12:48 |
| 14 | number of entries goes up to 1,085. | 12:48 |
| 15 | MR. JUDAH: Oh, I'm sorry. What did I say? | 12:48 |
| 16 | MS. HARTNETT: I think you said 1,083. | 12:48 |
| 17 | MR. JUDAH: I apologize. I actually said | 12:48 |
| 18 | yeah, I did. You're right. You're right. You're | 12:48 |
| 19 | right. Oh, yeah. Okay. I missed the final page. | 12:48 |
| 20 | Thank you. | 12:48 |
| 21 | MS. HARTNETT: No problem. | 12:48 |
| 22 | THE WITNESS: Sorry. Which number? | 12:48 |
| 23 | MR. JUDAH: 866. | 12:48 |
| 24 | THE WITNESS: (Complies.) | 12:48 |
| 25 | MR. JUDAH: Q. So you see that so | 12:48 |
| | Pa | ge 149 |

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| 1 | entry 866, there's a date column that says: | 12:48 |
|----|--|--------|
| 2 | "Before August 2016." | 12:48 |
| 3 | A Yeah. | 12:48 |
| 4 | Q The author sent is Anthony Levandowski, and | 12:48 |
| 5 | the recipient is you; right? | 12:48 |
| 6 | A Uh-huh. | 12:48 |
| 7 | Q And then the place there's three different | 12:48 |
| 8 | places: The RLS in Mountain View, Cowper Street in | 12:48 |
| 9 | Palo Alto, and then 737 Harrison Street. | 12:48 |
| 10 | Do you see that? | 12:48 |
| 11 | A Yes. | 12:48 |
| 12 | Q And then the the mode of communication, it | 12:48 |
| 13 | says: | 12:48 |
| 14 | "One-on-one conversations." | 12:48 |
| 15 | Do you see that? | 12:48 |
| 16 | A Yes. | 12:48 |
| 17 | Q And then "Subjects Discussed," this this | 12:49 |
| 18 | entry on the log says: | 12:49 |
| 19 | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | Pa | ge 150 |

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| 1 | | 12:49 |
|----|--|-------|
| 2 | Do you see that? | 12:49 |
| 3 | A I do. | 12:49 |
| 4 | Q And so that's an accurate description of your | 12:49 |
| 5 | recollection of one-on-one conversations with with | 12:49 |
| 6 | Anthony Levandowski pertaining to LiDAR? | 12:49 |
| 7 | A Yes. | 12:49 |
| 8 | Q So and then, directing your attention to | 12:49 |
| 9 | entry No. 906 or 905. Sorry. | 12:49 |
| 10 | A (Witness complies.) | 12:49 |
| 11 | Okay. | 12:49 |
| 12 | Q So 905, the date here is early 2017. And | 12:49 |
| 13 | again, it's the author is Mr. Levandowski, and the | 12:49 |
| 14 | recipient is you. And then the mode of communication | 12:49 |
| 15 | here is one-on-one conversations. And then subjects | 12:49 |
| 16 | discussed, it says: | 12:50 |
| 17 | | |
| | | |
| | | 12:50 |
| 20 | Do you see that? | 12:50 |
| 21 | A I do. | 12:50 |
| 22 | Q And is that is that accurate that you | 12:50 |
| 23 | you have no more specific recollection about those | 12:50 |
| 24 | conversations? | 12:50 |
| 25 | A Correct. | 12:50 |
| | Page 151 | |

1 CERTIFICATE OF REPORTER 2 3 I, ANDREA M. IGNACIO, hereby certify that the 4 witness in the foregoing deposition was by me duly sworn to tell the truth, the whole truth, and nothing 5 6 but the truth in the within-entitled cause; That said deposition was taken in shorthand 7 by me, a disinterested person, at the time and place therein stated, and that the testimony of the said 8 witness was thereafter reduced to typewriting, by 9 computer, under my direction and supervision; 10 That before completion of the deposition, review of the transcript [x] was [] was not 11 requested. If requested, any changes made by the 12 deponent (and provided to the reporter) during the 13 period allowed are appended hereto. 14 I further certify that I am not of counsel or attorney for either or any of the parties to the said 15 deposition, nor in any way interested in the event of 16 this cause, and that I am not related to any of the 17 parties thereto. 18 19 Dated: August 18, 2017 20 21 22 2.3 24 ANDREA M. IGNACIO, 2.5 RPR, CRR, CCRR, CLR, CSR No. 9830

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UNITED STATES DISTRICT COURT

NORTHERN DISTRICT OF CALIFORNIA

SAN FRANCISCO DIVISION

WAYMO LLC

Plaintiff,

VS.

Case No. 17-cv-00939-WHA

UBER TECHNOLOGIES, INC.; OTTOMOTTO, LLC; OTTO TRUCKING LLC,

Defendants.

HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

VIDEO DEPOSITION OF DANIEL GRUVER

San Francisco, California

Friday, August 4, 2017

Volume II

REPORTED BY:

REBECCA L. ROMANO, RPR, CSR No. 12546

JOB NO. 2671821

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| | | Page 224 |
|----|---|----------|
| 1 | A. I don't recall. | 01:35 |
| 2 | Q. You were talking about the self-driving | |
| 3 | trucks, though, right? | |
| 4 | A. Correct. | |
| 5 | Q. What were the sensors that you were | 01:35 |
| 6 | discussing that you were going to use to make these | |
| 7 | self-driving trucks work? | |
| 8 | A. I don't recall discussing the technical | |
| 9 | details of how a self-driving truck would be | |
| 10 | executed at the time. The initial discussions were | 01:35 |
| 11 | the business model, potentially, of the of | |
| 12 | building the reasons for building a self-driving | |
| 13 | truck and the business model for autonomous | |
| 14 | trucking. | |
| 15 | Q. So there no discussions between you and | 01:36 |
| 16 | Mr. Levandowski or Ms. Morgan regarding the | |
| 17 | technology you would use, including LiDAR | |
| 18 | technology, for actually buildings these trucks? | |
| 19 | A. No. | |
| 20 | Q. True? | 01:36 |
| 21 | A. True. | |
| 22 | Q. Why did you decide to join this company? | |
| 23 | A. The idea | |
| 24 | Q. 280 Systems. | |
| 25 | A. Yes. That's fine. | 01:36 |

| | | Page 250 |
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| 12 | Q. Have you ever discussed beam spacing with | |
| 13 | Anthony Levandowski? | |
| 14 | A. Yes. | |
| 15 | Q. How many times have you discussed it with | 02:08 |
| 16 | him? | |
| 17 | A. I don't recall the number. | |
| 18 | Q. When was the first time you discussed | |
| 19 | beam spacing for LiDAR with Anthony Levandowski? | |
| 20 | A. I don't recall the specific discussion or | 02:09 |
| 21 | which would have been a first first specific | |
| 22 | discussion. | |
| 23 | Q. Can you tell me information from any of | |
| 24 | your conversations with Mr. Levandowski related to | |
| 25 | beam spacing? | 02:09 |

| | | Page 251 |
|----|---|----------|
| 1 | A. I recall discussions likely involving | 02:09 |
| 2 | Anthony Levandowski about effective beam spacing of | |
| 3 | the MBR LiDAR system. | |
| 4 | I also recall discussions of effective | |
| 5 | beam spacing regarding the PBR LiDAR sensor. | 02:09 |
| 6 | I imagine there were discussions | |
| 7 | involving the early GBR spacing, but I don't | |
| 8 | recall and I have had discussions at while | |
| 9 | employed at Otto and Uber about effective beam | |
| 10 | spacing. | 02:10 |
| 11 | Q. Okay. And when is the first discussion | |
| 12 | you had, subsequent to your employment at Google, | |
| 13 | about beam spacing with Anthony Levandowski? | |
| 14 | A. Sorry. Can you rephrase that? | |
| 15 | Q. When is the first time you had a | 02:10 |
| 16 | discussion with Mr. Levandowski related to beam | |
| 17 | spacing after you ceased employment at Google? | |
| 18 | A. Sometime probably shortly after I started | |
| 19 | at 280 Systems. Possibly about effective so I | |
| 20 | I'll I'll clarify that if effective beam | 02:10 |
| 21 | spacing, meaning separation of points of | |
| 22 | adjacent points in a pulse LiDAR system. | |
| | | |
| | | |
| | | 02:10 |

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| 13 | Q. Does it surprise you that Mr. Levandowski | |
| 14 | was involved at this level of detail in the beam | |
| 15 | spacing for a 64-beam sensor that Fuji is also a | 03:22 |
| 16 | 64-beam sensor? | |
| 17 | MR. MUINO: Objection. Vague. Lacks | |
| 18 | foundation. | |
| 19 | THE DEPONENT: Sorry. Does it surprise | |
| 20 | that Anthony is involved? | 03:23 |
| 21 | Q. (By Mr. Jaffe) Well, let me let me | |
| 22 | actually ask a better question. | |
| 23 | Anthony Levandowski, as of late | |
| 24 | September 2016, he was working with Uber on beam | |
| 25 | angles for a 64-beam sensor, right? | 03:23 |
| | | |

| | | Page 291 |
|----|---|----------|
| 1 | MR. MUINO: Objection. Lacks foundation. | 03:23 |
| 2 | Calls for speculation. | |
| 3 | MS. WALSH: Objection. Form. | |
| 4 | THE DEPONENT: From Scott's email, I can | |
| 5 | infer that he was talking to Anthony about beam | 03:23 |
| 6 | spacing. | |
| 7 | Q. (By Mr. Jaffe) And he was providing | |
| 8 | specific input on the specifications for beam | |
| 9 | spacing for a self-driving car, right? | |
| 10 | MR. MUINO: Objection. Lacks | 03:23 |
| 11 | Q. (By Mr. Jaffe) For a LiDAR used in a | |
| 12 | self-driving car, excuse me. | |
| 13 | MR. MUINO: Objection. Lacks foundation. | |
| 14 | Calls for speculation. | |
| 15 | MS. WALSH: Objection. Form. | 03:23 |
| 16 | THE DEPONENT: Sorry. Anthony was having | |
| 17 | input in LiDAR, so can you repeat the question. | |
| 18 | Q. (By Mr. Jaffe) Yeah. There was a lot of | |
| 19 | objections there. | |
| 20 | Anthony was providing specific input on | 03:24 |
| 21 | the specifications for beam straight beam | |
| 22 | spacing for LiDAR used in a self-driving car by | |
| 23 | Uber, right? | |
| 24 | MR. MUINO: Objection. Foundation. | |
| 25 | Speculation. | 03:24 |

| | | Page 292 |
|----|--|----------|
| 1 | MS. WALSH: Same objection. | 03:24 |
| 2 | THE DEPONENT: Anthony was, from this | |
| 3 | email, suggesting, requesting a elevation | |
| 4 | separation. | |
| 5 | Q. (By Mr. Jaffe) So the answer to my | 03:24 |
| 6 | question, though, is yes, isn't it? | |
| 7 | MR. MUINO: Same objections. | |
| 8 | THE DEPONENT: I sorry. Anthony was | |
| 9 | providing input on specific | |
| 10 | Q. (By Mr. Jaffe) Specifications for beam | 03:24 |
| 11 | spacing for LiDAR used in a self-driving car by | |
| 12 | Uber, correct? | |
| 13 | A. Yes. | |
| 14 | MR. MUINO: Objection. Foundation and | |
| 15 | speculation. | 03:25 |
| 16 | THE DEPONENT: That appears to be so. | |
| 17 | Q. (By Mr. Jaffe) Okay. You can put that | |
| 18 | aside. | |
| 19 | Your last day at Google was January 19th, | |
| 20 | 2016, right? | 03:25 |
| 21 | A. That sounds roughly correct. | |
| 22 | Q. So if you were if you were paid your | |
| 23 | bonus from the bonus plan on July 20th, 2016, it | |
| 24 | wasn't late, right? | |
| 25 | A. That sounds right. | 03:25 |
| | | |

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Page 351
                                                                 05:08
 1
               MR. JAFFE: You -- you don't know?
               MR. MUINO: I'm not aware of it. Yeah,
 2
     I'm not saying we didn't. That may be the case.
 3
     I'm just not sure.
 4
 5
               MR. JAFFE: Okay. All right. Let's mark
                                                                05:08
     as Exhibit -- Exhibit 518 a document Bates-labeled
 7
     UBER00177353.
               (Exhibit 518 was marked for
 8
     identification by the court reporter and is
                                                                 05:09
     attached hereto.)
10
               (By Mr. Jaffe) The -- Mr. Gruver,
11
          0.
12
     looking at Exhibit 518 --
13
          Α.
              Uh-huh.
14
             -- the first time stamp on here is
          Q.
                                                                 05:09
15
     January 13th, 2017.
16
               Do you see that?
17
          Α.
              Yes.
18
          0.
              And at that point Uber had acquired Otto,
19
     and Anthony Levandowski was head of Uber
     self-driving program, right?
                                                                 05:09
20
21
          Α.
             Right.
22
               And at this point you were texting
23
     Anthony Levandowski information about the die/wire
24
     bonded -- well, let me ask you.
25
               Is the first text here dated
                                                                 05:10
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Page 352
                                                                 05:10
     January 13th, 1 -- 2017, is that about Fuji?
 1
 2
          Α.
               Yes.
 3
               Why were you providing
     Anthony Levandowski an update as to the TX board in
 4
                                                                 05:10
 5
     Fuji on January 13th, 2017?
          Α.
              He had expressed interest in our
 7
     progress. It was -- it was, to my understanding, a
 8
     common thing for teams to report to him progress
     and things just as a -- to keep him up -- aware, up
     to date on the general progress of the self-driving
                                                                 05:10
10
11
     program.
12
          0.
               So Mr. Levandowski was interested in the
13
     progress of Fuji.
14
          Α.
               Yes.
15
          0.
              And so you were texting him information
                                                                 05:10
     about how you had a full TX board that works well,
16
17
     here in January 13th, 2017.
18
          Α.
               That is what the text says.
19
               And if you continue through the thread or
          0.
                                                                 05:11
20
     the next page, he asks to send a picture, right?
21
          Α.
               Yes.
22
               Why did Mr. Levandowski want a picture of
23
     the TX board in Fuji in January of 2017?
24
          Α.
               So I'm -- I'm unclear what he meant by
25
     "Send me a pic." He doesn't reference which
                                                                 05:11
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Page 356
               That -- I -- I don't recall what I
                                                                 05:16
 1
          Α.
 2
     understood from that text message in January.
               Okay. But the two interpretations you
 3
          0.
     have sitting here today is he's either talking
 4
     about watching you and the LiDAR team test all the
                                                                 05:16
     pieces of Fuji or seeing Fuji actually work; is
     that fair?
 7
               It could reference either of them.
               Is there any third thing that it could
 9
                                                                 05:16
     reference?
10
11
              I -- let's see.
          Α.
12
               My inference is he's referring to one of
13
     my previous text messages that we -- something will
     work and that we'll have pieces to test.
14
15
               MR. JAFFE: All right. This will be
                                                                 05:17
     Exhibit 519. It's UBER00177373.
16
               (Exhibit 519 was marked for
17
18
     identification by the court reporter and is
19
     attached hereto.)
             (By Mr. Jaffe) Mr. Gruver, Exhibit 519
                                                                 05:17
20
          0.
21
     is a text that you sent Mr. Levandowski on
22
     January 27th, 2017, right?
23
          Α.
               Yes.
24
          Q.
               And you said, "Lunch laser meeting?"
25
          Α.
               Yes.
                                                                 05:17
```

| 1 Q. Why did you text Anthony Levandowski, the 2 head of Uber self-driving program at the time, and 3 request a lunch laser meeting? 4 A. I I believe we had a scheduled 5 something like biweekly team meeting with Anthony. 6 And so I believe asking if he was going to attend 7 said meeting. 8 Q. And why were you texting him this instead 9 of Mr. Haslim, who was kind of in between you two 10 in the reporting structure? 11 A. I don't know if I was the only one 12 texting him, but I was hm. 13 So if I recall, the meeting was scheduled 14 by these meetings were put together by another 15 engineer, a mechanical engineer. But he was 16 potentially not yet at the meeting, and it may have 17 come up that someone was asking where he was. And 18 if we were all together, someone may have 19 volunteered or I may have volunteered to text him. 20 Q. What what are these meetings called, 21 that you mentioned? 22 A. I don't remember the name of them. 23 Q. But is it biweekly? 24 A. I think it was in it was possibly in | | | Page 357 |
|---|----|---|----------|
| A. I I believe we had a scheduled 5 something like biweekly team meeting with Anthony. 05:18 6 And so I believe asking if he was going to attend 7 said meeting. 8 Q. And why were you texting him this instead 9 of Mr. Haslim, who was kind of in between you two 10 in the reporting structure? 05:18 11 A. I don't know if I was the only one 12 texting him, but I was hm. 13 So if I recall, the meeting was scheduled 14 by these meetings were put together by another 15 engineer, a mechanical engineer. But he was 05:18 16 potentially not yet at the meeting, and it may have 17 come up that someone was asking where he was. And 18 if we were all together, someone may have 19 volunteered or I may have volunteered to text him. Q. What what are these meetings called, 05:18 21 that you mentioned? 22 A. I don't remember the name of them. Q. But is it biweekly? A. I think it was in it was possibly in | 1 | Q. Why did you text Anthony Levandowski, the | 05:17 |
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| 22 A. I don't remember the name of them. 23 Q. But is it biweekly? 24 A. I think it was in it was possibly in | 20 | Q. What what are these meetings called, | 05:18 |
| Q. But is it biweekly? A. I think it was in it was possibly in | 21 | that you mentioned? | |
| 24 A. I think it was in it was possibly in | 22 | A. I don't remember the name of them. | |
| | 23 | Q. But is it biweekly? | |
| | 24 | A. I think it was in it was possibly in | |
| 25 every other week. 05:19 | 25 | every other week. | 05:19 |

| | | _ 050 |
|----|--|----------------|
| | | Page 359 |
| 1 | Q. It's about, what, six excuse me | 05:20 |
| 2 | four hours later? | |
| 3 | A. Yes. | |
| | | |
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| | | |
| | | 05:21 |
| 16 | Q. But why send this to to Anthony? | |
| 17 | A. Because he was interested in the progress | |
| 18 | of our LiDAR R & D. | |
| 19 | Q. I see. So this is you Exhibit 520 is | |
| 20 | you updating Anthony Levandowski on the the | 05 : 21 |
| 21 | progress of the Fuji LiDAR. | |
| 22 | | |
| | A. Yes. | |
| | | |
| | | |
| | | 05:21 |
| 1 | | |

| 5:24 5:24 |
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| | | Page 371 |
|----|---|----------|
| 1 | the same time. | 05:39 |
| 2 | So I will say that my answer for 541 | |
| 3 | would be the same as line 540, that I don't recall | |
| 4 | what, if any, information Anthony provided to the | |
| 5 | meeting on 541. | 05:39 |
| 6 | Q. And then looking at 542 and 543, do you | |
| 7 | have any opinion whether those are duplicates? | |
| 8 | A. LiDAR standup meeting those appear to | |
| 9 | be, yeah, both duplicates of 540 and 541. | |
| 10 | Q. 550 | 05:39 |
| 11 | A. 550. | |
| 12 | Q this is November 3rd, 2016. What, if | |
| 13 | any, information did Anthony Levandowski provide | |
| 14 | about LiDAR? | |
| 15 | A. Meeting with third-party at | 05:40 |
| 16 | 7:00 p.m. | |
| 17 | I believe 550 was an initial meeting with | |
| 18 | which is a third-party LiDAR vendor, to | |
| 19 | gain information about capabilities of their system | |
| 20 | and its possible use to aid our self-driving car | 05:40 |
| 21 | program. | |
| 22 | Q. Okay. Let's go to well, did did | |
| 23 | Anthony disclose any design feedback or LiDAR | |
| 24 | design techniques at that meeting? | |
| 25 | A. I don't recall him disclosing any design | 05:40 |

| | Page 374 |
|---|---|
| line 837. | 05:44 |
| MR. MUINO: What's the total time on the | |
| record at this point? | |
| THE VIDEOGRAPHER: Six hours and 32 | |
| minutes. | 05:44 |
| Q. (By Mr. Jaffe) 877. | |
| A. 877, this is the line, early May early | |
| May 2016 meeting with Anthony Levandowski regarding | |
| development of LiDAR sensor. | |
| Q. What happened at the meeting described | 05:45 |
| here in that entry 877? | |
| A. I believe this is near or about the my | |
| introduction to Scott Boehmke and some of the | |
| previously discussed maybe ways or means or | |
| features desired from Uber in a LiDAR system for | 05:45 |
| their self-driving vehicle. | |
| Q. What information regarding LiDAR | |
| technology did Anthony Levandowski provide in this | |
| meeting reflected as entry 877? | |
| A. I don't recall specifics of information | 05:46 |
| that Anthony might have provided about LiDAR | |
| technology in the meeting on line 877. | |
| Q. Sitting here today, can you provide me | |
| any more details about Anthony Levandowski's | |
| contribution to the meeting described here at | 05:46 |
| | MR. MUINO: What's the total time on the record at this point? THE VIDEOGRAPHER: Six hours and 32 minutes. Q. (By Mr. Jaffe) 877. A. 877, this is the line, early May early May 2016 meeting with Anthony Levandowski regarding development of LiDAR sensor. Q. What happened at the meeting described here in that entry 877? A. I believe this is near or about the my introduction to Scott Boehmke and some of the previously discussed maybe ways or means or features desired from Uber in a LiDAR system for their self-driving vehicle. Q. What information regarding LiDAR technology did Anthony Levandowski provide in this meeting reflected as entry 877? A. I don't recall specifics of information that Anthony might have provided about LiDAR technology in the meeting on line 877. Q. Sitting here today, can you provide me any more details about Anthony Levandowski's |

| | | Page 409 |
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| | | |
| 10 | Q. (By Mr. Muino) But you're aware that in | 06:43 |
| 11 | this case Waymo alleges that Mr. Levandowski | |
| 12 | downloaded and stole certain files from Waymo? | |
| 13 | A. I'm aware of the allegations. | |
| 14 | MR. JAFFE: Objection. Leading. | |
| 15 | Q. (By Mr. Muino) At any point after the | 06:44 |
| 16 | lawsuit was filed, do you recall a a meeting of | |
| 17 | Uber employees on the subject of the litigation? | |
| 18 | A. Yes. | |
| 19 | Q. What was the first meeting that you | |
| 20 | recall of that kind? | 06:44 |
| 21 | A. There was a meeting attended by Anthony, | |
| 22 | Travis and I believe Angela, the head counsel for | |
| 23 | Uber, discussing what we could expect next | |
| 24 | potentially and Uber's stance on it. | |
| 25 | And there was discussion of our LiDAR | 06:44 |
| | | |

| | | Page 410 |
|----|---|----------|
| 1 | systems to date that we had developed and kind of | 06:44 |
| 2 | present them to ATG as sort of a a a kind of | |
| 3 | presentation of what we had done and showing the | |
| 4 | expansive of work it had taken us to get to the | |
| 5 | progress we made to that point. | 06:44 |
| 6 | Q. At that meeting did Mr. Levandowski | |
| 7 | address the allegations that he had taken files? | |
| 8 | MR. JAFFE: Object to form. And leading. | |
| 9 | THE DEPONENT: I don't recall | |
| 10 | specifically what he said about about addressing | 06:45 |
| 11 | the files or about them. | |
| 12 | Q. (By Mr. Muino) Okay. Apart from that | |
| 13 | meeting, were there any other meetings that you | |
| 14 | recall at which Mr. Levandowski addressed the | |
| 15 | subject of the litigation? | 06:45 |
| 16 | A. I don't recall specific meetings or | |
| 17 | subjects of them. | |
| 18 | MR. MUINO: Okay. No further questions. | |
| 19 | THE VIDEOGRAPHER: Going off the record. | |
| 20 | The time is 6:45. | 06:45 |
| 21 | (Recess taken.) | |
| 22 | THE VIDEOGRAPHER: We are back on the | |
| 23 | record. The time is 6:59. | |
| 24 | MR. MUINO: Before you start, Counsel, I | |
| 25 | just want to mark the record highly confidential, | 06:59 |

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I, Rebecca L. Romano, a Certified Shorthand 1 Reporter of the State of California, do hereby 2 3 certify: That the foregoing proceedings were taken 4 before me at the time and place herein set forth; 5 that any witnesses in the foregoing proceedings, 6 prior to testifying, were administered an oath; that a record of the proceedings was made by me 8 using machine shorthand which was thereafter 9 transcribed under my direction; that the foregoing 10 transcript is true record of the testimony given. 11 Further, that if the foregoing pertains to the 12 original transcript of a deposition in a Federal 13 Case, before completion of the proceedings, review 14 of the transcript [] was [X] was not requested. 15 I further certify I am neither financially 16 interested in the action nor a relative or employee 17 of any attorney or any party to this action. 18 IN WITNESS WHEREOF, I have this date 19 20 subscribed my name. 21 Dated: August 5, 2017 22 23 24 Rebecca L. Romano, RPR, CSR. No 12546 25

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| 1 | UNITED STATES DISTRICT COURT | |
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| 2 | NORTHERN DISTRICT OF CALIFORNIA | |
| 3 | SAN FRANCISCO DIVISION | |
| 4 | | |
| | WAYMO LLC, | |
| 5 | | |
| | Plaintiff, | |
| 6 | Case | |
| | vs. No. 3:17-cv-00939-WHA | |
| 7 | | |
| | UBER TECHNOLOGIES, INC.; | |
| 8 | OTTOMOTTO LLC; OTTO TRUCKING LLC, | |
| 9 | Defendants, | |
| | / | |
| 10 | | |
| 11 | | |
| 12 | | |
| 13 | | |
| 14 | | |
| 15 | HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY | |
| 16 | VIDEOTAPED DEPOSITION OF JAMES HASLIM | |
| 17 | VOLUME II | |
| 18 | THURSDAY, MAY 4, 2017 | |
| 19 | | |
| 20 | | |
| 21 | | |
| 22 | Reported by: | |
| 23 | Anrae Wimberley | |
| 24 | CSR No. 7778 | |
| 25 | Job No. 2610396 | |
| | | |
| | Page 113 | |
| | Page 113 | |

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| 1 | Q. Do you remember anything else from | 10:45:41 |
|----|--|----------|
| 2 | Mr. Levandowski in that conversation, anything else he | 10:45:44 |
| 3 | said about LiDAR or anything? | 10:45:47 |
| 4 | A. No. No. | 10:45:48 |
| 5 | Q. When is the next conversation you had? | 10:45:50 |
| 6 | A. I have no recollection of what the next | 10:45:56 |
| 7 | conversation was. | 10:45:57 |
| 8 | Q. When is the next conversation that you | 10:45:59 |
| 9 | recall? | |
| 10 | MR. KIM: Objection; form. | 10:46:03 |
| 11 | THE WITNESS: I can't recall when these | 10:46:05 |
| 12 | conversations took place. I would say we had dinner | 10:46:10 |
| 13 | occasionally, and he would generally just ask how | 10:46:14 |
| 14 | we're doing. | 10:46:15 |
| 15 | BY MR. JAFFE: | 10:46:15 |
| 16 | Q. When is the next conversation that you can | 10:46:21 |
| 17 | recall with Mr. Levandowski where you talked about | 10:46:25 |
| 18 | LiDAR techniques? | 10:46:28 |
| 19 | MR. KIM: Objection; form. | 10:46:42 |
| 20 | THE WITNESS: At this point, I'm leaning towards | 10:46:44 |
| 21 | saying when I joined Otto. | 10:46:46 |
| 22 | BY MR. JAFFE: | |
| 23 | Q. Okay. So after you joined Otto, what was the | 10:46:50 |
| 24 | first conversation you had with Mr. Levandowski about | 10:46:53 |
| 25 | LiDAR? | 10:46:54 |
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| 1 | A. It would have been something to the nature | 10:47:01 |
|----|--|----------|
| 2 | that we need to take possibly take the Owl design | 10:47:09 |
| 3 | and convert that into a multichannel LiDAR sensor that | 10:47:16 |
| 4 | could be used on autonomous vehicles. | 10:47:18 |
| 5 | Q. And what did Mr. Levandowski say? | 10:47:23 |
| 6 | A. I think he wanted to know what the plan would | 10:47:27 |
| 7 | be. I had started had sometime in that time frame | 10:47:33 |
| 8 | started working on a CAD model for a design I was | 10:47:36 |
| 9 | going to propose. And at that time or at a later | 10:47:45 |
| 10 | time, we started to discuss two different design | 10:47:49 |
| 11 | approaches that looked promising to take. | 10:47:53 |
| 12 | Q. What were those two approaches? | 10:47:55 |
| 13 | A. One approach was to take the Owl and somehow | 10:48:00 |
| 14 | multiply the channels to get a multichannel LiDAR that | 10:48:07 |
| 15 | could be used on a truck. The other approach was to | 10:48:10 |
| 16 | basically take the Velodyne style of design and build | 10:48:14 |
| 17 | a sensor in that approach. | 10:48:19 |
| 18 | Q. Before we move forward with those two, you | 10:48:25 |
| 19 | said you had built a CAD design for something that you | 10:48:29 |
| 20 | were going to propose. | 10:48:30 |
| 21 | A. Yes. | 10:48:30 |
| 22 | Q. What was the name of that, just for purposes | 10:48:34 |
| 23 | of our conversation? | 10:48:35 |
| 24 | A. It had no name. Perhaps if I described it. | 10:48:39 |
| 25 | Q. Sure. | 10:48:40 |
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| 1 | A. It was I intended to design a LiDAR sensor | 10:48:47 |
|----|--|----------|
| 2 | similar to the Owl, based on the design of the Owl | 10:48:51 |
| 3 | optical cavity. It was a bistatic LiDAR design. It | 10:48:57 |
| 4 | would incorporate eight laser sources that would | 10:49:00 |
| 5 | transmit out a transmit lens. | 10:49:03 |
| 6 | It would have eight avalanche photodiodes | 10:49:08 |
| 7 | that would receive through the receive lines. That | 10:49:14 |
| 8 | would project onto a mirror that could spin that could | 10:49:18 |
| 9 | help scan those beams. It was intended in my mind to | 10:49:26 |
| 10 | augment something like a Velodyne sensor to provide a | 10:49:29 |
| 11 | longer range, tighter packed field of view. | 10:49:32 |
| 12 | Q. So what you were coming up with was a | 10:49:36 |
| 13 | long-range sensor that would supplement a mid-range | 10:49:42 |
| 14 | sensor on a self-driving car; is that fair? | 10:49:46 |
| 15 | MR. KIM: Objection; form. | 10:49:47 |
| 16 | THE WITNESS: It was meant to supplement the | 10:49:49 |
| 17 | Velodyne-type sensor, yes. | 10:49:53 |
| 18 | BY MR. JAFFE: | 10:49:53 |
| 19 | Q. Did you have any discussions with | 10:49:54 |
| 20 | Mr. Levandowski in coming up with that design? | 10:49:59 |
| 21 | A. I don't recall having any discussion with | 10:50:08 |
| 22 | Anthony Levandowski regarding this design. I want to | 10:50:11 |
| 23 | say that idea came from earlier talks with Eric | 10:50:15 |
| 24 | Meyhofer and Scott Boehmke when they visited. At that | 10:50:20 |
| 25 | time, Brent Schwarz was proposing to them that we had | 10:50:28 |
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| 1 | a sensor that was capable of long-range performance | 10:50:31 |
|----|--|----------|
| 2 | and that they would need a sensor for long-range | 10:50:35 |
| 3 | viewing on an autonomous vehicle. | 10:50:40 |
| 4 | And so our angle with Uber at the time was we | 10:50:44 |
| 5 | think we can build such a sensor, but we're not | 10:50:47 |
| 6 | working on it right now. Our company is open for | 10:50:51 |
| 7 | acquisition. | 10:50:55 |
| 8 | Q. So the sensor that you were coming up with, | 10:51:00 |
| 9 | that was going to be a bistatic design; right? | 10:51:03 |
| 10 | A. Yes. | 10:51:05 |
| 11 | Q. At some point, Spider came about and | 10:51:12 |
| 12 | transformed it to a monostatic design; right? | 10:51:15 |
| 13 | A. True. | 10:51:17 |
| 14 | Q. Do you know who was responsible for the | 10:51:19 |
| 15 | change from what you were coming up with, which was a | 10:51:22 |
| 16 | bistatic design, to the monostatic design in Spider? | 10:51:26 |
| 17 | A. I don't recall who among the team was | 10:51:34 |
| 18 | involved in our conversations first to move away from | 10:51:39 |
| 19 | supplemental design to one design that would cover all | 10:51:44 |
| 20 | the way from directly in front of the vehicle out to | 10:51:47 |
| 21 | long range. But that was a decision that was made | 10:51:50 |
| 22 | that pretty much negated the proposal I had made of | 10:51:56 |
| 23 | using a tight-packed purely long-range sensor. | 10:52:00 |
| 24 | Q. So you shifted into the passive voice there. | 10:52:05 |
| 25 | You're talking about who is making these | 10:52:07 |
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| 1 | decisions? | 10:52:08 |
|----|--|----------|
| 2 | A. Exactly. I'm trying to recall. I don't | 10:52:10 |
| 3 | know, of all the people that were involved, who was in | 10:52:14 |
| 4 | those conversations. So it would include me. It | 10:52:17 |
| 5 | would include most likely Anthony Levandowski. I | 10:52:23 |
| 6 | believe it would also include Daniel Gruver. And I'm | 10:52:28 |
| 7 | not sure if there's anyone else. | 10:52:30 |
| 8 | Q. And do you know, in the context of those | 10:52:35 |
| 9 | communications, who just said, Hey, James, your design | 10:52:44 |
| 10 | looks great, but we're going to go with the monostatic | 10:52:46 |
| 11 | design and we think it's better? | 10:52:50 |
| 12 | MR. KIM: Objection; form. | 10:52:50 |
| 13 | THE WITNESS: The monostatic design that uses one | 10:52:56 |
| 14 | lens for transmit and receive, I don't know who came | 10:52:59 |
| 15 | up with that. At some point I saw it, seemed okay to | 10:53:05 |
| 16 | me, it seemed compact, let's use it. | 10:53:09 |
| 17 | BY MR. JAFFE: | 10:53:09 |
| 18 | Q. So you don't know you have no information | 10:53:12 |
| 19 | of who came up with the monostatic design in Spider? | 10:53:15 |
| 20 | A. True. | 10:53:16 |
| 21 | Q. Okay. So we were still going back to our | 10:53:25 |
| 22 | chron of conversations with Mr. Levandowski, when is | 10:53:28 |
| 23 | the next conversation that you had with | 10:53:31 |
| 24 | Mr. Levandowski about LiDAR that you can recall? | 10:53:34 |
| 25 | A. It's very hard for me to recall specific | 10:53:43 |
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| 1 | conversations, especially in sequence. At this point, | 10:53:47 |
|----|--|----------|
| 2 | I report to Anthony Levandowski. | 10:53:50 |
| 3 | Q. And just for purposes of the record, when | 10:53:52 |
| 4 | you're talking about "this point," what date are you | 10:53:54 |
| 5 | talking about? | 10:53:55 |
| 6 | A. I'm talking about immediately following | 10:53:56 |
| 7 | Tyto's acquisition by Otto or I should say Otto's | 10:54:03 |
| 8 | acquisition of Tyto. We joined at that time, I | 10:54:08 |
| 9 | reported to Anthony Levandowski. There would be | 10:54:12 |
| 10 | regular staff meetings. Since my team is working on | 10:54:19 |
| 11 | LiDAR, LiDAR would definitely come up in conversations | 10:54:22 |
| 12 | with him, at that point, on a probably fairly routine | 10:54:25 |
| 13 | basis, like weekly basis. | 10:54:28 |
| 14 | Q. And what did you and Mr. Levandowski discuss? | 10:54:31 |
| 15 | A. Progress, approach, schedule or timing, | 10:54:39 |
| 16 | volumes. | 10:54:41 |
| 17 | Q. Can you tell me any more specifics about the | 10:54:44 |
| 18 | routine and regular conversations you were having with | 10:54:48 |
| 19 | Mr. Levandowski about LiDAR? | 10:54:49 |
| 20 | A. He would ask about what the design was | 10:54:56 |
| 21 | looking like, how we were approaching it. Beyond | 10:55:00 |
| 22 | that, I don't recall specifics of our conversations. | 10:55:03 |
| 23 | Q. So sitting here today, in this time period | 10:55:06 |
| 24 | that you're talking about, after you joined Otto in | 10:55:10 |
| 25 | May of 2016, you would have regular conversations with | 10:55:15 |
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| 1 | Mr. Levandowski about LiDAR, but you can't recall any | 10:55:18 |
|----|--|----------|
| 2 | specifics of those conversations; is that fair? | 10:55:21 |
| 3 | A. That's fair to say I cannot recall beyond the | 10:55:26 |
| 4 | details I already told you. | 10:55:28 |
| 5 | Q. I see. | 10:55:29 |
| 6 | When is the next moving forward in time | 10:55:34 |
| 7 | here, when is the next substantive conversation with | 10:55:38 |
| 8 | Mr. Levandowski about LiDAR that you recall? | 10:55:40 |
| 9 | A. I don't know. | 10:55:56 |
| 10 | Q. You don't know? | 10:55:57 |
| 11 | A. I don't know. | 10:55:57 |
| 12 | Q. I'm not trying to do a memory test here. If | 10:56:02 |
| 13 | there's just too many conversations for you to recall, | 10:56:05 |
| 14 | that's fine, and you can just tell me that. But | 10:56:08 |
| 15 | otherwise I'm just going to keep asking. | 10:56:10 |
| 16 | MR. KIM: Objection; form. | 10:56:10 |
| 17 | THE WITNESS: Most of our conversations, that is | 10:56:21 |
| 18 | between me and Anthony Levandowski, were not | 10:56:24 |
| 19 | substantive in LiDAR design per se. So I'm having a | 10:56:30 |
| 20 | hard time remembering further conversations or | 10:56:35 |
| 21 | specifics. | 10:56:35 |
| 22 | Most of the time, he wanted to know where | 10:56:38 |
| 23 | we were in our progress, and he may have asked | 10:56:41 |
| 24 | what the design was shaping up like. | 10:56:44 |
| 25 | I do recall one more. | 10:56:49 |
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| 1 | He was visiting Uber. He got me on the phone | 10:56:56 |
|----|---|----------|
| 2 | and was starting to describe using eight fiber | 10:57:02 |
| 3 | lasers that's right eight fiber lasers, | 10:57:08 |
| 4 | splitting their outputs to multiply the number of | 10:57:12 |
| 5 | channels and then routing a fiber from each fiber | 10:57:17 |
| 6 | laser into a number of optical cavities. | 10:57:24 |
| 7 | There was also, at that time frame, a | 10:57:26 |
| 8 | document published or shared with the team. I think | 10:57:31 |
| 9 | that came from Scott Boehmke. So this would be | 10:57:38 |
| 10 | substantive in terms of shaping up what Spider would | 10:57:43 |
| 11 | eventually become. | 10:57:44 |
| 12 | BY MR. JAFFE: | 10:57:44 |
| 13 | Q. And you said Mr. Levandowski called you from | 10:57:48 |
| 14 | Uber in Pittsburgh; is that right? | 10:57:53 |
| 15 | A. My understanding he was either at Uber or in | 10:57:55 |
| 16 | transit to or from Uber in Pittsburgh. | 10:57:58 |
| 17 | Q. Approximately what time period was this? | 10:58:01 |
| 18 | A. This would be relatively early in the | 10:58:04 |
| 19 | development of the Spider. Beyond that, I would defer | 10:58:08 |
| 20 | to e-mails. I don't remember. | 10:58:10 |
| 21 | Q. When you say you would "defer to e-mails," | 10:58:12 |
| 22 | are there e-mails about this conversation? | 10:58:15 |
| 23 | A. There were e-mails I should say there was | 10:58:19 |
| 24 | an e-mail with a document that was published that | 10:58:24 |
| 25 | contained the substance of what he was describing. | 10:58:27 |
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| 1 | All right. Are there any other substantive | 11:02:40 |
|----|--|----------|
| 2 | conversations regarding LiDAR with Mr. Levandowski | 11:02:43 |
| 3 | that you can recall? | 11:02:47 |
| 4 | MR. KIM: Objection; form. | 11:02:50 |
| 5 | THE WITNESS: I'm not sure I would consider the | 11:03:11 |
| 6 | pivot to Fuji a conversation that was substantive, but | 11:03:18 |
| 7 | he did provide input into the Fuji and that he wanted | 11:03:26 |
| 8 | to make sure it first operated as well or better than | 11:03:33 |
| 9 | Velodyne and suggested that we ignore concerns from | 11:03:38 |
| 10 | the Pittsburgh office regarding size and weight and | 11:03:41 |
| 11 | not to be constrained by that. | 11:03:43 |
| 12 | BY MR. JAFFE: | 11:03:43 |
| 13 | Q. Anything else? | 11:03:44 |
| 14 | A. I don't recall. | 11:03:52 |
| 15 | Q. Just to be clear, is there any other | 11:04:00 |
| 16 | conversation that you had with Mr. Levandowski about | 11:04:04 |
| 17 | LiDAR design that you can recall, sitting here today? | 11:04:10 |
| 18 | MR. KIM: Objection; form. | 11:04:11 |
| 19 | THE WITNESS: I don't recall any more. | 11:04:48 |
| 20 | BY MR. JAFFE: | 11:04:48 |
| 21 | Q. No more? | 11:04:53 |
| 22 | A. I don't recall any more. I'm sorry. | 11:04:56 |
| 23 | Q. And this is apart from the regular | 11:04:58 |
| 24 | conversations that you had with Mr. Levandowski | 11:05:00 |
| 25 | regarding status and updates; right? | 11:05:03 |
| | Рас | ge 150 |

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| 1 | A. 153. | 15:10:47 |
|----|---|----------|
| 2 | Probably would have included Florin | 15:10:59 |
| 3 | Ignatescu. I don't recall if Adam had joined by then. | 15:11:08 |
| 4 | Would have included Asheem Linaval, Tri Luong, Nancy | 15:11:25 |
| 5 | Sun. I think that would be it. And like I said, | 15:11:36 |
| 6 | possibly Anthony. This is best of my recollection who | 15:11:40 |
| 7 | should have been or could have been on that list. | 15:11:42 |
| 8 | Q. So Exhibit 159 here is an e-mail from | 15:11:45 |
| 9 | Mr. Levandowski to Mr. Boehmke. And it says | 15:11:47 |
| 10 | Mr. Levandowski says, " | |
| | | |
| 12 | Do you see that? | 15:11:55 |
| 13 | A. I see that. | 15:11:56 |
| 14 | Q. Do you know what that refers to? | 15:11:57 |
| 15 | Α. | |
| | | 15:12:06 |
| 17 | Q. What does refer to, though? | 15:12:10 |
| 18 | A. It's a wavelength of light for a laser diode. | 15:12:14 |
| 19 | Q. So Mr. Levandowski is referring to a | 15:12:16 |
| 20 | diode-based LiDAR design here; right? | 15:12:18 |
| 21 | A. Yes. | 15:12:20 |
| 22 | Q. And one of the parts of that design was going | 15:12:22 |
| 23 | to be Gaetan Pennecot's FAC lens; right? | 15:12:27 |
| 24 | A. Yes. | 15:12:27 |
| 25 | Q. And he was already working with Mr. Boehmke | 15:12:34 |
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| 1 | Q. Let me ask a different question. | 15:30:07 |
|----|---|----------|
| 2 | If you wanted to have a point on the road | 15:30:09 |
| 3 | every 10 feet going out to 150 feet, is that an idea | 15:30:13 |
| 4 | that you guys came up with at Velodyne? | 15:30:17 |
| 5 | A. That I don't recall that idea at Velodyne, | 15:30:20 |
| 6 | no. | 15:30:20 |
| 7 | Q. Even though you guys were designing LiDARs | 15:30:24 |
| 8 | for self-driving cars? | 15:30:26 |
| 9 | A. We were designing LiDARs for mapping, | 15:30:29 |
| 10 | self-driving cars, robots. So, yes. | 15:30:34 |
| 11 | Q. And when you came to Otto, who came up with | 15:30:40 |
| 12 | the idea of wanting to get hit a point every 10 | 15:30:49 |
| 13 | feet on the road for every 150 feet? Or that concept, | 15:30:53 |
| 14 | where did that come from? | 15:30:55 |
| 15 | A. The vertical angles and the concepts behind | 15:31:01 |
| 16 | them I believe, my understanding was, came through | 15:31:04 |
| 17 | Scott Boehmke. | 15:31:05 |
| 18 | Q. Anyone else? | 15:31:06 |
| 19 | A. I'm not aware of anyone else. | 15:31:08 |
| 20 | Q. And you know that Scott Boehmke was talking | 15:31:11 |
| 21 | with Anthony Levandowski about LiDAR design when you | 15:31:15 |
| 22 | were joining; right? | 15:31:16 |
| 23 | A. Shortly after I joined, we have e-mails that | 15:31:18 |
| 24 | show that they were talking about LiDAR, yes. | 15:31:21 |
| 25 | Q. And, in particular, the e-mail that we were | 15:31:26 |
| | Page 279 | |

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| | 1 |
|--|--|
| just looking at, for example, shows that | 15:31:30 |
| Mr. Levandowski and Mr. Boehmke were talking about how | 15:31:35 |
| to implement 64 beams and the angles for each of | 15:31:39 |
| those; right? | 15:31:40 |
| A. They were talking about that topic, yes. | 15:31:45 |
| Q. And they were talking about that because | 15:31:47 |
| Mr. Levandowski was working with Mr. Boehmke on the | 15:31:50 |
| beam angles; right? | 15:31:52 |
| A. Well, you're making it sound like Anthony was | 15:31:57 |
| working on the beam angles. So I want to just be | 15:32:01 |
| clear. I don't know that he actually worked on beam | 15:32:04 |
| angles, but it does seem that he was asking Scott | 15:32:07 |
| Boehmke to design angles based on certain | 15:32:10 |
| manufacturing restrictions. | 15:32:12 |
| Q. And where do those manufacturing restrictions | 15:32:14 |
| come from? | 15:32:17 |
| A. It could have involved a discussion with | 15:32:22 |
| Anthony Levandowski, but it also included Dan Gruver | 15:32:27 |
| and myself. Maybe Gaetan Pennecot; I'm not sure. | 15:32:32 |
| Q. So going back to Mr. Levandowski's e-mail. | 15:32:42 |
| A. Yes. | 15:32:43 |
| Q. Gives Option A and Option B. | 15:32:48 |
| A. Yes. | |
| Q. Option A, | |
| | 15:32:54 |
| Pag | ge 280 |
| | Mr. Levandowski and Mr. Boehmke were talking about how to implement 64 beams and the angles for each of those; right? A. They were talking about that topic, yes. Q. And they were talking about that because Mr. Levandowski was working with Mr. Boehmke on the beam angles; right? A. Well, you're making it sound like Anthony was working on the beam angles. So I want to just be clear. I don't know that he actually worked on beam angles, but it does seem that he was asking Scott Boehmke to design angles based on certain manufacturing restrictions. Q. And where do those manufacturing restrictions come from? A. It could have involved a discussion with Anthony Levandowski, but it also included Dan Gruver and myself. Maybe Gaetan Pennecot; I'm not sure. Q. So going back to Mr. Levandowski's e-mail. A. Yes. Q. Gives Option A and Option B. A. Yes. Q. Option A, |

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2.1

CERTIFICATE OF DEPOSITION OFFICER

I, ANRAE WIMBERLEY, CSR NO. 7778, duly authorized to administer oaths pursuant to Section 8211 of the California Code of Civil Procedure, hereby certify that the witness in the foregoing deposition was by me sworn to testify to the truth, the whole truth and nothing but the truth in the within-entitled cause; that said deposition was taken at the time and place therein stated; that the testimony of said witness was reported by me and was thereafter transcribed by me or under my direction by means of computer-aided transcription; that the foregoing is a full, complete and true record of said testimony; and that the witness was given an opportunity to read and correct said deposition and to subscribe same.

I further certify that I am not of counsel or attorney for either or any of the parties in the foregoing deposition and caption named, nor in any way interested in the outcome of the cause named in said caption.

IN WITNESS WHEREOF, I have hereunto subscribed by my hand this 5th day of May, 2017.

Ansao Ulimberley

ANRAE WIMBERLEY, CSR NO. 7778

Page 403

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```
1
                 UNITED STATES DISTRICT COURT
 2
               NORTHERN DISTRICT OF CALIFORNIA
 3
                    SAN FRANCISCO DIVISION
 4
 5
                                     )
     WAYMO LLC,
 6
                   Plaintiff,
                                     ) Case No.
 7
                                     ) 3:17-cv-000939-WHA
                      vs.
 8
     UBER TECHNOLOGIES, INC.;
     OTTOMOTTO LLC; OTTO TRUCKING, )
 9
     INC.,
10
                   Defendants.
11
12
13
      *** HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY ***
14
15
            VIDEOTAPED DEPOSITION OF JAMES HASLIM
                   San Francisco, California
16
17
                   Wednesday, August 9, 2017
                           Volume III
18
19
20
21
     Reported by:
22
     CARLA SOARES
     CSR No. 5908
23
     Job No. 2675900
24
25
     Pages 404 - 724
                                                  Page 404
```

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| 1 | general technical input, did you ever get into the | 12:36:51 |
|----|--|----------|
| 2 | specifics of design features? | |
| 3 | A No, not that I recall. | |
| 4 | Q And how were these communications | |
| 5 | strike that. | 12:37:09 |
| 6 | What form did these communications with | |
| 7 | Mr. Levandowski take? | |
| 8 | A Verbal communication, maybe a white | |
| 9 | board. | |
| 10 | Q E-mails? | 12:37:25 |
| 11 | A I don't recall that, no. | |
| 12 | Q Text messages? | |
| 13 | A I don't recall that. | |
| 14 | MR. SCHMIDT: I'll mark the next exhibit | |
| 15 | in order. This is going to be Exhibit 570. | 12:37:43 |
| 16 | (Exhibit 570 was marked for identification | |
| 17 | and is attached hereto.) | |
| 18 | BY MR. SCHMIDT: | |
| 19 | Q Mr. Haslim, I've placed before you the | |
| 20 | next exhibit in order. This is marked as | 12:38:02 |
| 21 | Exhibit 570, and this looks to be a text message | |
| 22 | from you sent to Anthony Levandowski on | |
| 23 | September 10th, 2012. | |
| 24 | Do you see that? | |
| 25 | A Yes. | 12:38:17 |
| | | Page 512 |

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| 1 | Q And then the subsequent conversations | 12:38:17 |
|----|--|----------|
| 2 | the subsequent text messages look like they might be | |
| 3 | the next in a series on the same date. | |
| 4 | Do you see that? | |
| 5 | A Yeah, I guess I see the times look the | 12:38:35 |
| 6 | same day. | |
| 7 | Q And focusing your attention on the | |
| 8 | document ending in Bates No. 199104, the first | |
| 9 | page | |
| 10 | A Okay. | 12:38:53 |
| 11 | Q you say to Mr. Levandowski, "Good | |
| 12 | shape," and then you recite a series of what look to | |
| 13 | be technical parameters. | |
| 14 | Do you see that? | |
| 15 | A Yes. | 12:39:03 |
| 16 | Q Do you know what you were referencing | |
| 17 | here? | |
| 18 | A I vaguely recall I was referencing a | |
| 19 | performance of some avalanche photodiode output | |
| 20 | between shade and sunlight. | 12:39:21 |
| 21 | Q And what device was this in relation to? | |
| 22 | A What do you mean by "device"? | |
| 23 | Q Was this conversation relating to the | |
| 24 | development of a LiDAR device? | |
| 25 | A Yeah. This would be early in the | 12:39:37 |
| | | Page 513 |

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| 1 | I, the undersigned, a Certified Shorthand |
|----|--|
| 2 | Reporter of the State of California, do hereby |
| 3 | certify: |
| 4 | That the foregoing proceedings were taken |
| | before me at the time and place herein set forth; |
| 5 | that any witnesses in the foregoing proceedings, |
| 6 | prior to testifying, were administered an oath; that |
| 7 | a record of the proceedings was made by me using |
| 8 | machine shorthand which was thereafter transcribed |
| | under my direction; that the foregoing transcript is |
| 9 | a true record of the testimony given. |
| 10 | Further, that if the foregoing pertains to |
| 11 | the original transcript of a deposition in a Federal |
| 12 | Case, before completion of the proceedings, review |
| | of the transcript [X] was [] was not requested. |
| 13 | I further certify I am neither financially |
| 14 | interested in the action nor a relative or employee |
| 15 | of any attorney or any party to this action. |
| | IN WITNESS WHEREOF, I have this date |
| 16 | subscribed my name. |
| 17 | |
| 18 | Dated: August 10, 2017 |
| 19 | |
| 20 | |
| 21 | |
| 22 | 0 1 |
| 23 | Cara Soares |
| 24 | CARLA SOARES |
| 25 | CSR No. 5908 |
| | |
| | Page 724 |

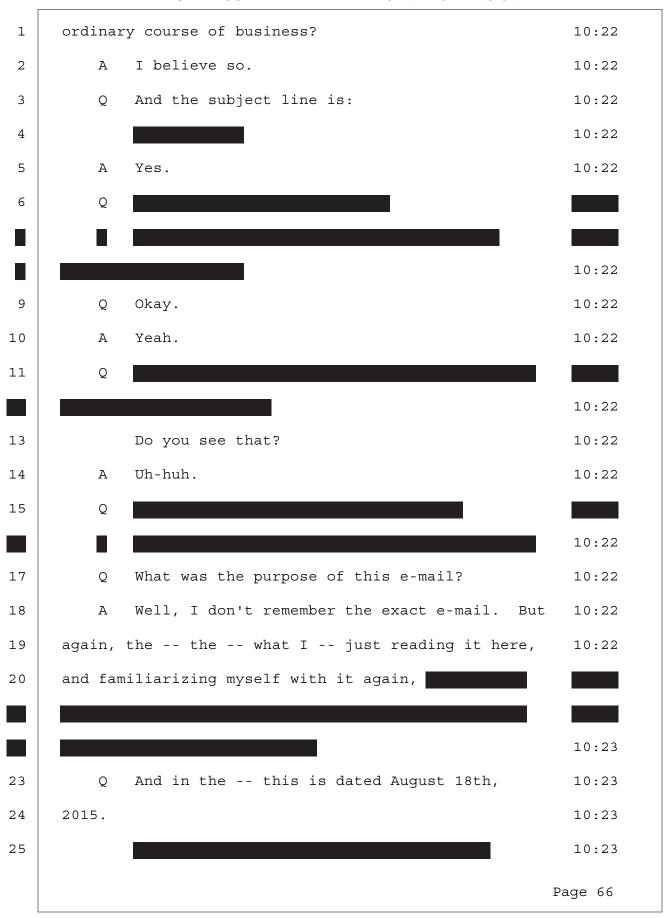
Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 74 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

| 1 | UNITED STATES DISTRICT COURT |
|----|---|
| 2 | NORTHERN DISTRICT OF CALIFORNIA |
| 3 | SAN FRANCISCO DIVISION |
| 4 | |
| 5 | WAYMO LLC, |
| 6 | Plaintiff, |
| 7 | vs. Case No. |
| 8 | UBER TECHNOLOGIES, INC.; 3:17-cv-00939-WHA |
| 9 | OTTOMOTTO LLC; OTTO TRUCKING, |
| 10 | INC., |
| 11 | Defendants. |
| 12 | / |
| 13 | |
| 14 | HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY |
| 15 | |
| 16 | VIDEOTAPED DEPOSITION OF JEFF HOLDEN |
| 17 | SAN FRANCISCO, CALIFORNIA |
| 18 | TUESDAY, AUGUST 15, 2017 |
| 19 | |
| 20 | |
| 21 | BY: ANDREA M. IGNACIO, CSR, RPR, CRR, CCRR, CLR ~ |
| 22 | CSR LICENSE NO. 9830 |
| 23 | JOB NO. 2660984 |
| 24 | |
| 25 | PAGES 1 - 341 |
| | Page 1 |

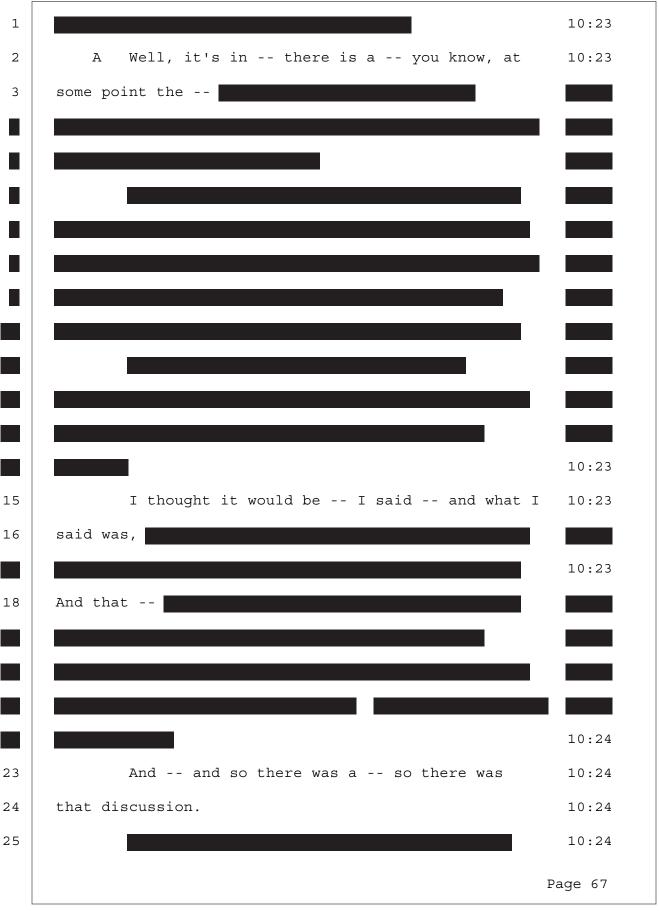
Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 75 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

| 1 | MS. ROBERTS: Q. My first question, I don't | 10:00 |
|----|---|---------|
| 2 | think you need to read before beyond the the | 10:00 |
| 3 | first page. | 10:00 |
| 4 | A Okay. | 10:00 |
| 5 | Q So I will represent to you that this is a | 10:00 |
| 6 | document that your counsel created and put together | 10:00 |
| 7 | and produced to us for the litigation, that lists | 10:00 |
| 8 | communications with Mr. Levandowski. | 10:00 |
| 9 | And I want to point you to the the | 10:00 |
| 10 | first Nos. 1 through 7, there are all | 10:00 |
| 11 | communications that you were involved in. | 10:00 |
| 12 | Do you see that? | 10:00 |
| 13 | A I do. | 10:00 |
| 14 | Q And so, if you look at 1 through 6, they | 10:00 |
| 15 | refer to | |
| | | |
| | Do you see that? | 10:01 |
| 18 | A Let's see. Yes. I see the date. | |
| | | 10:01 |
| 20 | Q Right. | 10:01 |
| 21 | And then, on the far right column, it says | 10:01 |
| 22 | "Subjects Discussed." | |
| | | 10:01 |
| 24 | A Yes. | 10:01 |
| 25 | Q Do you see that? | 10:01 |
| | | Page 55 |

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Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 77 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY



Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 78 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

| 1 | | |
|----|--|---------|
| | | |
| | | 10:24 |
| 4 | But if if we are | |
| | | |
| | | |
| | | |
| | | 10:24 |
| 9 | Q Okay. So we're going to take a look at | 10:24 |
| 10 | | 10:24 |
| 11 | But if you could turn I think it was | 10:24 |
| 12 | Exhibit 804. It was the really lengthy one. | 10:24 |
| 13 | A (Witness complies.) | 10:24 |
| 14 | Yes. | 10:24 |
| 15 | Q And so I pointed you to to the the | 10:24 |
| 16 | first seven rows there before. | 10:25 |
| 17 | A Yes. | 10:25 |
| 18 | Q And, if you see and and I'll I'll | 10:25 |
| 19 | represent to you that this is organized in | 10:25 |
| 20 | chronological order. | 10:25 |
| 21 | A Okay. | 10:25 |
| 22 | Q So those are the earliest discussions on the | 10:25 |
| 23 | first page. | 10:25 |
| 24 | | |
| | | 10:25 |
| | | Page 68 |
| | | |

Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 79 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

| 1 | A Uh-huh. | 10:25 |
|----|---|---------|
| 2 | Q And then row 7 references a | |
| | | |
| | | 10:25 |
| 5 | A Okay. | 10:25 |
| 6 | Q Between May of 2015 and September 23rd, 2015, | 10:25 |
| 7 | were you having discussions with Mr. Levandowski? | 10:25 |
| 8 | A I do not remember. | 10:25 |
| 9 | Q If you'd turn back to Exhibit 806, which is | 10:25 |
| 10 | the e-mail from Brian McClendon, | |
| | | 10:25 |
| 12 | A (Witness complies.) | 10:25 |
| 13 | Q Is it is it your understanding that you | 10:25 |
| 14 | received this e-mail at a time period when you were | 10:25 |
| 15 | having ongoing discussions with Mr. Levandowski? | 10:25 |
| 16 | A Yeah. I don't I just don't remember | 10:26 |
| 17 | the the timing of the the context. It could | 10:26 |
| 18 | have been, this is the only contact I had or you | 10:26 |
| 19 | know, during that period, or it could be the | |
| | I | 10:26 |
| 21 | definitely had more conversations with Anthony. I | 10:26 |
| 22 | just don't know when they were relative to these time | 10:26 |
| 23 | frames. | 10:26 |
| 24 | Q Did you ask for this information from | 10:26 |
| 25 | Mr. Bares | 10:26 |
| | | Page 69 |

Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 80 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

| 1 | Q | Can you turn back to Exhibit 804, which is | 15:41 |
|----|--------|---|----------|
| 2 | the b | ig log in front of you. | 15:41 |
| 3 | А | Uh-huh. | 15:41 |
| 4 | Q | And if you'd turn to page 19. | 15:41 |
| 5 | А | (Witness complies.) | 15:41 |
| 6 | | Okay. | 15:42 |
| 7 | Q | Entry 434 has the date September 2nd, 2016, | 15:42 |
| 8 | and yo | our name, and then the subject is: | 15:42 |
| 9 | | | |
| | | | 15:42 |
| 11 | А | Okay. | 15:42 |
| 12 | Q | Do you see that? | 15:42 |
| 13 | А | Yep. | 15:42 |
| 14 | Q | | 15:42 |
| 15 | on tha | at date? | 15:42 |
| 16 | А | Yes. Well, I don't know if I remember the | 15:42 |
| 17 | date, | but | |
| | | | 15:42 |
| 19 | Q | Okay. | 15:42 |
| 20 | А | Yeah. | 15:42 |
| 21 | Q | | |
| | | | |
| | | | 15:42 |
| 24 | Q | What what do you recall | |
| | | | 15:42 |
| | | | Page 267 |

Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 81 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

| 1 | A | |
|----|--|--------|
| | It was it was kind of lightweight. | 15:42 |
| 3 | I mean, it was we looked we I remember him | 15:42 |
| 4 | describing the fact that the trucks that were parked | 15:43 |
| 5 | in there were causing the structure to crumble. And | 15:43 |
| 6 | so we went downstairs and looked at the fact that that | 15:43 |
| 7 | was actually happening. It made me really kind of | 15:43 |
| 8 | nervous. | 15:43 |
| 9 | And then we looked at | |
| | | 15:43 |
| 11 | had, you know, | |
| | Looked at some of the testing | 15:43 |
| 13 | that sort of test benches they had built for | |
| | Looked at the team and, like, how | 15:43 |
| 15 | the team was organized and, you know, looked at his | 15:43 |
| 16 | company values. | 15:43 |
| 17 | | |
| | | 15:43 |
| 19 | Q And this was we previously talked about | 15:43 |
| 20 | Mr. Levandowski taking tours of Uber. | 15:43 |
| 21 | | |
| | | |
| | | 15:43 |
| 24 | Q Okay. And | |
| | | 15:43 |
| | Pa | ge 268 |

Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 82 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

| 1 | Q It lists you as a recipient of an e-mail, and | 15:46 |
|----|---|--------|
| 2 | the "Subject Discussed" is: | 15:46 |
| 3 | | |
| | | 15:46 |
| 5 | Do you see that? | 15:46 |
| 6 | A I do. | 15:46 |
| 7 | Q Do you remember attending | |
| | | |
| | | 15:46 |
| 10 | A Not in a not in a particular meeting. | 15:46 |
| 11 | Q Do you recall anything specific that was | 15:46 |
| 12 | discussed with Mr. Levandowski regarding | |
| | | 15:46 |
| 14 | A There is the one there's the one e-mail | 15:46 |
| 15 | that I sent that summarized the meeting. This is | 15:46 |
| 16 | this is based on what you showed me, so I don't I | 15:46 |
| 17 | mean, it's just that this is the only thing I | 15:46 |
| 18 | remember, which, you know, said that I had laid out | 15:46 |
| 19 | some initial high-level milestones. But that's the | 15:46 |
| 20 | only I only remember that because you showed it to | 15:46 |
| 21 | me. I don't remember anything else specific. | 15:46 |
| 22 | Q Other than what's summarized in the e-mail, | 15:46 |
| 23 | do you have any independent recollection of | 15:46 |
| 24 | discussions of those milestones? | 15:46 |
| 25 | A No, I don't. | 15:46 |
| | Pa | ge 271 |

1 CERTIFICATE OF REPORTER 2. 3 I, ANDREA M. IGNACIO, hereby certify that the witness in the foregoing deposition was by me duly 4 sworn to tell the truth, the whole truth, and nothing 5 but the truth in the within-entitled cause; 6 7 That said deposition was taken in shorthand 8 by me, a disinterested person, at the time and place therein stated, and that the testimony of the said 9 witness was thereafter reduced to typewriting, by 10 computer, under my direction and supervision; 11 12 That before completion of the deposition, 13 review of the transcript [x] was [] was not 14 requested. If requested, any changes made by the 15 deponent (and provided to the reporter) during the 16 period allowed are appended hereto. 17 I further certify that I am not of counsel or 18 attorney for either or any of the parties to the said deposition, nor in any way interested in the event of 19 this cause, and that I am not related to any of the 2.0 parties thereto. 21 Dated: 8/16/2017 22 23 ANDREA M. IGNACIO, 24 25 RPR, CRR, CCRR, CLR, CSR No. 9830

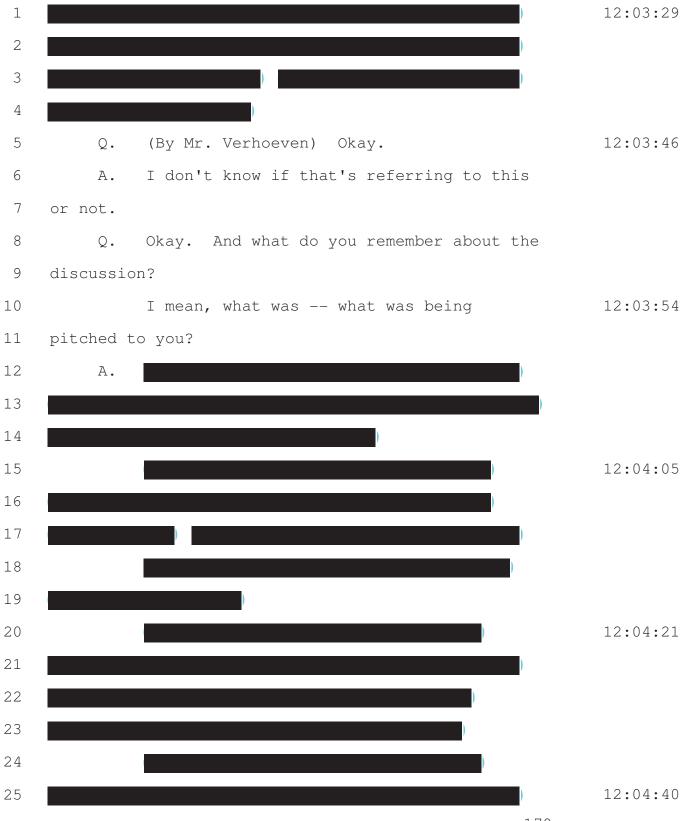
Page 341

Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 84 of 196 ATTORNEYS' EYES ONLY

| I | |
|----|--|
| 1 | UNITED STATES DISTRICT COURT |
| 2 | NORTHERN DISTRICT OF CALIFORNIA |
| 3 | SAN FRANCISCO DIVISION |
| 4 | |
| 5 | WAYMO LLC |
| 6 | Plaintiff, |
| 7 | vs. Case No. |
| 8 | UBER TECHNOLOGIES, INC.; 17-cv-00939-WHA |
| 9 | OTTOMOTTO, LLC; OTTO |
| 10 | TRUCKING LLC, |
| 11 | Defendants. |
| 12 | |
| 13 | |
| 14 | **ATTORNEYS' EYES ONLY** |
| 15 | |
| 16 | VIDEOTAPED DEPOSITION OF TRAVIS KALANICK |
| 17 | San Francisco, California |
| 18 | Thursday, July 27, 2017 |
| 19 | Volume I |
| 20 | |
| 21 | REPORTED BY: |
| 22 | REBECCA L. ROMANO, RPR, CSR No. 12546 |
| 23 | JOB NO. 2665725 |
| 24 | |
| 25 | PAGES 1 - 329 |
| | Page 1 |

| 1 | Google. It was about great talent in the space, | 12:01:25 |
|----|--|----------|
| 2 | period, coming from all the companies that are | |
| 3 | working on it. | |
| 4 | Q. (By Mr. Verhoeven) But you don't | |
| 5 | remember having discussions about Mr. Levandowski | 12:01:32 |
| 6 | hiring as many people as he could from Google's AV | |
| 7 | space after he came over? | |
| 8 | MS. DUNN: Objection to form. | |
| 9 | MR. CHATTERJEE: Join. | |
| 10 | THE DEPONENT: Generally, we wanted to | 12:01:47 |
| 11 | recruit as many great Google employees as we | |
| 12 | possibly could. | |
| 13 | We needed to figure out what were the | |
| 14 | right processes to do that, but we were very | |
| 15 | excited about somebody having somebody on our | 12:02:00 |
| 16 | team who was a visionary in the space who could | |
| 17 | attract that great talent. | |
| 18 | Q. (By Mr. Verhoeven) Okay. But do you | |
| 19 | remember having any my question was, do you | |
| 20 | remember having any conversations about it? | 12:02:15 |
| 21 | A. I would say I don't remember any | |
| 22 | specific conversations, but I would generally say | |
| 23 | that I would acknowledge that conversations like | |
| 24 | that occurred. | |
| 25 | Q. I direct your attention to back to the | 12:02:32 |

| 1 | document, | Exhibit 366, and to the same page we were | 12:02:33 |
|----|------------|---|----------|
| 2 | looking a | t. | |
| 3 | Α. | 336? | |
| 4 | Q. | 366. This is just the exhibit number of | |
| 5 | the docume | ent you're looking at. | 12:02:48 |
| 6 | Α. | Okay. Sorry. I was looking for the | |
| 7 | right page | e. | |
| 8 | Q. | The same page. | |
| 9 | A. | Okay. Yeah. | |
| 10 | Q. | And then the second-to-last line on the | 12:02:54 |
| 11 | page says | , quote, If the sensor idea is so good, | |
| 12 | why limit | ing scope to trucking? Close quote. | |
| 13 | | Do you see that? | |
| 14 | Α. | Yeah. | |
| 15 | Q. | And that's talking about a LiDAR sensor? | 12:03:07 |
| 16 | | MS. DUNN: Form. | |
| 17 | | MR. CHATTERJEE: Join. | |
| 18 | | THE DEPONENT: I I don't know what | |
| 19 | that's abo | out. | |
| 20 | Q. | (By Mr. Verhoeven) Do you remember | 12:03:18 |
| 21 | having di | scussions about Mr. Levandowski's idea | |
| 22 | about a L | iDAR sensor? | |
| 23 | | MS. DUNN: Form. | |
| 24 | | MR. CHATTERJEE: Form. | |
| 25 | | THE DEPONENT: | 12:03:28 |



Okay. You see at the top, on the left, 12:21:43 1 Q. it says, "TK, 4 January 2016." 2 3 Α. Yeah. And TK is -- is yourself, right? 4 Q. 5 I -- I didn't write this, but I would 12:21:56 6 assume so. 7 Yeah. Q. 8 And AL would refer to Levandowski, right? 9 Α. Yeah. 10 Q. And if you look down below the AL, to the 12:22:08 11 rite of it, there's a number of sentences there. 12 Do you see those? 13 First one says, quote, TK met up with him 14 over the weekend and is a big fan. 12:22:25 15 Α. Okay. 16 Q. Do you see that? 17 Α. Yeah. 18 Do you remember what that's referring to, Q. 19 meeting up with Mr. Levandowski over the weekend --20 I mean, I don't --12:22:31 Α. 21 Q. -- in January? 22 Yeah, I mean, I don't know specifics, but Α. I don't do a deal until I'm a big fan of what --23 24 who somebody is and what they're doing. Q. You met up with -- with Mr. Levandowski? 25 12:22:42

| 1 | documents related to the transaction. | 02:58:03 |
|----|---|----------|
| 2 | Q. Do you have any recollection of signing | |
| 3 | an indemnification agreement in connection with the | |
| 4 | transaction? | |
| 5 | A. I do not. | 02:58:10 |
| 6 | (Exhibit 383 was marked for | |
| 7 | identification by the court reporter and is | |
| 8 | attached hereto.) | |
| 9 | Q. (By Mr. Verhoeven) Let's mark as | |
| 10 | Exhibit 383 a document. It looks like a text | 02:58:30 |
| 11 | message from Anthony Levandowski, bearing Control | |
| 12 | Number Uber 73820. | |
| 13 | Do you see your phone number on there? | |
| 14 | A. Yes, I do. | |
| 15 | Q. This is a text from Mr. Levandowski to | 02:59:06 |
| 16 | you, time-stamped May 5th, 2016? | |
| 17 | A. Correct. | |
| 18 | Q. And Mr. Levandowski texts you saying, | |
| 19 | "Driving to SF to meet with Scott, ATC, laser guy | |
| 20 | and guide the team." | 02:59:22 |
| 21 | Do you see that? | |
| 22 | A. I do. | |
| 23 | Q. Who is Scott? | |
| 24 | A. I am not sure. I think maybe is the guy | |
| 25 | at ATC that works on lasers, maybe. | 02:59:31 |
| | 278 | |

| 1 | just read | d this real quick. But it's not clear. | 03:09:31 |
|----|-----------|---|----------|
| 2 | Because t | the first bullet was Drew, and the second | |
| 3 | bullet wa | as Anthony, but let me just read it and | |
| 4 | just give | e me a second. | |
| 5 | Q. | (By Mr. Verhoeven) Okay. | 03:09:40 |
| 6 | Α. | Yes, I have read. | |
| 7 | Q. | Now, directing your attention to the | |
| 8 | third bul | let | |
| 9 | Α. | Yeah. | |
| 10 | Q. | the solid bullet down | 03:11:32 |
| 11 | Α. | Yeah. | |
| 12 | Q. | of Mr. Holden's May 13th, 2016 | |
| 13 | email | | |
| 14 | Α. | Yeah. Yeah, yeah. | |
| 15 | Q. | where it says, "We also discussed the | 03:11:41 |
| 16 | laser pla | an." | |
| 17 | | That was a discussion with | |
| 18 | Mr. Levar | ndowski, right? | |
| 19 | Α. | I think it's a fair assumption. | |
| 20 | Q. | And then it says, " | 03:11:48 |
| 21 | | | |
| 22 | | Do you see that? | |
| 23 | Α. | Yeah. | |
| 24 | Q. | What's that a reference to? | |
| 25 | Α. | Sounds like something to do with lasers. | 03:11:59 |
| | | | |

```
1
          I, Rebecca L. Romano, a Certified Shorthand
 2
     Reporter of the State of California, do hereby
 3
     certify:
 4
          That the foregoing proceedings were taken
 5
    before me at the time and place herein set forth;
 6
     that any witnesses in the foregoing proceedings,
    prior to testifying, were administered an oath;
 7
 8
     that a record of the proceedings was made by me
 9
     using machine shorthand which was thereafter
     transcribed under my direction; that the foregoing
10
11
    transcript is true record of the testimony given.
12
          Further, that if the foregoing pertains to the
13
     original transcript of a deposition in a Federal
     Case, before completion of the proceedings, review
14
     of the transcript [ ] was [x] was not requested.
15
16
          I further certify I am neither financially
     interested in the action nor a relative or employee
17
18
     of any attorney or any party to this action.
19
          IN WITNESS WHEREOF, I have this date
20
     subscribed my name.
21
22
    Dated: July 27, 2017
23
24
                         <%signature%>
                         Rebecca L. Romano, RPR,
25
                         CSR. No 12546
```

Page 1 UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA SAN FRANCISCO DIVISION WAYMO LLC, Plaintiff,) vs.) Case No. UBER TECHNOLOGIES, INC.;) 3:17-cv-000939-WHA OTTOMOTTO LLC; OTTO TRUCKING,) INC., Defendants.) HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY VIDEOTAPED DEPOSITION OF ADAM KENVARG San Francisco, California Tuesday, August 15, 2017 Volume I Reported by: CARLA SOARES CSR No. 5908 JOB No. 2680990

PAGES 1 - 149

| | | Page 83 |
|----|--|----------|
| 1 | A I don't even need to flip through it. I | 11:50:22 |
| 2 | have no idea what this is. | |
| 3 | Q Okay. So I'll represent this is a | |
| 4 | document that's been provided by Uber as part of the | |
| 5 | requirements in this case set out from one of the | 11:50:33 |
| 6 | Court's orders. | |
| 7 | A Okay. | |
| 8 | Q And since you've never seen it before, I | |
| 9 | assume you've also not had any input into the | |
| 10 | contents of this document. | 11:50:47 |
| 11 | A Right. I don't know how I would. | 11.00.17 |
| 12 | Q Okay. | |
| 13 | A No. | |
| 14 | Q If you could turn to entry 206, please. | |
| 15 | A UBERO blah, blah, blah, blah | 11:51:03 |
| 16 | 429, is that the one? | 11.51.05 |
| | | |
| 17 | Q That's correct, yeah. | |
| 18 | A Um-hum. | |
| 19 | Q So we're going to be running through | 11 51 15 |
| 20 | different instances where your name shows up in | 11:51:15 |
| 21 | here. | |
| 22 | A Okay. | |
| 23 | Q So each time, I'll point you to an entry, | |
| 24 | and then I'll just have one or two questions about | |
| 25 | it, and we'll just kind of get through this as | 11:51:26 |
| | | |

| | | | Page 84 |
|----|---------------------|-----------------------------------|----------|
| 1 | quickly as possible | e, all right? | 11:51:28 |
| 2 | A Okay. | | |
| 3 | Q So in thi | s entry 206, it's from May 19th, | |
| 4 | 2016. Do you see y | your name listed in the | |
| 5 | "Recipient" column? | | 11:51:40 |
| 6 | A Yes. | | |
| 7 | Q And this | is the calendar invitation at the | |
| 8 | very far right for | weekly LiDAR stand-up meeting, | |
| 9 | 10:30 to 11:00. | | |
| 10 | Do you se | ee that? | 11:51:57 |
| 11 | A Um-hum. | | |
| 12 | Q Do you re | ecall the substance of this | |
| 13 | meeting? | | |
| 14 | A No. | | |
| 15 | Q Okay. Do | you recall whether or not | 11:52:03 |
| 16 | Mr. Levandowski pro | ovided any input in this meeting? | |
| 17 | A No. | | |
| 18 | Q The upsta | airs fishbowl, is that just a | |
| 19 | meeting room? | | |
| 20 | A Yes. | | 11:52:23 |
| 21 | Q All right | The next one is 269. It's a | |
| 22 | couple pages down. | | |
| 23 | A Same thin | ng, different date? | |
| 24 | Q That's co | orrect. | |
| 25 | And again | n, do you recall the substance of | 11:52:44 |
| | | | |

| | | Page 85 |
|----|--|----------|
| 1 | this meeting? | 11:52:45 |
| 2 | A No. I can give you a heads up for all the | |
| 3 | rest of the calendar invites for LiDAR stand-up | |
| 4 | meetings. I do not recall the substance of the | |
| 5 | meeting and I do not recall Anthony Levandowski even | 11:52:54 |
| 6 | being there. | |
| 7 | Q Okay. That will help us get through this | |
| 8 | a little bit quicker, I think. Malware and that's | |
| 9 | true for any of the LiDAR stand-ups; is that what | |
| 10 | you're saying? | 11:53:04 |
| 11 | A Yes. I don't ever recall him attending a | |
| 12 | LiDAR stand-up, and I don't recall any of the | |
| 13 | specific details of any particular meeting. | |
| 14 | Q What was, I guess, the general nature of a | |
| 15 | LiDAR stand-up meeting? What would be discussed? | 11:53:14 |
| 16 | A An update on what people are working on. | |
| 17 | Q And it looks like it's only a half-hour | |
| 18 | entry. So is it a pretty quick in-and-out-type | |
| 19 | meeting? | |
| 20 | A Yes. | 11:53:24 |
| 21 | Q And it's just sort of a check-in to see | |
| 22 | what people are doing; is that right? | |
| 23 | A Yes. | |
| 24 | Q So you're not generally discussing | |
| 25 | substance of any one person's particular project; is | 11:53:31 |
| | | |

| | | Page 93 |
|----|--|----------|
| 1 | which is a little bit further back in the lineup. | 12:02:42 |
| 2 | A Yep. | |
| 3 | Q This is February 2017, text message | |
| 4 | between Mr. Levandowski and yourself. The | |
| 5 | description says, "Anthony Levandowski states he | 12:03:11 |
| 6 | will be attending LiDAR lunch meeting and cannot | |
| 7 | wait to see point cloud on personal cell phone." | |
| 8 | Do you see that description? | |
| 9 | A Yes. | |
| 10 | Q Do you recall getting that text message? | 12:03:27 |
| 11 | A I have seen that text message. | |
| 12 | Q What was the point cloud that he was | |
| 13 | referencing in the text, do you recall? | |
| 14 | A I had mentioned that we had a point cloud. | |
| 15 | Q Did you text with Mr. Levandowski | 12:03:53 |
| 16 | regularly? | |
| 17 | A No, I would not say regularly. | |
| 18 | Q How often would you say? | |
| 19 | A I mean, you have the history of my text | |
| 20 | messages, so you can see specifically, but I don't | 12:04:09 |
| 21 | want to characterize it one way or another. | |
| 22 | MR. McCAULEY: Do we have his text | |
| 23 | messages? | |
| 24 | MS. CHANG: You do. | |
| 25 | MR. McCAULEY: Okay. Just making sure. | 12:04:22 |

| | | Page 124 |
|----|--|----------|
| 1 | Mr. Levandowski about LiDAR that informs your | 13:47:19 |
| 2 | opinion as to the fact that it was only a unilateral | |
| 3 | conversation? | |
| 4 | MS. CHANG: Objection. Vague and | |
| 5 | ambiguous. | 13:47:27 |
| 6 | THE WITNESS: I guess I'm trying to | |
| 7 | understand how what specifically you mean. | |
| 8 | BY MR. McCAULEY: | |
| 9 | Q You said in your view, the conversations | |
| 10 | only went in one direction. I just want to know how | 13:47:41 |
| 11 | your view has been formed. Is it from a direct | |
| 12 | interaction with Mr. Levandowski, is it just an | |
| 13 | opinion generally, or is it something else? | |
| 14 | A I've had conversations with him about | |
| 15 | LiDAR, and he has never given me any specific input | 13:47:53 |
| 16 | as to how it should be made. | |
| 17 | Q Okay. But your opinion isn't formed by | |
| 18 | anyone else's interactions with Mr. Levandowski; | |
| 19 | it's yours specifically, correct? | |
| 20 | A I can't speculate on other people's | 13:48:08 |
| 21 | interactions. | |
| 22 | Q Okay. And you've never had a conversation | |
| 23 | with anybody else | |
| 24 | A In which they no. | |
| 25 | Q Okay. Sorry. Just to clean that up, you | 13:48:16 |

I, the undersigned, a Certified Shorthand 1 2 Reporter of the State of California, do hereby certify: 3 That the foregoing proceedings were taken 4 before me at the time and place herein set forth; 5 6 that any witnesses in the foregoing proceedings, prior to testifying, were administered an oath; that 7 a record of the proceedings was made by me using 8 machine shorthand which was thereafter transcribed under my direction; that the foregoing transcript is 10 a true record of the testimony given. 11 Further, that if the foregoing pertains to 12 the original transcript of a deposition in a Federal 13 Case, before completion of the proceedings, review 14 of the transcript [] was [x] was not requested. 15 I further certify I am neither financially 16 interested in the action nor a relative or employee 17 of any attorney or any party to this action. 18 IN WITNESS WHEREOF, I have this date 19 subscribed my name. 20 Dated: 8/16/2017 21 2.2 23 a Soares CARLA SOARES 24 CSR No. 5908 25

Page 149

Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 99 of 196 ATTORNEYS EYES ONLY

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1
                  UNITED STATES DISTRICT COURT
 2
                 NORTHERN DISTRICT OF CALIFORNIA
 3
                     SAN FRANCISCO DIVISION
 4
 5
     WAYMO LLC,
 6
                    Plaintiff,
        vs.
                                              Case No.
 7
                                              17-cv-00939-WHA
     UBER TECHNOLOGIES, INC.;
 8
     OTTOMOTTO, LLC; OTTO TRUCKING LLC, )
 9
                   Defendants.
10
11
12
                      ATTORNEYS' EYES ONLY
13
14
                    VIDEOTAPED DEPOSITION OF
15
                        SAMEER KSHIRSAGAR
                    San Francisco, California
16
17
                     Friday, April 14, 2017
                             Volume I
18
19
20
21
22
     Reported by:
     MARY J. GOFF
     CSR No. 13427
23
24
     Job No. 2594019
     PAGES 1 - 49
25
                                                      Page 1
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Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 100 of 196 ATTORNEYS EYES ONLY

| 1 | Q They report directly to you? | 09:24 |
|----|--|---------|
| 2 | A Yes, they do. Well, Steven reports to | |
| 3 | Prashant. Prashant reports to me, yes. | |
| 4 | (Exhibit 11 was marked for identification | |
| 5 | and is attached to the transcript.) | 09:24 |
| 6 | Q I'm going to show you a document marked | |
| 7 | Exhibit 11. | |
| 8 | A Um-hum. | |
| 9 | MS. BAILY: I can only give you one this | |
| 10 | time. | 09:24 |
| 11 | Q (BY MS. BAILY) This is E-mail | |
| 12 | correspondence among you, Mr. Levandowski, and | |
| 13 | others. Do you see that? | |
| 14 | A Yes. | |
| 15 | Q This cor excuse me. | 09:25 |
| 16 | This correspondence took place while you | |
| 17 | and Mr. Levandowski were working at Otto, correct? | |
| 18 | A That's correct. | |
| 19 | Q The E-mails relate to purchases being made | |
| 20 | for a custom LiDAR system being developed at Otto; | 09:25 |
| 21 | is that correct? | |
| 22 | MR. PUNZALAN: Objection, form. | |
| 23 | A Yes. | |
| 24 | Q What was the name of that system? | |
| 25 | A I believe it was | 09:25 |
| | | Page 33 |

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Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 101 of 196 ATTORNEYS EYES ONLY

| 1 | Q Mr. Levandowski writes, Let's make sure we | 09:25 |
|----|---|---------|
| 2 | get the good concentrations of erbium and ytterbium | |
| 3 | from | |
| 4 | Do you see that? | |
| 5 | A Yes. | 09:25 |
| 6 | Q Did you understand Mr. Levandowski to be | |
| 7 | referring to levels of ion doping of the optical | |
| 8 | fiber to be used with the lasers? | |
| 9 | MR. PUNZALAN: Objection | |
| 10 | MS. PHILLIPS: Objection | 09:25 |
| 11 | MR. PUNZALAN: form. | |
| 12 | MS. PHILLIPS: form. | |
| 13 | A I believe that comment was for for | |
| 14 | James. I'm not sure what those things are, but | |
| 15 | Q You're not sure what erbium and ytterbium | 09:25 |
| 16 | are? | |
| 17 | A Correct. That that's not normal. I'm | |
| 18 | I'm sure I would have I I don't know | |
| 19 | who that comment was directed to. James is on this | |
| 20 | as well. | 09:26 |
| 21 | Q Are you aware whether, as part of the | |
| 22 | Spider LiDAR system, there were ion doped optical | |
| 23 | fibers used with the lasers? | |
| 24 | MR. PUNZALAN: Objection, form. | |
| 25 | MS. PHILLIPS: Objection, form. | 09:26 |
| | | Page 34 |

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Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 102 of 196 ATTORNEYS EYES ONLY

| 1 | A I yes. Yeah. | 09:26 |
|----|--|---------|
| 2 | Q (BY MS. BAILY) Do you understand what | |
| 3 | concentrations of ion doping Otto wanted for those | |
| 4 | fibers? | |
| 5 | MR. PUNZALAN: Objection, form. | 09:26 |
| 6 | MS. PHILLIPS: Objection, form. | |
| 7 | A No. | |
| 8 | Q Who would know that? | |
| 9 | A James. | |
| 10 | Q James who? | 09:26 |
| 11 | A Haslim. | |
| 12 | (Exhibit 12 was marked for identification | |
| 13 | and is attached to the transcript.) | |
| 14 | Q I'm showing you Exhibit 12. This is | |
| 15 | E-mail correspondence between you and | 09:27 |
| 16 | Mr. Levandowski and others. Do you see that? | |
| 17 | A Yes. | |
| 18 | Q You forwarded a data sheet for an | |
| 19 | micro EDFA to Mr. Levandowski for his review and | |
| 20 | comment; is that a fair characterization of this | 09:27 |
| 21 | document? | |
| 22 | MR. PUNZALAN: Objection, form. | |
| 23 | A Is is this what you're referencing? | |
| 24 | Q I'm representing I'm referencing you | |
| 25 | forwarding what appears to be a spec sheet for the | 09:27 |
| | | Page 35 |
| | | |

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Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 103 of 196 ATTORNEYS EYES ONLY

| 1 | micro EDFA. | 09:27 |
|----|--|---------|
| 2 | MR. PUNZALAN: Objection, form. Is that | |
| 3 | | |
| 4 | MS. PHILLIPS: Objection, form. | |
| 5 | MR. PUNZALAN: a question? | 09:28 |
| 6 | A I am not sure this is a spec sheet for | |
| 7 | something they have. I think this was a spec sheet | |
| 8 | for something he was looking for. | |
| 9 | Q Understood. An EDFA is an Erbium Doped | |
| 10 | Fiber Amplifier; is that correct? | 09:28 |
| 11 | MR. PUNZALAN: Objection, form. | |
| 12 | A I don't know how to answer that when you | |
| 13 | say it. And I can read the initials. And I would | |
| 14 | say yes, I would not know how to describe that | |
| 15 | before. | 09:28 |
| 16 | Q Was the interest in an EDFA strike | |
| 17 | that. | |
| 18 | Were you corresponding with | |
| 19 | Mr. Levandowski about an EDFA for use in the LiDAR | |
| 20 | spider system? | 09:28 |
| 21 | A I don't know what he wanted it for. | |
| 22 | Q Were you aware that Otto was interested in | |
| 23 | an EDFA for LiDAR purposes? | |
| 24 | MS. PHILLIPS: Objection, form. | |
| 25 | MR. PUNZALAN: Objection, form. | 09:29 |
| | | Page 36 |

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Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 104 of 196 ATTORNEYS EYES ONLY

I, MARY J. GOFF, CSR No. 13427, Certified 1 2 Shorthand Reporter of the State of California, certify; 3 That the foregoing proceedings were taken before me at the time and place herein set forth, at 4 which time the witness declared under penalty of 5 perjury; that the testimony of the witness and all 6 objections made at the time of the examination were 7 recorded stenographically by me and were thereafter transcribed under my direction and supervision; that 8 the foregoing is a full, true, and correct transcript of my shorthand notes so taken and of the 9 10 testimony so given; That before completion of the deposition, 11 12 review of the transcript (XX) was () was not requested: () that the witness has failed or refused to approve the transcript. 13 I further certify that I am not financially 14 interested in the action, and I am not a relative or 15 employee of any attorney of the parties, nor of any 16 of the parties. 17 I declare under penalty of perjury under the laws of California that the foregoing is true and 18 correct, dated this 14th day of April, 2017. 19 20 21 22 23 2.4 2.5 MARY J. GOFF, CSR No. 13427 Page 49

Page 1

UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA SAN FRANCISCO DIVISION

---000---

WAYMO LLC,

Plaintiff,

VS.

No. 3:17-cv-00939-WHA

UBER TECHNOLOGIES, INC.;
OTTOMOTTO LLC; OTTO TRUCKING,
INC.,

Defendants.

HIGHLY CONFIDENTIAL PURSUANT TO THE PROTECTIVE ORDER

VIDEOTAPED DEPOSITION OF MAXIME LEVANDOWSKI

SAN FRANCISCO, CALIFORNIA

TUESDAY, JULY 18, 2017

BY: ANDREA M. IGNACIO, CSR, RPR, CRR, CCRR, CLR ~ CSR LICENSE NO. 9830

JOB NO. 2661102

| | | Page 63 |
|----|---|---------|
| 1 | "July 3, 2017, Supplemental Log Pursuant to | 10:27 |
| 2 | Orders on Motion for Preliminary Relief and Special | 10:27 |
| 3 | Masters Protocol." | 10:27 |
| 4 | Q Do you see that? | 10:27 |
| 5 | A I see it. | 10:27 |
| 6 | Q All right. | 10:27 |
| 7 | Have you seen the document that I've labeled | 10:27 |
| 8 | as Exhibit 310 before? | 10:27 |
| 9 | A I think I did. | 10:28 |
| 10 | Q When have when have you seen this? | 10:28 |
| 11 | A Yesterday. | 10:28 |
| 12 | Q Before yesterday, have you seen this | 10:28 |
| 13 | document? | 10:28 |
| 14 | A No. | 10:28 |
| 15 | Q Okay. Were you involved in the preparation | 10:28 |
| 16 | of this document, as far as you know? | 10:28 |
| 17 | A No. | 10:28 |
| 18 | Q We talked earlier about how you were not | 10:28 |
| 19 | aware that Anthony Levandowski was speaking with Uber | 10:28 |
| 20 | when he talked with you in January 2017 2016 about | 10:28 |
| 21 | his new self-driving truck company; right? | 10:28 |
| 22 | A That's correct. | 10:28 |
| 23 | Q Now, I want to look at this document and just | 10:28 |
| 24 | go through a couple of entries. I mean, you can see | 10:28 |
| 25 | that they start in May 2015; right? | 10:28 |
| | | |

| | | Page 64 |
|----|--|---------|
| 1 | A I see that. | 10:29 |
| 2 | Q And, it describes meetings between | 10:29 |
| 3 | individuals from Uber and your brother, Anthony | 10:29 |
| 4 | Levandowski, starting in May 2015. And there's some | 10:29 |
| 5 | some number of them well before you started at | 10:29 |
| 6 | at the company and you had that discussion with | 10:29 |
| 7 | Anthony in January 2016. | 10:29 |
| 8 | Do you see that? | 10:29 |
| 9 | A Sounds correct. | 10:29 |
| 10 | Q So, in light of of Exhibit 301 [sic] here, | 10:29 |
| 11 | this this supplemental log provided by Uber's | 10:29 |
| 12 | lawyers, does it surprise you that Anthony didn't tell | 10:29 |
| 13 | you about Uber's involvement in his new venture? | 10:29 |
| 14 | A It does not. | 10:29 |
| 15 | Q Why doesn't it surprise you? | 10:29 |
| 16 | A Because we don't really talk work, first. | 10:29 |
| 17 | Also, even at Otto, like, when the company was | 10:29 |
| 18 | founded, 280 or whatever, I was not involved in the | 10:29 |
| 19 | organization of Otto. I was a junior entry-level | 10:29 |
| 20 | mechanical engineer, not, like, an executive, | 10:30 |
| 21 | whatever, management role. So no, I'm not surprised. | 10:30 |
| 22 | Q But, don't you think that he wasn't telling | 10:30 |
| 23 | you the whole truth when he was saying that "I was | 10:30 |
| 24 | setting up some start-up company," when really he was | 10:30 |
| 25 | talking with Uber in the background? | 10:30 |
| | | |

| | | | Page 87 |
|----|---|--|---------|
| 1 | either; | right? | 11:00 |
| 2 | А | That's fair. | 11:00 |
| 3 | Q | He's not an optical engineer; right? | 11:00 |
| 4 | А | That's correct. | 11:00 |
| 5 | Q | Does Anthony know how to use SolidWorks? | 11:00 |
| 6 | А | I don't know. | 11:00 |
| 7 | Q | You never talked about that? | 11:00 |
| 8 | А | Never I never saw him using it. | 11:00 |
| 9 | Q | You've never seen him use SolidWorks? | 11:00 |
| 10 | А | No. | 11:00 |
| 11 | Q | What about Altium? Have you ever seen him | 11:00 |
| 12 | use that? | | 11:00 |
| 13 | А | No. | 11:00 |
| 14 | Q | You referenced before that you and Anthony | 11:00 |
| 15 | 5 Levandowski spoke about LiDAR. | | |
| 16 | | When was the first time that you and Anthony | 11:01 |
| 17 | Levandowski spoke about LiDAR? | | 11:01 |
| 18 | А | So, I personally asked him multiple times, | 11:01 |
| 19 | "Неу, с | an you" so I was at the time, I had zero | 11:01 |
| 20 | knowledge about LiDAR. So, I was trying to, like, | | 11:01 |
| 21 | look at | some video and understand the concept, but | 11:01 |
| 22 | even th | e concept was difficult for me. | 11:01 |
| 23 | | So, I asked him about the concept. We talked | 11:01 |
| 24 | about i | t, I would say, in the first month, like, | 11:01 |
| 25 | shortly | after. | 11:01 |
| | | | |

| | Page | 111 |
|----|---|------|
| 1 | Levandowski has a personal laptop that he uses; right? 11 | 1:48 |
| 2 | A I don't know. | 1:48 |
| 3 | Q You don't know? | L:48 |
| 4 | A (Witness shakes head.) | L:48 |
| 5 | Q You have no knowledge whether he has a 11 | L:48 |
| 6 | personal laptop or not? | L:48 |
| 7 | A I know I know he has a computer. I don't 11 | L:48 |
| 8 | know if it's a work computer only, or if he also has a 11 | L:48 |
| 9 | personal computer. I do not know. | L:48 |
| 10 | Q So the the one computer that you know 11 | L:48 |
| 11 | about, what kind of computer is that? | L:48 |
| 12 | A It's a Mac. | L:48 |
| 13 | Q And how long has he had that one for? | L:48 |
| 14 | A I don't know. | L:49 |
| 15 | Q Years? Weeks? Days? | L:49 |
| 16 | A I don't know. | L:49 |
| 17 | Q You can't say? | L:49 |
| 18 | A I can't say. | L:49 |
| 19 | Q You have no idea whether Mr. Levandowski 11 | L:49 |
| 20 | Anthony Levandowski has had the one computer that you 11 | L:49 |
| 21 | know about for weeks, days, or years; is that right? | L:49 |
| 22 | A Correct. | L:49 |
| 23 | Q When was the first time, after you joined 11 | L:49 |
| 24 | Otto, that you spoke with Anthony Levandowski about 11 | L:49 |
| 25 | LiDAR? | L:49 |
| | | |

| | | | Page 112 |
|----|---------|--|----------|
| 1 | А | I would say the first week. | 11:49 |
| 2 | Q | Okay. And what did you guys discuss? | 11:49 |
| 3 | А | The concept of LiDARs. | 11:49 |
| 4 | Q | Where did you discuss that? | 11:49 |
| 5 | А | I believe it was at the house where Otto | 11:50 |
| 6 | started | 1. | 11:50 |
| 7 | Q | Who else was there? | 11:50 |
| 8 | А | It was Rhian Morgan. It was Colin Sebern, | 11:50 |
| 9 | Dan | not Dan Gruver. I I don't remember exactly | . 11:50 |
| 10 | Q | Was Dan Ratner there? | 11:50 |
| 11 | А | I don't remember exactly. | 11:50 |
| 12 | Q | So, let's go back to Exhibit 310 here. And, | 11:50 |
| 13 | can you | look at entry 89. They're numbered on the | 11:50 |
| 14 | left-ha | nd side. | 11:50 |
| 15 | А | <pre>3 what's the entry?</pre> | 11:50 |
| 16 | Q | Eight-nine, 89. | 11:50 |
| 17 | А | (Witness complies.) | 11:50 |
| 18 | | Yes. | 11:50 |
| 19 | Q | Do you see your name there as a as the | 11:50 |
| 20 | recipie | ent, presumably an attendee at this meeting? | 11:51 |
| 21 | А | Yes, I do. | 11:51 |
| 22 | Q | And, do you see in the description, it says: | 11:51 |
| 23 | | "New hire meeting, including discussion of | 11:51 |
| 24 | lasers. | " | 11:51 |
| 25 | | Do you see that? | 11:51 |
| | | | |

| | | | D 046 |
|----|--------------|---|-------------------|
| 1 | I.eszando | wski was head of Uber ATG; right? | Page 246 16:21 |
| 2 | Levando A | | 16:21 |
| | | If I remember correctly, yes. | |
| 3 | Q | And what did you send Anthony Levandowski in | |
| 4 | January | 2017? These pictures? | 16:21 |
| 5 | A | | |
| | | | |
| | | | 16:22 |
| 8 | Q | Why did you send these pictures to Anthony of | 16:22 |
| 9 | Fuji? | | 16:22 |
| 10 | А | | |
| | | | 16:22 |
| 12 | Q | And did you think Anthony would be interested | 16:22 |
| 13 | in this | information? | 16:22 |
| 14 | А | Yeah. | 16:22 |
| 15 | Q | Why? | 16:22 |
| 16 | А | To see that we are making progress. | 16:22 |
| 17 | Q | So Anthony even in January 2017, he was | 16:22 |
| 18 | interes | ted in the progress of Fuji; right? | 16:22 |
| 19 | А | Correct. | 16:22 |
| 20 | Q | All right. | 16:22 |
| 21 | | Turning to '87024. | 16:22 |
| 22 | А | (Witness complies.) | 16:22 |
| 23 | Q | This is another text message, it looks like, | 16:22 |
| 24 | that yo | u sent to him at the same time. | 16:22 |
| 25 | | What was what's depicted here, reflected | 16:22 |
| | | | |

| | P | age 247 |
|----|---|----------------|
| 1 | at '87025? | 16:22 |
| 2 | A So this is a zoom of the first cavity I | 16:22 |
| 3 | designed, and I believe that was from two different | 16 : 22 |
| 4 | vendors. | 16 : 22 |
| 5 | Q These are the Fuji cavities? | 16:23 |
| 6 | A Correct. | 16:23 |
| 7 | Q So, you're sending Anthony Levandowski, in | 16:23 |
| 8 | January 2017, detailed pictures of the Fuji cavity; | 16:23 |
| 9 | right? | 16:23 |
| 10 | A Correct. | 16:23 |
| 11 | Q Let's look at the next one, so here on | 16:23 |
| 12 | page '87026. | 16:23 |
| 13 | A (Witness complies.) | 16:23 |
| 14 | Q This is another message that you texted to | 16:23 |
| 15 | Anthony Levandowski. This one is March 10th, 2017; is | 16:23 |
| 16 | that right? | 16 : 23 |
| 17 | A It looks correct. | 16:23 |
| 18 | Q And what did you send Anthony Levandowski on | 16:23 |
| 19 | March 10th, 2017? | 16:23 |
| 20 | A So this is, I believe, | |
| | | 16 : 23 |
| 22 | Q Why were you sending this to Anthony | 16 : 23 |
| 23 | Levandowski, this here at pages '87026? | 16 : 23 |
| 24 | A Again, just happy to show him the progression | 16 : 23 |
| 25 | on Fuji. | 16 : 23 |
| | | |

| | | | Page 249 |
|----|----------|---|----------|
| 1 | Q | This is another picture that you were sending | 16:24 |
| 2 | Anthony | Levandowski on March 10th, 2017? | 16:25 |
| 3 | А | That's correct. | 16:25 |
| 4 | Q | | |
| | | ; is that right? | 16:25 |
| 6 | A | Correct. This is a zoom of the first | 16:25 |
| 7 | previous | s picture we talked about. | 16:25 |
| 8 | Q | And then the next page, '87031, is another | 16:25 |
| 9 | picture | of the same thing? | 16:25 |
| 10 | А | ' 87 | 16:25 |
| 11 | Q | ' 87030. | 16:25 |
| 12 | А | (Witness complies.) | 16:25 |
| 13 | | Yes, yes, it will be the same. | 16:25 |
| 14 | Q | And did you discuss with Anthony the | 16:25 |
| 15 | | pictures you sent him in March 2017? | 16:25 |
| 16 | A | No. It was just to show the | |
| | | | 16:25 |
| 18 | Q | So, looking at page '87031. | 16:25 |
| 19 | А | (Witness complies.) | 16:25 |
| 20 | | Yep. | 16:25 |
| 21 | Q | There is a text from a Philipp Haban? Haban? | 16:25 |
| 22 | А | Correct. | 16:25 |
| 23 | Q | How do you pronounce it? | 16:25 |
| 24 | А | Haban. | 16:25 |
| 25 | Q | Haban. | 16:25 |
| | | | |

| | | | Page 265 |
|----|-----------------|---|----------|
| 1 | talked | to him, but I see who he is. | 16:43 |
| 2 | Q | And did Mr. Ulrich did he end up being | 16:43 |
| 3 | your | the lead mechanical engineer in San Francisco | ? 16:43 |
| 4 | А | He did not. | 16:43 |
| 5 | Q | Okay. Do you know why that happened? | 16:43 |
| 6 | А | He declined the offer. | 16:43 |
| 7 | Q | Do you know why he declined the offer? | 16:43 |
| 8 | А | I'm not sure. | 16:43 |
| 9 | Q | Have you heard any information why he | 16:43 |
| 10 | decline | ed the offer? | 16:43 |
| 11 | А | No. | 16:44 |
| 12 | Q | You've never heard any reason? | 16:44 |
| 13 | А | Not that I remember. | 16:44 |
| 14 | Q | Did you ask anyone about it? | 16:44 |
| 15 | А | No. | 16:44 |
| 16 | | We found another ME lead, and so | 16:44 |
| 17 | Q | Who did you end up hiring as the ME lead? | 16:44 |
| 18 | А | I was not the one hiring. But the ME lead | 16:44 |
| 19 | now was | is Gorah Wyer, my direct manager. | 16:44 |
| 20 | Q | Can you spell that, please? | 16:44 |
| 21 | А | So G-O-R-A-H, Wyer, W-Y-E-R. | 16:44 |
| 22 | Q | So, on the next page, there is a text from | 16:44 |
| 23 | you, sa | me date, February 10th, 2017. We're on page | 16:44 |
| 24 | ' 87055. | | 16:44 |
| 25 | А | (Witness complies.) | 16:44 |
| | | | |

| | | | Page 266 |
|----|----------|--|----------------|
| 1 | Q | You say: | 16:44 |
| 2 | | "I think I remember him. Name is familiar. | 16:44 |
| 3 | 510?" | | 16:44 |
| 4 | А | Correct. | 16:44 |
| 5 | Q | Do you what happened after you sent this | 16:44 |
| 6 | message | to Anthony? | 16:45 |
| 7 | А | He told me yes, that was someone that was at | 16:45 |
| 8 | 510 yea: | rs ago. | 16:45 |
| 9 | Q | And then, did you have any further | 16:45 |
| 10 | convers | ations with Anthony about this? | 16:45 |
| 11 | А | Not that I remember. | 16:45 |
| 12 | Q | Let's keep going here. '87056. It's a text | 16:45 |
| 13 | from you | u to Anthony, dated March 2nd, 2017. | 16:45 |
| 14 | | Do you see that? | 16:45 |
| 15 | А | ' 87056? | 16:45 |
| 16 | Q | Uh-huh. | 16:45 |
| 17 | А | (Witness complies.) | 16:45 |
| 18 | | Correct, yes. | 16:45 |
| 19 | Q | And the first part of this text is: | 16:45 |
| 20 | | "Why do you want to take cavities to Pitt?" | 16:45 |
| 21 | | Do you see that? | 16:45 |
| 22 | А | I do see it. | 16:45 |
| 23 | Q | What prompted this text message from you to | 16:45 |
| 24 | Anthony | in March 2017? | 16:45 |
| 25 | A | He he told me that he wanted to he | 16 : 45 |

| | P | age 267 |
|----|---|----------------|
| 1 | wanted me to send a cavity to Pittsburgh, so I was | 16:45 |
| 2 | asking him why. | 16:46 |
| 3 | Q How did he communicate that he wanted you to | 16:46 |
| 4 | send a cavity to Pittsburgh? | 16:46 |
| 5 | A I think he was running through the office and | 16:46 |
| 6 | asked me to, like, send a cavity, and then left, like | 16:46 |
| 7 | he usually do. And then I wanted more information, so | 16:46 |
| 8 | I text him. | 16:46 |
| 9 | Q And the next page here, '87057. | 16:46 |
| 10 | A (Witness complies.) | 16:46 |
| 11 | Q Anthony responds and he says: | 16:46 |
| 12 | "I want to show how our tech compares to | 16:46 |
| 13 | Velodyne and publicly available Google stuff." | 16:46 |
| 14 | Do you see that? | 16:46 |
| 15 | A Correct. | 16:46 |
| 16 | Q What was your understanding when you received | 16:46 |
| 17 | this? | 16:46 |
| 18 | A So, I think the lawsuit was already the | 16:46 |
| 19 | lawsuit already happened. And so I didn't follow up | 16:46 |
| 20 | on that. I was not the one comparing. But I think he | 16:46 |
| 21 | wanted to compare Fuji, Velodyne, and what was | 16:46 |
| 22 | publicly available online or whatever were from | 16:46 |
| 23 | Google. | 16:46 |
| 24 | Q Why did he want to compare the Fuji to | 16:46 |
| 25 | Google's LiDAR? | 16 : 47 |
| | | |

HIGHLY CONFIDENTIAL PURSUANT TO THE PROTECTIVE ORDER

| | HIGHET CONTIDENTIAL FORGONINT TO THE TROTLE THE DESCRIPTION OF THE PROPERTY OF |
|----|--|
| 1 | CERTIFICATE OF REPORTER |
| 2 | I, ANDREA M. IGNACIO, hereby certify that the |
| 3 | witness in the foregoing deposition was by me duly |
| 4 | sworn to tell the truth, the whole truth, and nothing |
| 5 | but the truth in the within-entitled cause; |
| 6 | That said deposition was taken in shorthand |
| 7 | by me, a disinterested person, at the time and place |
| 8 | therein stated, and that the testimony of the said |
| 9 | witness was thereafter reduced to typewriting, by |
| 10 | computer, under my direction and supervision; |
| 11 | That before completion of the deposition, |
| 12 | review of the transcript [x] was [] was not |
| 13 | requested. If requested, any changes made by the |
| 14 | deponent (and provided to the reporter) during the |
| 15 | period allowed are appended hereto. |
| 16 | I further certify that I am not of counsel or |
| 17 | attorney for either or any of the parties to the said |
| 18 | deposition, nor in any way interested in the event of |
| 19 | this cause, and that I am not related to any of the |
| 20 | parties thereto. |
| 21 | Dated: 7/21/2017 |
| | |

23

ANDREA M. IGNACIO, RPR, CRR, CCRR, CLR, CSR No. 9830

25

24

22

Page 93 UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA SAN FRANCISCO DIVISION WAYMO LLC, Plaintiff, VS.) Case No. UBER TECHNOLOGIES, INC.,) 3:17-cv-00939-WHA OTTOMOTTO LLC; OTTO TRUCKING) LLC, Defendants. HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY CONTINUED VIDEOTAPED DEPOSITION OF ASHEEM LINAVAL Volume 2 San Francisco, California Tuesday, August 15, 2017 REPORTED BY: JOHNNA PIPER CSR 11268 JOB No. 2680991

PAGES 93 - 257

| | | Page 219 |
|----|--|----------|
| 1 | about these meetings. | 12:05:46 |
| 2 | If you could go first to entry Number 206. | 12:05:48 |
| 3 | A. Sorry, I'm just going to take a moment here | 12:05:55 |
| 4 | and | 12:05:57 |
| 5 | Q. Take all the time you need. | 12:05:57 |
| 6 | A. Okay. | 12:07:09 |
| 7 | Q. Okay. So looking at entry 206, the second | 12:07:09 |
| 8 | column over is labeled Bates Number. That means | 12:07:24 |
| 9 | that Uber has produced a document to us that | 12:07:27 |
| 10 | provides evidence of this meeting and that's the | 12:07:31 |
| 11 | number that is on that document. Then you've got | 12:07:32 |
| 12 | the date, which will be the date on that document. | 12:07:35 |
| 13 | The time, which is blank here, but if it were on | 12:07:37 |
| 14 | that document, would presumably be in this field. | 12:07:40 |
| 15 | Author sent, I think you understand that field. | 12:07:43 |
| 16 | Recipients, and there's a CC, BCC, place, mode of | 12:07:45 |
| 17 | communication, and subjects discussed. Okay. So | 12:07:51 |
| 18 | that is how this log is laid out. | 12:07:53 |
| 19 | Do you see that? | 12:07:55 |
| 20 | A. Uh-huh. | 12:07:55 |
| 21 | Q. So entry 206 is dated May 19th, 2016. It's | 12:07:56 |
| 22 | a calendar invitation for a weekly LiDAR stand-up | 12:08:04 |
| 23 | meeting on May 19th, 2016. | 12:08:08 |
| 24 | Do you see that? | 12:08:11 |
| 25 | A. Uh-huh. | 12:08:11 |
| | | |

| | | Page 220 |
|----|--|----------|
| 1 | Q. And in the recipients field, it's about | 12:08:11 |
| 2 | 60 percent of the way down, and your name breaks | 12:08:15 |
| 3 | over two lines. | 12:08:18 |
| 4 | Do you see your name there? | 12:08:19 |
| 5 | A. I see it. | 12:08:20 |
| 6 | Q. Do you recall this weekly LiDAR stand-up | 12:08:20 |
| 7 | meeting on May 19th, 2016? | 12:08:24 |
| 8 | A. I remember stand-up meetings from about | 12:08:25 |
| 9 | that era. Oh. I don't know if I can recall that | 12:08:31 |
| 10 | specific one, but I remember meetings like it. | 12:08:39 |
| 11 | Q. To the best of your recollection, you don't | 12:08:41 |
| 12 | remember specifically the meeting on May 19th, 2016? | 12:08:47 |
| 13 | A. Correct. | 12:08:50 |
| 14 | Q. Do you remember specifically any | 12:08:52 |
| 15 | contribution that Mr. Levandowski made to that | 12:08:55 |
| 16 | meeting on May 19th, 2016? | 12:08:57 |
| 17 | A. I do not. | 12:09:00 |
| 18 | Q. Can we move to Number 269? | 12:09:01 |
| 19 | A. 269, found it. | 12:09:18 |
| 20 | Q. This is a calendar invitation to a LiDAR | 12:09:19 |
| 21 | stand-up meeting dated June 9th, 2016. | 12:09:23 |
| 22 | Do you see that? | 12:09:26 |
| 23 | A. Uh-huh. | 12:09:27 |
| 24 | Q. Do you see your name in the recipient | 12:09:27 |
| 25 | field? | 12:09:29 |
| | | |

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| | | Page 222 |
|----|---|----------|
| 1 | A. On 299. | 12:10:39 |
| 2 | Q. Did you regularly attend these weekly LiDAR | 12:10:40 |
| 3 | stand-up meetings? | 12:10:44 |
| 4 | A. Yeah, to to the degree that I was | 12:10:45 |
| 5 | available for them, yes. | 12:10:50 |
| 6 | Q. Were you generally available for them? | 12:10:51 |
| 7 | A. Generally. | 12:10:53 |
| 8 | Q. Okay. This entry 299 corresponds to a | 12:10:54 |
| 9 | June 23rd, 2016, LiDAR stand-up meeting. | 12:10:59 |
| 10 | Do you see that? | 12:11:02 |
| 11 | A. I do. | 12:11:02 |
| 12 | Q. And you see your name listed as a | 12:11:03 |
| 13 | recipient? | 12:11:05 |
| 14 | A. I do. | 12:11:05 |
| 15 | Q. Do you recall a June 23rd, 2016, LiDAR | 12:11:06 |
| 16 | stand-up meeting? | 12:11:11 |
| 17 | A. Not specifically. | 12:11:11 |
| 18 | Q. Do you recall specifically any | 12:11:13 |
| 19 | contributions that Anthony Levandowski made at that | 12:11:15 |
| 20 | meeting? | 12:11:17 |
| 21 | A. I don't. | 12:11:17 |
| 22 | Q. Can we move to Number 363, please? | 12:11:18 |
| 23 | This corresponds to a July 21st, 2016, | 12:11:22 |
| 24 | LiDAR stand-up meeting. | 12:11:32 |
| 25 | Do you see that? | 12:11:33 |
| | | |

| | | Page 224 |
|----|---|----------|
| 1 | September 15th, 2016, stand-up meeting. | 12:12:30 |
| 2 | Do you see that? | 12:12:33 |
| 3 | A. September 15th, I see that. | 12:12:33 |
| 4 | Q. Do you recall attending a September 20 | 12:12:36 |
| 5 | excuse me, September 15th, 2016, LiDAR stand-up | 12:12:40 |
| 6 | meeting? | 12:12:46 |
| 7 | A. I don't remember the specific meeting. | 12:12:46 |
| 8 | Q. Do you recall whether Anthony Levandowski | 12:12:48 |
| 9 | provided any input at this meeting? | 12:12:51 |
| 10 | A. I do not. | 12:12:52 |
| 11 | Q. Can we move to 491? | 12:12:53 |
| 12 | 491 corresponds to a LiDAR stand-up meeting | 12:12:56 |
| 13 | on September 29th, 2016. | 12:13:03 |
| 14 | Do you see that? | 12:13:05 |
| 15 | A. September September 29th, I see that. | 12:13:06 |
| 16 | Q. Do you recall attending the September 29th, | 12:13:09 |
| 17 | 2016, LiDAR stand-up meeting? | 12:13:13 |
| 18 | A. I remember attending meetings like it. I | 12:13:15 |
| 19 | don't remember if I attended this specific one. | 12:13:19 |
| 20 | Q. Do you recall what, if any, contribution | 12:13:21 |
| 21 | Anthony Levandowski made during this meeting? | 12:13:23 |
| 22 | A. I can't recall if if Anthony had any | 12:13:26 |
| 23 | contribution to this meeting. | 12:13:30 |
| 24 | Q. Can we go to entry Number 500, please? | 12:13:31 |
| 25 | A. Okay. | 12:13:38 |
| | | |

CERTIFICATE OF REPORTER 1 I, JOHNNA PIPER, a Certified Shorthand 2 Reporter, hereby certify that the witness in the 3 foregoing deposition was by me duly sworn to tell 4 the truth, the whole truth, and nothing but the 5 truth in the within-entitled cause; 6 That said deposition was taken in shorthand 7 by me, a disinterested person, at the time and place 8 therein stated, and that the testimony of the said 9 witness was thereafter reduced to typewriting, by 10 computer, under my direction and supervision; 11 That before completion of the deposition, 12 review of the transcript [] was [X] was not 13 requested. If requested, any changes made by the 14 deponent (and provided to the reporter) during the 15 period allowed are appended hereto. 16 I further certify that I am not of counsel 17 or attorney for either or any of the parties to the 18 said deposition, nor in any way interested in the 19 event of this cause, and that I am not related to 20 any of the parties thereto. 21 DATED: 8/16/2017 22 23 24 JOHNNA PIPER, CSR NO. 11268 25

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| 1 | UNITED STATES DISTRICT COURT |
|----|--|
| 2 | NORTHERN DISTRICT OF CALIFORNIA |
| 3 | SAN FRANCISCO DIVISION |
| 4 | |
| 5 | WAYMO LLC |
| 6 | Plaintiff, |
| 7 | vs. Case No. 17-cv-00939-WHA |
| 8 | UBER TECHNOLOGIES, INC.; |
| | OTTOMOTTO, LLC; OTTO |
| 9 | TRUCKING LLC, |
| 10 | Defendants. |
| | |
| 11 | |
| 12 | **HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY** |
| 13 | |
| 14 | VIDEO DEPOSITION OF BRIAN McCLENDON |
| 15 | Palo Alto, California |
| 16 | Tuesday, August 1, 2017 |
| 17 | Volume I |
| 18 | |
| 19 | |
| 20 | |
| 21 | REPORTED BY: |
| 22 | REBECCA L. ROMANO, RPR, CSR No. 12546 |
| 23 | JOB NO. 2668964 |
| 24 | |
| 25 | PAGES 1 - 217 |
| | |
| | Page 1 |

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| 1 | Q. He was tasked not to? | 05:07:30 |
|----|---|----------|
| 2 | A. He was told not to set I mean, the | |
| 3 | goal was to merge the teams and produce one great | |
| 4 | autonomy, products, software, development, | |
| 5 | environment, and everything. And and that was | 05:07:44 |
| 6 | his goal. That's what he was asked to do by | |
| 7 | Travis, as far as I understand. | |
| 8 | And some of his actions did not do that. | |
| 9 | And some of his team eventually forked off and kind | |
| 10 | of worked on their own thing. But other parts of | 05:07:55 |
| 11 | his team worked much more closely with Pittsburgh. | |
| 12 | So the split sort of happened more on | |
| 13 | his on the Otto side than anywhere else. | |
| 14 | (Exhibit 444 was marked for | |
| 15 | identification by the court reporter and is | 05:08:09 |
| 16 | attached hereto.) | |
| 17 | Q. (By Mr. Perlson) You have been handed | |
| 18 | what's been marked as Exhibit 444, Uber 69083 to | |
| 19 | 84. It's an email string with yourself, | |
| 20 | Mr. Levandowski and others. It refers to Bluenote. | 05:09:21 |
| 21 | What what is that? | |
| 22 | A. Bluenote is the name of Uber's equivalent | |
| 23 | of Street View, if you know what Google Street View | |
| 24 | cameras are like. So driving around a relatively | |
| 25 | cheap camera system to collect data for map making. | 05:09:34 |
| | | Page 170 |

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| 1 | And and it we had acquired a | 05:09:38 |
|----|--|----------|
| 2 | company. We had acquired a group from Microsoft, | |
| 3 | including all of the technology and all of the | |
| 4 | hardware. And they had, not Bluenote, but some | |
| 5 | very expensive to operate and to to run system | 05:09:53 |
| 6 | that we replaced with a much lower cost system | |
| 7 | called Bluenote. | |
| 8 | But we also inherited all of the lasers | |
| 9 | from that system, which is what what are the 100 | |
| 10 | Velodyne 32 beam lasers that are referred to in | 05:10:08 |
| 11 | that first line at the bottom. | |
| 12 | Q. Got it. | |
| 13 | (Exhibit 445 was marked for | |
| 14 | identification by the court reporter and is | |
| 15 | attached hereto.) | 05:10:15 |
| 16 | (Exhibit 446 was marked for | |
| 17 | identification by the court reporter and is | |
| 18 | attached hereto.) | |
| 19 | Q. (By Mr. Perlson) All right, so these are | |
| 20 | two emails, Exhibit 445 and 446. The first one | 05:12:09 |
| 21 | is Exhibit 445 is an email from yourself to | |
| 22 | Mr. Levandowski on October 1st, 2016. It says, | |
| 23 | "Talk with Raffi." | |
| 24 | Do you see that? | |
| 25 | A. Yes. | 05:12:33 |
| | | Page 171 |

Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 127 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

1 I, Rebecca L. Romano, a Certified Shorthand Reporter of the State of California, do hereby 2 certify: 3 That the foregoing proceedings were taken 4 before me at the time and place herein set forth; that any witnesses in the foregoing proceedings, 5 prior to testifying, were administered an oath; 6 that a record of the proceedings was made by me using machine shorthand which was thereafter 8 transcribed under my direction; that the foregoing transcript is true record of the testimony given. 9 Further, that if the foregoing pertains to the 10 original transcript of a deposition in a Federal 11 12 Case, before completion of the proceedings, review 13 of the transcript [] was [X] was not requested. 14 I further certify I am neither financially interested in the action nor a relative or employee 15 of any attorney or any party to this action. IN WITNESS WHEREOF, I have this date 16 subscribed my name. 17 18 Dated: August 2, 2017 19 2.0 2.1 22 23 24 Rebecca L. Romano, RPR, CSR. No 12546 2.5 Page 217

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1
                 UNITED STATES DISTRICT COURT
               NORTHERN DISTRICT OF CALIFORNIA
2
                    SAN FRANCISCO DIVISION
4
5
    WAYMO LLC
6
        Plaintiff,
7
                              Case No.
               vs.
    UBER TECHNOLOGIES, INC.; 17-cv-00939-WHA
8
    OTTOMOTTO, LLC; OTTO
9
10
    TRUCKING LLC,
11
        Defendants.
12
13
14
15
           VIDEOTAPED DEPOSITION OF EMIL MICHAEL
16
                  San Francisco, California
17
                    Friday, July 28, 2017
18
                           Volume I
19
20
21
    REPORTED BY:
    REBECCA L. ROMANO, RPR, CSR No. 12546
22
23
   JOB NO. 2666869
24
25
    PAGES 1 - 158
                                             Page 1
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| 1 | Do you recall talking about the meeting | 10:28:15 |
|----|--|----------|
| 2 | that they had that's referred to here? | |
| 3 | A. I don't. | |
| 4 | Q. Do you recall whether as of | |
| 5 | October 5th, 2015, that Mr. Kalanick was was he | 10:28:37 |
| 6 | involved in in the discussions regarding | |
| 7 | Mr. Levandowski's future company at that point? | |
| 8 | A. I don't believe he was involved that | |
| 9 | early on, no. | |
| 10 | Q. Do you recall when he got involved? | 10:29:02 |
| 11 | A. I don't exactly, but it was it was | |
| 12 | later in the later in the winter fall/winter | |
| 13 | of that year. At least another month or two beyond | |
| 14 | this date. | |
| 15 | Q. Handing you what's been previously marked | 10:30:35 |
| 16 | as Exhibit 252. It's a another meeting invite | |
| 17 | regarding Newco. | |
| 18 | Do you see that this one is dated | |
| 19 | October 23rd and you are on the list? | |
| 20 | Do you see that? | 10:30:50 |
| 21 | A. Yes. | |
| 22 | Q. Do you recall what was discussed at this | |
| 23 | meeting? | |
| 24 | MS. RAY: Objection. | |
| 25 | You may answer to the extent that it's | 10:30:55 |
| | | Page 59 |

| 1 | not privileged, but there are attorneys that were | 10:30:58 |
|----|---|----------|
| 2 | present at this meeting. So don't answer as to | |
| 3 | anything that was privileged. | |
| 4 | MS. TOUGH: Also, objection to the form. | |
| 5 | MS. RAY: Join. | 10:31:07 |
| 6 | THE DEPONENT: I don't recall. | |
| 7 | Q. (By Mr. Perlson) Do you recall what the | |
| 8 | state of the negotiations with | |
| 9 | Anthony Levandowski's potential new company were at | |
| 10 | this time? | 10:31:23 |
| 11 | A. I believe we were still in discussions | |
| 12 | about purchasing laser prepurchasing lasers. | |
| 13 | Q. Do you know when the focus of the | |
| 14 | discussions shifted from prepurchasing lasers to an | |
| 15 | acquisition of the whole company? | 10:32:13 |
| 16 | MS. TOUGH: Objection to the form. | |
| 17 | MS. RAY: Join. | |
| 18 | THE DEPONENT: I'm not sure exactly. | |
| 19 | Q. (By Mr. Perlson) Do you recall how long | |
| 20 | into the discussion with Mr. Levandowski, | 10:32:27 |
| 21 | generally? | |
| 22 | I mean, was it halfway through? | |
| 23 | three-fourths through? | |
| 24 | MS. RAY: Objection. Form. | |
| 25 | THE DEPONENT: It was later that year, | 10:32:40 |
| | | Page 60 |

1 I, Rebecca L. Romano, a Certified Shorthand 2. Reporter of the State of California, do hereby 3 certify: That the foregoing proceedings were taken 4 before me at the time and place herein set forth; 5 that any witnesses in the foregoing proceedings, 6 7 prior to testifying, were administered an oath; 8 that a record of the proceedings was made by me 9 using machine shorthand which was thereafter transcribed under my direction; that the foregoing 10 11 transcript is true record of the testimony given. 12 Further, that if the foregoing pertains to the original transcript of a deposition in a Federal 13 14 Case, before completion of the proceedings, review 15 of the transcript [] was [X] was not requested. 16 I further certify I am neither financially 17 interested in the action nor a relative or employee 18 of any attorney or any party to this action. 19 IN WITNESS WHEREOF, I have this date subscribed my name. 2.0 21 Dated: July 29, 2017 2.2 23 Rebecca L. Romano, RPR, 24 CSR. No 12546 25

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1
                UNITED STATES DISTRICT COURT
             NORTHERN DISTRICT OF CALIFORNIA
 2
 3
                   SAN FRANCISCO DIVISION
4
5
    WAYMO LLC,
                                   )
              Plaintiff,
6
7
                                  ) Case No.
              vs.
    UBER TECHNOLOGIES, INC., ) 3:17-cv-00939-WHA
8
9
    OTTOMOTTO LLC; OTTO TRUCKING )
10
    LLC,
11
            Defendants.
12
13
       HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY
14
15
16
       VIDEOTAPED DEPOSITION OF MATTHEW PALOMAR
                   San Francisco, California
17
18
                    Friday, August 18, 2017
19
20
    REPORTED BY:
21
   JOHNNA PIPER
    CSR 11268
22
23
    JOB No. 2681036
24
    PAGES 1 - 184
25
                                               Page 1
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Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 134 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY



Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 135 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY



Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 136 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY



1 CERTIFICATE OF REPORTER 2. I, JOHNNA PIPER, a Certified Shorthand 3 Reporter, hereby certify that the witness in the foregoing deposition was by me duly sworn to tell 4 the truth, the whole truth, and nothing but the 5 truth in the within-entitled cause; 6 7 That said deposition was taken in shorthand 8 by me, a disinterested person, at the time and place therein stated, and that the testimony of the said 9 witness was thereafter reduced to typewriting, by 10 computer, under my direction and supervision; 11 12 That before completion of the deposition, 13 review of the transcript []was []was not 14 requested. If requested, any changes made by the 15 deponent (and provided to the reporter) during the 16 period allowed are appended hereto. 17 I further certify that I am not of counsel 18 or attorney for either or any of the parties to the said deposition, nor in any way interested in the 19 event of this cause, and that I am not related to 2.0 any of the parties thereto. 21 22 DATED: 8/21/2017 23 24 25 JOHNNA PIPER, CSR NO. 11268

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Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 138 of 196 CONFIDENTIAL - ATTORNEYS EYES ONLY

```
1
                UNITED STATES DISTRICT COURT
 2
               NORTHERN DISTRICT OF CALIFORNIA
 3
                     SAN FRANCISCO DIVISION
 4
 5
     WAYMO LLC,
                   Plaintiff,
 6
                                        Case No.
 7
            vs.
                                        3:17-cv-00939-WHA
 8
     UBER TECHNOLOGIES, INC.,
     OTTOMOTTO LLC; OTTO TRUCKING
 9
     LLC,
                  Defendants.
10
11
         *** CONFIDENTIAL - ATTORNEYS' EYES ONLY ***
12
13
           VIDEOTAPED DEPOSITION OF GAETAN PENNECOT
14
                   San Francisco, California
15
16
                    Thursday, April 20, 2017
                            Volume I
17
18
19
20
     Reported by:
21
     CARLA SOARES
22
    CSR No. 5908
23
    Job No. 2599854
24
25
     Pages 1 - 95
                                                   Page 1
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| 1 | THE WITNESS: Yes. | 10:13:53 |
|----|--|----------|
| 2 | BY MR. JAFFE: | |
| 3 | Q Why? | |
| 4 | A Because I thought he was going to work on | |
| 5 | trucks. | 10:14:17 |
| 6 | Q Is that what he told you when you were | |
| 7 | talking about joining the company? | |
| 8 | A We were, and we are still, working on | |
| 9 | trucks. | |
| 10 | MR. JAFFE: Let's mark as Exhibit 102 a | 10:14:40 |
| 11 | document Bates-labeled UBER11465. | |
| 12 | (Exhibit 102 was marked for identification | |
| 13 | and is attached hereto.) | |
| 14 | BY MR. JAFFE: | |
| 15 | Q Mr. Pennecot, this is an e-mail that you | 10:15:01 |
| 16 | sent to Mr. Levandowski in February 2017, correct? | |
| 17 | A This is correct. Let me this is | |
| 18 | correct. | |
| 19 | Q And the subject line is | |
| | | 10:15:39 |
| 21 | Do you see that? | |
| 22 | A I see it. | |
| 23 | Q Fuji, that refers to one of Uber's LiDAR | |
| 24 | projects, right? | |
| 25 | A This is correct. | 10:15:48 |
| | | Page 42 |

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| 1 | speculation. | 10:18:43 |
|----|--|----------|
| 2 | THE WITNESS: I don't know. | |
| 3 | BY MR. JAFFE: | |
| 4 | Q He just walked up to you and said, "Can | |
| 5 | you send me these very specific things?" | 10:18:52 |
| 6 | A I guess he called me. | |
| 7 | Q And what did he say? | |
| 8 | A What did he say? He asked me to send him, | |
| 9 | like, some , like, describing my | |
| 10 | job, what I was doing. | 10:19:14 |
| 11 | Q And did you have any understanding of why | |
| 12 | he was asking you to send him this material? | |
| 13 | A No. | |
| 14 | Q Did you ask? | |
| 15 | A I don't remember. | 10:19:33 |
| 16 | Q Did you get any further information | |
| 17 | afterwards on why he asked you to send this material | |
| 18 | about Fuji? | |
| 19 | A No, I didn't. | |
| 20 | Q Why do you have any understanding of | 10:19:46 |
| 21 | why Mr. Levandowski would ask you to send these | |
| 22 | detailed this detailed information about the Fuji | |
| 23 | project to him? | |
| 24 | MR. KIM: Objection. Calls for | |
| 25 | speculation, asked and answered. | 10:19:55 |
| | | Page 45 |

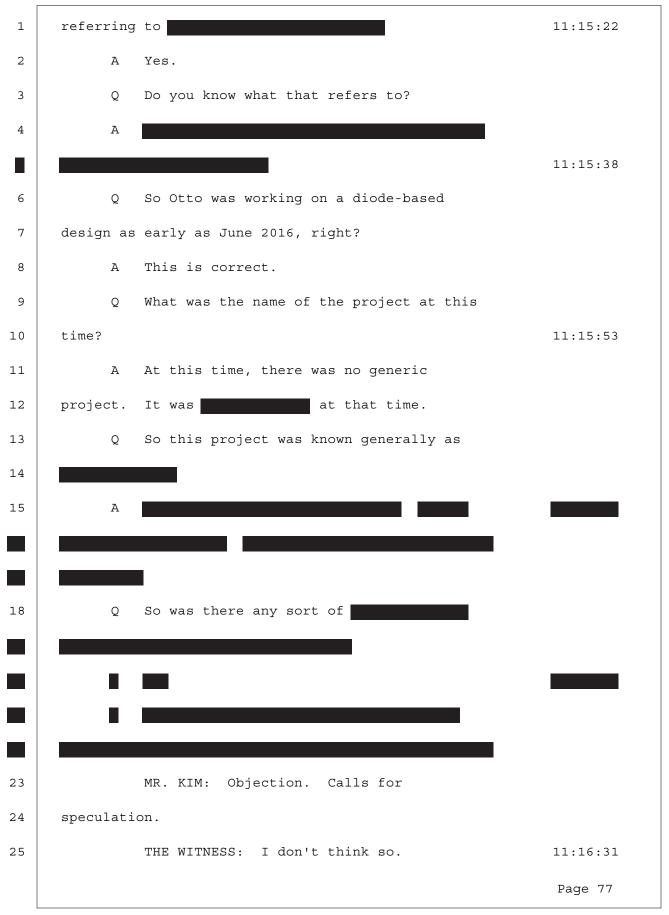
Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 142 of 196 CONFIDENTIAL - ATTORNEYS EYES ONLY

| 1 | designed around what he provided you, and you | 10:52:15 |
|----|---|----------|
| 2 | resulted in Exhibit 101? | |
| 3 | MR. KIM: Objection. Vague. | |
| 4 | THE WITNESS: I used it as a first spec, | |
| 5 | you know. Like, you need to start somewhere. | 10:52:26 |
| 6 | MR. JAFFE: Why don't we take a quick | |
| 7 | break. | |
| 8 | THE VIDEO OPERATOR: The time is | |
| 9 | 10:52 a.m. We're off the record. | |
| 10 | (Recess, 10:52 a.m 11:09 a.m.) | 10:52:44 |
| 11 | THE VIDEO OPERATOR: The time is 11:09 | |
| 12 | a.m. We are back on the record. | |
| 13 | MR. JAFFE: I'm going to mark as | |
| 14 | Exhibit 103 a document Bates-labeled UBER11588. | |
| 15 | (Exhibit 103 was marked for identification | 11:09:51 |
| 16 | and is attached hereto.) | |
| 17 | BY MR. JAFFE: | |
| 18 | Q Mr. Pennecot, this is an e-mail that you | |
| 19 | wrote, right? Exhibit 103? | |
| 20 | A Yes, this is correct. | 11:10:49 |
| 21 | Q You said you guess this is correct? | |
| 22 | A No, this is correct. This is correct. | |
| 23 | This is this page. | |
| 24 | Q And going back to the first page, the | |
| 25 | subject line is " | 11:11:01 |
| | | Page 72 |

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| 1 | like, with some friends, too. | 11:14:18 |
|----|--|----------|
| 2 | Q You don't take vacation days to go there, | |
| 3 | right? | |
| 4 | A It's in San Francisco. It's really easy | |
| 5 | to get there. | 11:14:24 |
| 6 | Q Right. But you don't take time, like | |
| 7 | vacation time, to go there. You go there as part of | |
| 8 | your job? | |
| 9 | A I I've been there, also, on my own. | |
| 10 | Like, I took some friends that were working on some | 11:14:33 |
| 11 | projects to Photonics West to take a look. | |
| 12 | Q Who did you take? | |
| 13 | A I took my friend Florian that was working | |
| 14 | on optical LED projects over there. | |
| 15 | MR. JAFFE: So I'm going to mark as | 11:14:47 |
| 16 | Exhibit 104 a document entitled "UBER8562." | |
| 17 | (Exhibit 104 was marked for identification | |
| 18 | and is attached hereto.) | |
| 19 | BY MR. JAFFE: | |
| 20 | Q So Exhibit 104, this is Mr. Levandowski | 11:15:07 |
| 21 | forwarding an e-mail that you wrote in June of 2016, | |
| 22 | right? | |
| 23 | A Um-hum. | |
| 24 | Q So this is referring to well, let me | |
| 25 | start with, do you see Mr. Levandowski, his e-mail, | 11:15:19 |
| | | Page 76 |

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Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 145 of 196 CONFIDENTIAL - ATTORNEYS EYES ONLY

| 1 | I, the undersigned, a Certified Shorthand | | |
|----|--|--|--|
| 2 | Reporter of the State of California, do hereby | | |
| 3 | certify: | | |
| | That the foregoing proceedings were taken | | |
| 4 | before me at the time and place herein set forth; | | |
| 5 | that any witnesses in the foregoing proceedings, | | |
| 6 | prior to testifying, were administered an oath; that | | |
| 7 | a record of the proceedings was made by me using | | |
| | machine shorthand which was thereafter transcribed | | |
| 8 | under my direction; that the foregoing transcript is | | |
| 9 | a true record of the testimony given. | | |
| 10 | Further, that if the foregoing pertains to | | |
| 11 | the original transcript of a deposition in a Federal | | |
| 12 | Case, before completion of the proceedings, review | | |
| | of the transcript [] was [x] was not requested | | |
| 13 | I further certify I am neither financially | | |
| 14 | interested in the action nor a relative or employee | | |
| 15 | of any attorney or any party to this action. | | |
| 16 | IN WITNESS WHEREOF, I have this date | | |
| 17 | subscribed my name. | | |
| 18 | | | |
| 19 | Dated: April 20, 2017 | | |
| 20 | | | |
| 21 | | | |
| 22 | 0 1 | | |
| 23 | Carla Soares | | |
| 24 | CARLA SOARES | | |
| 25 | CSR No. 5908 | | |
| | Da 05 | | |
| | Page 95 | | |

Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 146 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

| 1 | UNITED STATES DISTRICT COURT | | | |
|----|--|--|--|--|
| 2 | NORTHERN DISTRICT OF CALIFORNIA | | | |
| 3 | SAN FRANCISCO DIVISION | | | |
| 4 | | | | |
| 5 | WAYMO LLC, | | | |
| 6 | Plaintiff, | | | |
| | Case | | | |
| 7 | vs. No. 3:17-cv-00939-WHA | | | |
| 8 | UBER TECHNOLOGIES, INC.; | | | |
| | OTTOMOTTO LLC; OTTO TRUCKING LLC, | | | |
| 9 | | | | |
| | Defendants. | | | |
| 10 | / | | | |
| 11 | | | | |
| 12 | | | | |
| 13 | HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY | | | |
| 14 | VIDEOTAPED DEPOSITION OF GAETAN PENNECOT | | | |
| 15 | VOLUME III (PAGES 275 to 478) | | | |
| 16 | FRIDAY, JUNE 16, 2017 | | | |
| 17 | | | | |
| 18 | | | | |
| 19 | | | | |
| 20 | | | | |
| 21 | | | | |
| 22 | Reported by: | | | |
| 23 | Anrae Wimberley | | | |
| 24 | CSR No. 7778 | | | |
| 25 | Job No. 2641228 | | | |
| | Page 275 | | | |

Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 147 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

| 1 | MR. JAFFE: Let's mark as Exhibit 108 a document | 10:34:39 |
|----|--|----------|
| 2 | entitled, "Off axis fiber study - TX," Bates label | 10:34:45 |
| 3 | UBER00072137. | 10:34:48 |
| 4 | (Plaintiff's Exhibit 108 was marked.) | 10:35:09 |
| 5 | BY MR. JAFFE: | 10:35:09 |
| 6 | Q. Mr. Pennecot, do you recognize the document | 10:35:11 |
| 7 | I've put in front of you as Exhibit 108? | 10:35:14 |
| 8 | (Witness reviews document.) | 10:35:14 |
| 9 | A. I do. | 10:35:46 |
| 10 | Q. What is Exhibit 108? | 10:35:49 |
| 11 | A. This is a proposal for a transmit lens for | 10:36:06 |
| 12 | Spider. | 10:36:07 |
| 13 | Q. When did you create Exhibit 108? | 10:36:11 |
| 14 | A. So that I don't remember. | 10:36:15 |
| 15 | Q. Can you tell me approximately when you | 10:36:18 |
| 16 | created Exhibit 108? | 10:36:20 |
| 17 | A. Let me check if there's a date in here. | 10:36:31 |
| 18 | (Witness reviews document.) | 10:36:39 |
| 19 | A. Yes, I can. And I would say after June 9th, | 10:36:48 |
| 20 | 2016. | 10:36:49 |
| 21 | Q. And why do you say after June 9th? | 10:36:52 |
| 22 | A. Because if you look at these pages, they come | 10:36:58 |
| 23 | with a date. | 10:36:59 |
| 24 | Q. Why did you create Exhibit 108? | 10:37:02 |
| 25 | A. To talk about possible lenses for Spider. | 10:37:19 |
| | Pag | ge 294 |
| | | |

Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 148 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

| 1 | Q. With who? | 10:37:20 |
|----|---|----------|
| 2 | A. This would be with James and Anthony. | 10:37:26 |
| 3 | Q. Anthony Levandowski? | 10:37:28 |
| 4 | A. Yes. | 10:37:28 |
| 5 | Q. Why would you be discussing the transmit lens | 10:37:32 |
| 6 | for Spider with Mr. Haslim and Mr. Levandowski? | 10:37:38 |
| 7 | A. Because I wanted to justify the fact that I | 10:37:47 |
| 8 | wanted two lens elements in the system and not only | 10:37:54 |
| 9 | one. | 10:37:54 |
| 10 | Q. Why did you want two lens elements in the | 10:37:57 |
| 11 | Spider design? | 10:37:59 |
| 12 | A. Because making a diffraction-limited spot | 10:38:07 |
| 13 | using only one lens at an angle of axis is very hard | 10:38:19 |
| 14 | with a single element. | 10:38:22 |
| 15 | Q. And why were you talking with Mr. Haslim and | 10:38:28 |
| 16 | Mr. Levandowski specifically about the issues here in | 10:38:31 |
| 17 | Exhibit 108? | 10:38:33 |
| 18 | A. Because we were designing LiDAR. | 10:38:46 |
| 19 | Q. Mr. Haslim, you and Mr. Levandowski were | 10:38:53 |
| 20 | designing a LiDAR; is that right? | 10:38:55 |
| 21 | A. This is correct. | 10:38:56 |
| 22 | Q. So you were talking to Mr. Levandowski about | 10:38:58 |
| 23 | Exhibit 108 because he was working with you on | 10:39:01 |
| 24 | designing a LiDAR? | 10:39:04 |
| 25 | A. At that time, he was following what I was | 10:39:09 |
| | Pag | ge 295 |
| | | |

Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 149 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

| 1 | A. This is correct. | 10:42:12 | | | |
|----|--|----------|--|--|--|
| 2 | Q. Did you discuss this presentation with | 10:42:27 | | | |
| 3 | Mr. Levandowski and Mr. Haslim? 10:42:32 | | | | |
| 4 | A. Yes, I did. | 10:42:32 | | | |
| 5 | Q. What did you discuss? | 10:42:35 | | | |
| 6 | A. I said I believe they were pushing on a | 10:42:48 | | | |
| 7 | single-lens design, and I was pushing on a two-lens | 10:42:53 | | | |
| 8 | element design. And one of the big question was | 10:43:01 | | | |
| 9 | tolerances on the second element, how to align the | 10:43:05 | | | |
| 10 | second element, would that impact the optical | 10:43:09 | | | |
| 11 | performances. And most of the simulation were around | 10:43:14 | | | |
| 12 | these tolerances. | 10:43:15 | | | |
| 13 | So I did a lot of moving, deforming. And | 10:43:19 | | | |
| 14 | that's what you see on the last page, which is the | 10:43:24 | | | |
| 15 | bulk of the work. That's a rough tolerance analysis. | 10:43:29 | | | |
| 16 | And I'd been moving the lens in every directions to | 10:43:36 | | | |
| 17 | see if it had an impact on the design. | 10:43:39 | | | |
| 18 | Q. In looking at the last page, which is, for | 10:43:41 | | | |
| 19 | the record, Exhibit 108 at page 142, there are two | 10:43:45 | | | |
| 20 | lens elements there? | 10:43:47 | | | |
| 21 | A. This is correct. | 10:43:48 | | | |
| 22 | Q. What is the function of what's labeled as | 10:43:50 | | | |
| 23 | "Element 2"? | 10:43:51 | | | |
| 24 | A. So in order to make a lens that has less | 10:44:02 | | | |
| 25 | aberrations, spherical aberrations, or if you need a | 10:44:10 | | | |
| | Pa | ıge 298 | | | |

Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 150 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

CERTIFICATE OF DEPOSITION OFFICER

I, ANRAE WIMBERLEY, CSR NO. 7778, duly authorized to administer oaths pursuant to Section 8211 of the California Code of Civil Procedure, hereby certify that the witness in the foregoing deposition was by me sworn to testify to the truth, the whole truth and nothing but the truth in the within-entitled cause; that said deposition was taken at the time and place therein stated; that the testimony of said witness was reported by me and was thereafter transcribed by me or under my direction by means of computer-aided transcription; that the foregoing is a full, complete and true record of said testimony; and that the witness was given an opportunity to read and correct said deposition and to subscribe same.

I further certify that I am not of counsel or attorney for either or any of the parties in the foregoing deposition and caption named, nor in any way interested in the outcome of the cause named in said caption.

IN WITNESS WHEREOF, I have hereunto subscribed by my hand this 18th day of June, 2017.

866 299-5127

Ansao Whinherley

ANRAE WIMBERLEY, CSR NO. 7778

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Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 151 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

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1
                 UNITED STATES DISTRICT COURT
 2
                NORTHERN DISTRICT OF CALIFORNIA
                    SAN FRANCISCO DIVISION
 3
 4
 5
      WAYMO LLC,
                   Plaintiff,
 6
 7
                                  ) Case No.
              VS.
      UBER TECHNOLOGIES, INC., ) 3:17-cv-00939-WHA
 8
 9
      OTTOMOTTO LLC; OTTO
      TRUCKING LLC,
10
                   Defendants.
11
12
          HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY
13
14
      CONTINUED VIDEOTAPED DEPOSITION OF GAETAN PENNECOT
15
                   San Francisco, California
16
17
                   Wednesday, June 14, 2017
18
                            Volume II
19
20
     Reported by:
21
     SUZANNE F. GUDELJ, CSR No. 5111
22
23
     Job No. 2638084
24
    PAGES 96 - 274
25
                                                  Page 96
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Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 152 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

| 1 | it was about putting like like the way I | | | |
|----|---|--|--|--|
| 2 | understood it, it was about like solving the | | | |
| 3 | self-driving problem as a whole. | | | |
| 4 | (Reporter clarification.) | | | |
| 5 | Solving the self-driving car problem, like 02:09:01 | | | |
| 6 | the self-driving car technology, so very how you | | | |
| 7 | say very bold milestones. | | | |
| 8 | Q Did you discuss the LiDAR-related | | | |
| 9 | milestones with anyone? | | | |
| 10 | A I don't remember. 02:09:24 | | | |
| 11 | Q Did you ever discuss the milestones with | | | |
| 12 | Anthony Levandowski? | | | |
| 13 | A I don't remember. So maybe, you know, All | | | |
| 14 | Hands, like maybe there were like questions to | | | |
| 15 | Anthony, but I'm I'm not sure now. Don't 02:09:44 | | | |
| 16 | remember. | | | |
| 17 | Q You discussed these milestones at All Hands | | | |
| 18 | meetings; is that right? | | | |
| 19 | A I think they were exposed to the employees | | | |
| 20 | when they announced the acquisition. 02:10:14 | | | |
| 21 | Q What do you mean they were exposed to the | | | |
| 22 | milestones in your equity agreement? | | | |
| 23 | A So they were exposing the presentation. | | | |
| 24 | Q And then what what did what did you | | | |
| 25 | all discuss? 02:10:32 | | | |
| | Page 197 | | | |

Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 153 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

| 1 | A I don't remember. Like, you know, like | |
|----|--|--|
| 2 | I don't remember. | |
| 3 | Q When's the last time you had a conversation | |
| 4 | with Anthony Levandowski related to LiDAR? | |
| 5 | A I think it's when we started the Fuji 02:11:07 | |
| 6 | project. | |
| 7 | Q Approximately when was that? | |
| 8 | A October or November 2016. | |
| 9 | Q Okay. So between October, November 2016 | |
| 10 | and now, you haven't had any conversations with Mr. 02:11:33 | |
| 11 | Levandowski regarding LiDAR; is that correct? | |
| 12 | A No, this is not correct. I don't recall | |
| 13 | any conversations. | |
| 14 | Q I see. So you could have had conversations | |
| 15 | with him regarding LiDAR; you just don't remember? 02:11:46 | |
| 16 | A I don't remember. | |
| 17 | Q Okay. The last conversation that you | |
| 18 | recall with Mr. Levandowski regarding LiDAR was in | |
| 19 | October, November 2016; is that right? | |
| 20 | A That I recall, yes. 02:12:03 | |
| 21 | Q What did you and Mr. Levandowski discuss? | |
| 22 | A It was like all the team, all the LiDAR | |
| 23 | team, and I think we were expressing disagreement | |
| 24 | against Spider, and we wanted to drop the project. | |
| 25 | Q And who's the "we" you're referring to? 02:12:25 | |
| | Page 198 | |

Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 154 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

| 1 | cover to take over. |
|----|--|
| 2 | Q So you joined Otto in April 2016, and |
| 3 | Anthony directed you to take over the FAC lens |
| 4 | project which was for a diode-based LiDAR; is that |
| 5 | right? 02:18:19 |
| 6 | A This is correct. |
| 7 | Q Okay. And what did Anthony tell you when |
| 8 | you when you joined about this FAC lens project? |
| 9 | A So he told me that it went through a round |
| 10 | of DSM, design from manufacturing through and 02:18:35 |
| 11 | that he had like Gruver had like some files he |
| 12 | could show me. |
| 13 | Q And did you know what you were designing |
| 14 | towards with this FAC lens, like what LiDAR it was |
| 15 | going to go in? 02:18:56 |
| 16 | A No, so that I didn't know. |
| 17 | Q Did Anthony know? |
| 18 | A I don't know. |
| 19 | Q So you weren't given any sort of parameters |
| 20 | for what type of LiDAR this FAC lens would work in? 02:19:06 |
| 21 | A Not at that time. |
| 22 | Q When were you given those parameters? |
| 23 | A So the design parameters came later that |
| 24 | year. When we decided to go on Fuji, I received |
| 25 | like the beam angles, parameters, which is like the 02:19:33 |
| | Page 202 |

Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 155 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

| 1 | hard parameters, like the, you know, design spec | | | | |
|----|--|--|--|--|--|
| 2 | from James. I don't I don't remember when but | | | | |
| 3 | like around October or November. Like it was like | | | | |
| 4 | the first things that came. | | | | |
| 5 | Q So you received some beam angles from James 02:19:50 | | | | |
| 6 | Haslim in October or November; is that right? | | | | |
| 7 | A This is correct. | | | | |
| 8 | Q Before that time, that is in between April | | | | |
| 9 | and October, November, you were designing an FAC | | | | |
| 10 | lens but you didn't know what type of diode-based 02:20:10 | | | | |
| 11 | LiDAR it would go in, you had no information | | | | |
| 12 | A No information. | | | | |
| 13 | Q is that right? | | | | |
| 14 | A This is correct. | | | | |
| 15 | Q Is that is that typical for your 02:20:19 | | | | |
| 16 | engineering work? | | | | |
| 17 | A So when I've done an FAC lens at Google, we | | | | |
| 18 | So like that | | | | |
| 19 | was FAC lens, fast axis collimation. | | | | |
| 20 | Q Okay. Did you discuss other than that 02:20:42 | | | | |
| 21 | first time when when Mr. Levandowski directed you | | | | |
| 22 | to work on the FAC lens project, did you discuss the | | | | |
| 23 | FAC lens project with Mr. Levandowski any other | | | | |
| 24 | time? | | | | |
| 25 | A Maybe when we received the first FAC 02:21:15 | | | | |
| | Page 203 | | | | |

Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 156 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

| 1 | lenses. | | |
|----|----------|--|----------|
| 2 | Q | When was that? | |
| 3 | А | At the end of the summer, maybe in | |
| 4 | Septembe | r. | |
| 5 | Q | And what did you and Mr. Levandowski | 02:21:33 |
| 6 | discuss? | | |
| 7 | А | It was not a discussion, it was more like | |
| 8 | me infor | ming him that we have like FAC lenses. | |
| 9 | Q | What did he say? | |
| 10 | А | I don't know. Like he was happy; like he | 02:21:48 |
| 11 | was oh, | great. | |
| 12 | Q | That's it. That was the sum of the | |
| 13 | interact | ion? | |
| 14 | А | I don't know. I cannot remember. | |
| 15 | Q | Okay. So that was in September? | 02:22:02 |
| 16 | А | I'm not super clear on the dates here. | |
| 17 | Q | Other than that conversation, did you have | |
| 18 | any othe | r conversations with Mr. Levandowski about | |
| 19 | the FAC | lens project? | |
| 20 | А | Not that I recall. | 02:22:25 |
| 21 | Q | The FAC lens, the design was done before | |
| 22 | that mee | ting you were referring to where you decided | |
| 23 | to scrap | the Spider project; is that right? | |
| 24 | A | This is correct. | |
| 25 | Q | So after you finished the FAC lens, but | 02:22:43 |
| | | | Page 204 |

Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 157 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

| 1 | I, the undersigned, a Certified Shorthand | | | | |
|----|--|--|--|--|--|
| 2 | Reporter of the State of California, do hereby | | | | |
| 3 | certify: | | | | |
| 4 | That the foregoing proceedings were taken | | | | |
| 5 | before me at the time and place herein set forth; | | | | |
| 6 | that any witnesses in the foregoing proceedings, | | | | |
| 7 | prior to testifying, were duly sworn; that a record | | | | |
| 8 | of the proceedings was made by me using machine | | | | |
| 9 | shorthand which was thereafter transcribed under my | | | | |
| 10 | direction; that the foregoing transcript is a true | | | | |
| 11 | record of the testimony given. | | | | |
| 12 | Further, that if the foregoing pertains to | | | | |
| 13 | the original transcript of a deposition in a Federal | | | | |
| 14 | Case, before completion of the proceedings, review | | | | |
| 15 | of the transcript [] was [x] was not requested. | | | | |
| 16 | I further, certify I am neither financially | | | | |
| 17 | interested in the action nor a relative or employee | | | | |
| 18 | of any attorney or party to this action. | | | | |
| 19 | IN WITNESS WHEREOF, I have this date | | | | |
| 20 | subscribed my name. | | | | |
| 21 | Dated: 6/19/2017 | | | | |
| 22 | | | | | |
| 23 | Surpine J. Gudelj. | | | | |
| 24 | SUZANNE F. GUDELJ | | | | |
| 25 | CSR No. 5111 | | | | |
| | Page 274 | | | | |

Page 479
UNITED STATES DISTRICT COURT

Case No.

17-cv-00939-WHA

NORTHERN DISTRICT OF CALIFORNIA

SAN FRANCISCO DIVISION

WAYMO LLC,)
Plaintiff,)

VS.

UBER TECHNOLOGIES, INC.;)
OTTOMOTTO, LLC; OTTO TRUCKING LLC,)

Defendants.

HIGHLY CONFIDENTIAL-ATTORNEYS' EYES ONLY

UNDER THE PROTECTIVE ORDER

VIDEOTAPED DEPOSITION OF

GAETAN PENNECOT

San Francisco, California

Thursday, August 9, 2017

Volume IV

Reported by: MARY J. GOFF CSR No. 13427

Job No. 2675906

PAGES 479 - 763

| | | | Page 716 |
|----|-----------|--|----------|
| 1 | А | okay. I have got it. Yeah. | 05:24:45 |
| 2 | Q | And do you see your name? | 05:24:49 |
| 3 | А | Yes, I do. | 05:24:54 |
| 4 | Q | In the "Recipients" column? | 05:24:56 |
| 5 | А | Yes. | 05:24:58 |
| 6 | Q | And immediately previous to yours, there's | 05:24:58 |
| 7 | Anthony : | Levandowksi's name? | 05:25:00 |
| 8 | А | Yes. | 05:25:02 |
| 9 | Q | Okay. What did Anthony Levandowksi | 05:25:03 |
| 10 | contribu | te to the weekly LiDAR stand-up meeting? | 05:25:06 |
| 11 | А | I'm not even sure he was showing up to it. | 05:25:17 |
| 12 | So and | d I I don't remember, like, any, like, | 05:25:22 |
| 13 | specific | contribution. But I | 05:25:25 |
| 14 | Q | Can you | 05:25:29 |
| 15 | А | I also remember that he was not showing | 05:25:29 |
| 16 | up usual | ly. | 05:25:32 |
| 17 | Q | Okay. Sitting here today, can you tell me | 05:25:34 |
| 18 | any info | rmation that Anthony Levandowksi provided at | 05:25:36 |
| 19 | the meet | ing that's reflected here as Entry 206 on | 05:25:42 |
| 20 | this doc | ument we have marked as Exhibit 544? | 05:25:45 |
| 21 | А | I don't remember anything significant. | 05:25:55 |
| 22 | Q | Do you remember anything? | 05:25:58 |
| 23 | А | Sorry. Mostly I remember, like, meetings | 05:26:11 |
| 24 | with our | company. But so he may have showed up, | 05:26:13 |
| 25 | like, som | metimes and talked about team changes or | 05:26:18 |
| | | | |

| | | Page 717 |
|----|--|----------|
| 1 | whatever. Like, I I'm not no, I don't I | 05:26:21 |
| 2 | don't remember anything specific. | 05:26:24 |
| 3 | Q Okay. Just to I'm not sure | 05:26:27 |
| 4 | A Do you have | 05:26:47 |
| 5 | Q I'm not sure I understood that answer. | 05:26:47 |
| 6 | So sitting here today, can you tell me any | 05:26:49 |
| 7 | information that Anthony Levandowksi provided at the | 05:26:51 |
| 8 | meeting that's that's reflected here as | 05:26:54 |
| 9 | Exhibit or as Entry 206 in Exhibit 5 | 05:26:56 |
| 10 | A So | 05:27:00 |
| 11 | Q 44? | 05:27:00 |
| 12 | A not that I recall. Like, if you have | 05:27:01 |
| 13 | any specific examples, I may be able to say: True, | 05:27:03 |
| 14 | not true. But I don't I don't remember. He | 05:27:08 |
| 15 | he was not showing up usually so | 05:27:10 |
| 16 | Q Okay. So going to 269. Entry 269, | 05:27:19 |
| 17 | there's another entry for this "LiDAR stand-up"? | 05:27:22 |
| 18 | A Yes. | 05:27:30 |
| 19 | Q And you're, again, listed as attending? | 05:27:31 |
| 20 | A Um-hum. | 05:27:36 |
| 21 | Q And Anthony is also listed as attending? | 05:27:36 |
| 22 | A Yes. | 05:27:47 |
| 23 | Q What information did Anthony Levandowksi | 05:27:48 |
| 24 | provide at this meeting labeled as as | 05:27:49 |
| 25 | A Is | 05:27:53 |
| | | |

| | | Page 723 |
|----|---|-----------------------|
| 1 | Q entries 540 and 541? | 05:35:27 |
| 2 | A October 28 34 but they're | e all at the 05:35:30 |
| 3 | same time, so it looks like there's a pro | oblem 05:35:35 |
| 4 | somewhere. | 05:35:37 |
| 5 | Q There's these are duplicates | 3? 05:35:37 |
| 6 | A That looks like it. | 05:35:39 |
| 7 | Q Okay. All right. 876. Do you | 1 see 05:35:40 |
| 8 | Entry 876? | 05:36:24 |
| 9 | A Yes, I see that. | 05:36:26 |
| 10 | Q Okay. What did you and Anthony | 05:36:26 |
| 11 | Levandowksi discuss regarding leadership | over 05:36:29 |
| 12 | FAC lens project in April 2016, reflected | d here as 05:36:32 |
| 13 | Entry 876? | 05:36:35 |
| 14 | A So I believe that was my first | meeting, 05:36:37 |
| 15 | like, about work about what I should do r | next, and 05:36:38 |
| 16 | that's when he told me: Work on the FAC | lens. 05:36:46 |
| 17 | Q Anything else? | 05:36:51 |
| 18 | A No, I don't remember. | 05:36:52 |
| 19 | Q All right. Let's go to 882. | There's a 05:37:06 |
| 20 | reference to "weekly LiDAR team meetings' | '? 05:37:22 |
| 21 | A Um-hum. | 05:37:24 |
| 22 | Q What information did Anthony Le | evandowksi 05:37:26 |
| 23 | provide during the meetings that are desc | cribed at 05:37:29 |
| 24 | Entry 822 882? | 05:37:32 |
| 25 | A I I don't even know which or | ne that 05:37:38 |
| | | |

Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 162 of 196 HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

I, MARY J. GOFF, CSR No. 13427, Certified 1 Shorthand Reporter of the State of California, 2 3 certify; That the foregoing proceedings were taken 4 before me at the time and place herein set forth, at 5 which time the witness declared under penalty of perjury; that the testimony of the witness and all 6 objections made at the time of the examination were recorded stenographically by me and were thereafter 8 transcribed under my direction and supervision; that the foregoing is a full, true, and correct transcript of my shorthand notes so taken and of the 10 testimony so given; 11 That before completion of the deposition, 12 review of the transcript () was (XX) was not 13 requested: () that the witness has failed or 14 refused to approve the transcript. I further certify that I am not financially 15 interested in the action, and I am not a relative or 16 employee of any attorney of the parties, nor of any 17 of the parties. 18 I declare under penalty of perjury under the 19 laws of California that the foregoing is true and 20 correct, dated this 11th day of August 2017. 21 22 23 24 MARY J. GOFF, CSR No. 13427

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25

Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 163 of 196 WAYMO & UBER CONFIDENTIAL - ATTORNEYS EYES ONLY

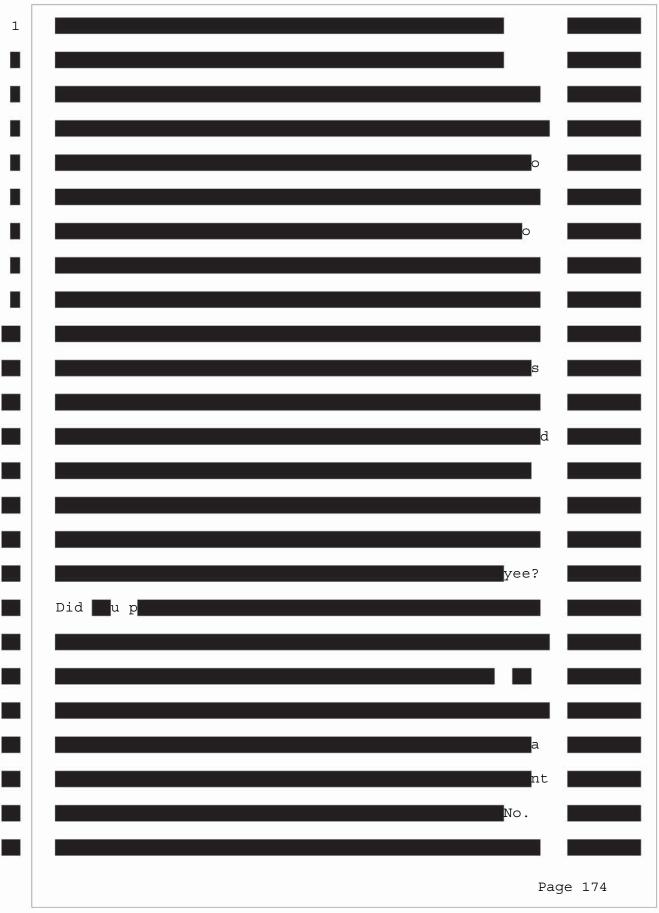
| 1 | UNITED STATES DISTRICT COURT |
|----|--|
| 2 | NORTHERN DISTRICT OF CALIFORNIA |
| 3 | SAN FRANCISCO DIVISION |
| 4 | 000 |
| 5 | |
| 6 | WAYMO LLC, |
| 7 | Plaintiff, |
| 8 | vs. No. 3:17-cv-00939-WHA |
| 9 | UBER TECHNOLOGIES, INC.; |
| | OTTOMOTTO LLC; OTTO TRUCKING, |
| 10 | INC., |
| 11 | Defendants. |
| | / |
| 12 | |
| 13 | WAYMO & UBER CONFIDENTIAL ATTORNEYS' EYES ONLY |
| 14 | |
| 15 | VIDEOTAPED DEPOSITION OF CAMERON POETZSCHER |
| 16 | SAN FRANCISCO, CALIFORNIA |
| 17 | MONDAY, JUNE 19, 2017 |
| 18 | |
| 19 | |
| 20 | BY: ANDREA M. IGNACIO, |
| 21 | CSR, RPR, CRR, CCRR, CLR |
| 22 | CSR LICENSE NO. 9830 |
| 23 | JOB NO. 2642012 |
| 24 | |
| 25 | Pages 1 - 374 |
| | Page 1 |

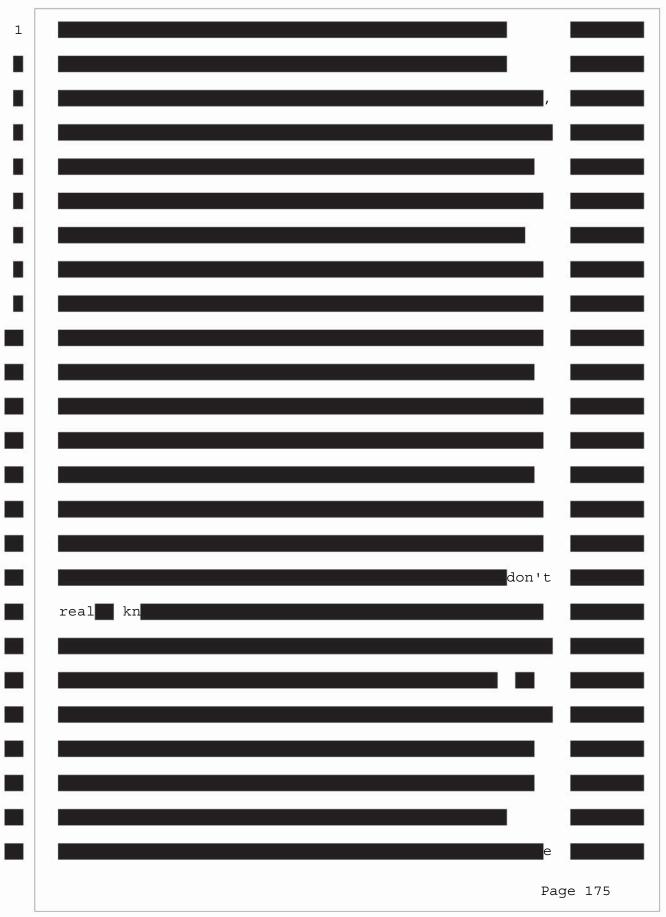
Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 164 of 196 WAYMO & UBER CONFIDENTIAL - ATTORNEYS EYES ONLY

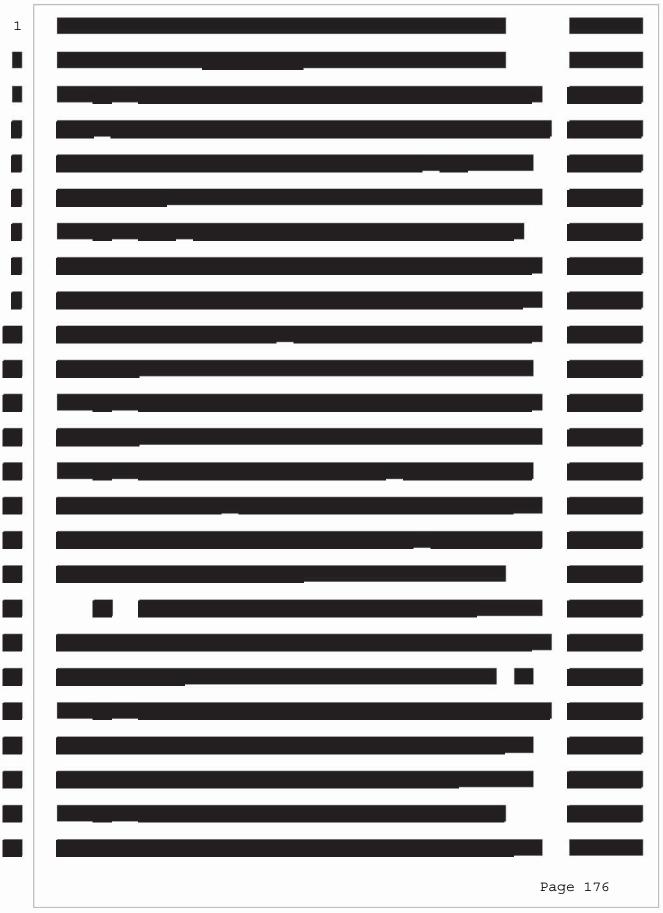
| 1 | So, I can't pinpoint them to exactly that | 10:49 |
|----|--|--------|
| 2 | time frame; right? So, just to clarify that. | 10:49 |
| 3 | Q Okay. So then we'll broaden it. | 10:49 |
| 4 | From from your earliest discussions from | 10:49 |
| 5 | Anthony in September of 2015 until, we'll say, the | 10:49 |
| 6 | the April 11th, 2016, agreement, what were Anthony and | 10:49 |
| 7 | Lior telling you they were going to develop that was | 10:49 |
| 8 | better or different than what Google and Waymo were | 10:49 |
| 9 | doing? | 10:49 |
| 10 | A Sure. | 10:49 |
| 11 | I mean, obviously, I'm not the technical | 10:49 |
| 12 | person, so I imagine they would have had more detailed | 10:49 |
| 13 | discussion with others. What they told me is they had | 10:49 |
| 14 | new ways of doing lasers, in particular, | |
| | , that no one else was doing. | 10:49 |
| 16 | Q Do you know if Waymo was developing | 10:49 |
| 17 | at the time? | 10:49 |
| 18 | A I don't know. | 10:49 |
| 19 | Q Was Uber? | 10:49 |
| 20 | A I don't know. | 10:49 |
| 21 | Q And what about Did | 10:50 |
| 22 | they discuss that with you at all? | 10:50 |
| 23 | A Yes, we discussed | |
| | | 10:50 |
| 25 | Q And, was Waymo or Google developing | 10:50 |
| | Pag | ge 106 |
| | | |

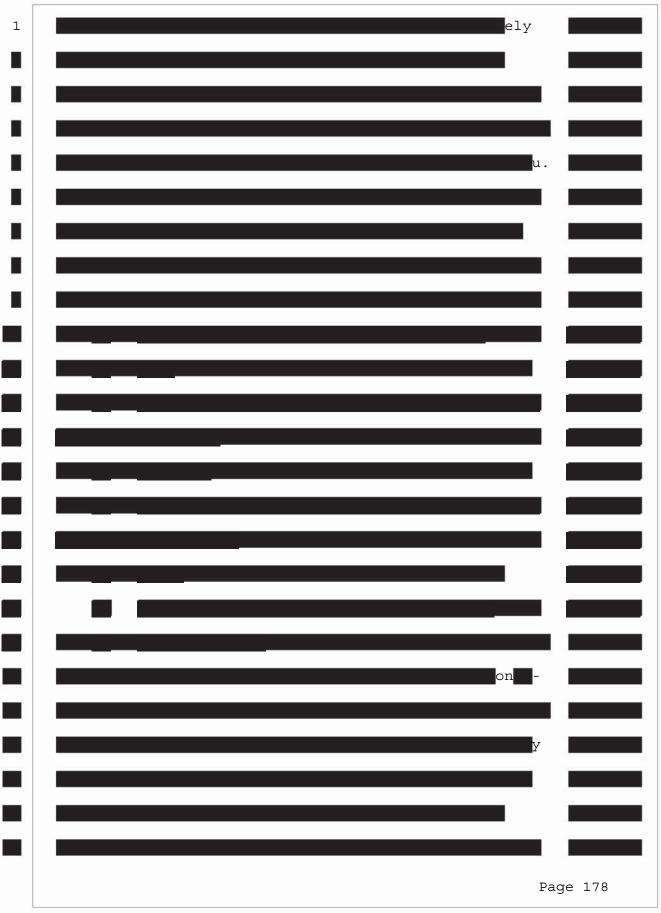
1 CERTIFICATE OF REPORTER 2 3 I, ANDREA M. IGNACIO, hereby certify that the witness in the foregoing deposition was by me duly sworn to tell the truth, the whole truth, and nothing 4 5 but the truth in the within-entitled cause; 6 That said deposition was taken in shorthand 7 by me, a disinterested person, at the time and place therein stated, and that the testimony of the said 8 witness was thereafter reduced to typewriting, by 9 computer, under my direction and supervision; 10 That before completion of the deposition, review of the transcript [x] was [] was not 11 requested. If requested, any changes made by the 12 deponent (and provided to the reporter) during the 13 14 period allowed are appended hereto. I further certify that I am not of counsel or attorney for either or any of the parties to the said 15 deposition, nor in any way interested in the event of 16 this cause, and that I am not related to any of the 17 parties thereto. 18 19 Dated: June 20, 2017 20 21 22 2.3 24 ANDREA M. IGNACIO, 25 RPR, CRR, CCRR, CLR, CSR No. 9830 Page 374

















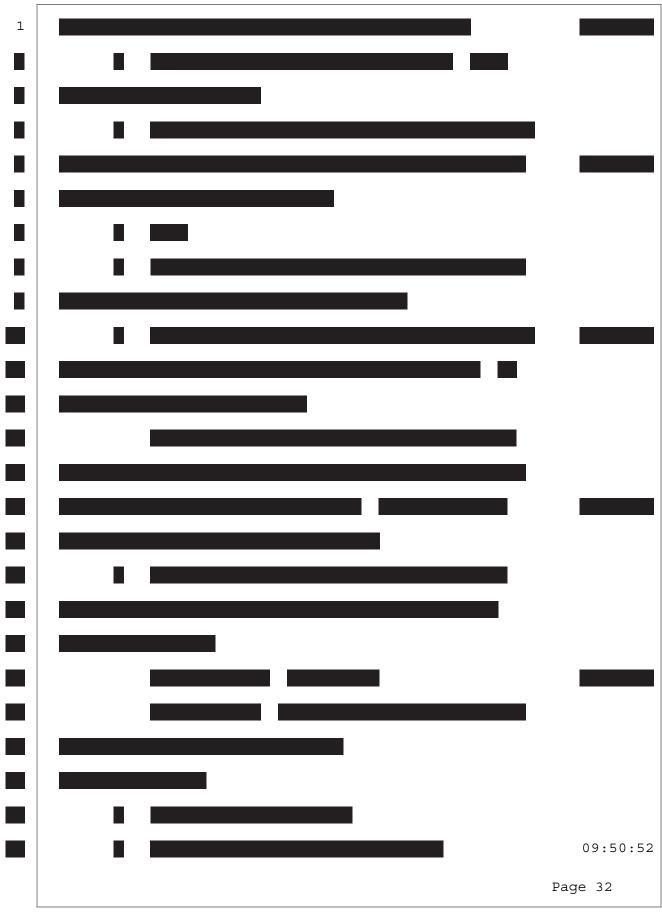


| 1 | FEDERAL CERTIFICATE OF DEPOSITION OFFICER |
|----|--|
| 2 | |
| | I, ANRAE WIMBERLEY, CSR NO. 7778, do hereby |
| 3 | declare: |
| 4 | That prior to being examined, the witness |
| | named in the foregoing deposition was by me duly sworn |
| 5 | pursuant to Section 30(f)(1) of the Federal Rules of |
| 6 | Civil Procedure and the deposition is a true record of |
| 7 | the testimony given by the witness; |
| | That said deposition was taken down by me in |
| 8 | shorthand at the time and place therein named and |
| 9 | thereafter reduced to text under my direction; |
| | That the witness was requested to review the |
| LO | transcript and make any changes to the transcript as a |
| L1 | result of that review pursuant to Section 30(e) of the |
| | Federal Rules of Procedure; |
| L2 | No changes have been provided by the witness |
| L3 | during the period allowed; |
| L4 | The changes made by the witness are appended |
| | to the transcript; |
| L5 | No request was made that the transcript be |
| L6 | reviewed pursuant to Section 30(e) of the Federal |
| _ | Rules of Civil Procedure. |
| L7 | I further declare that I have no interest in |
| L8 | the event of the action. |
| | I declare under penalty f perjury under the |
| L9 | laws of the United States of America that the |
| 20 | foregoing is true and correct. |
| 21 | WITNESS my hand this 16th day of August 2017. |
| 22 | 70.01 |
| 23 | <%signature%>climberles |
| 24 | <pre><pre><pre><pre><pre><pre><pre><pre></pre></pre></pre></pre></pre></pre></pre></pre> |
| 25 | ANRAE WIMBERLEY, CSR NO. 7778 |
| | |

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1
                UNITED STATES DISTRICT COURT
2
               NORTHERN DISTRICT OF CALIFORNIA
                   SAN FRANCISCO DIVISION
 3
4
5
     WAYMO LLC,
                                   )
                  Plaintiff,
6
7
                                   ) Case No.
                     vs.
     UBER TECHNOLOGIES, INC.; ) 3:17-cv-000939-WHA
8
9
     OTTOMOTTO LLC; OTTO TRUCKING, )
10
     INC.,
11
                  Defendants.
12
13
14
15
            VIDEOTAPED DEPOSITION OF COLIN SEBERN
16
                  San Francisco, California
                   Tuesday, August 22, 2017
17
18
                           Volume I
19
20
    Reported by:
21
    CARLA SOARES
22
    CSR No. 5908
23
    JOB No. 2686011
24
    PAGES 1 - 106
25
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1 I, the undersigned, a Certified Shorthand 2. Reporter of the State of California, do hereby 3 certify: That the foregoing proceedings were taken 4 before me at the time and place herein set forth; 5 that any witnesses in the foregoing proceedings, 6 7 prior to testifying, were administered an oath; that a record of the proceedings was made by me using 8 machine shorthand which was thereafter transcribed 9 under my direction; that the foregoing transcript is 10 11 a true record of the testimony given. Further, that if the foregoing pertains to 12 the original transcript of a deposition in a Federal 13 14 Case, before completion of the proceedings, review 15 of the transcript [] was [X] was not requested. 16 I further certify I am neither financially 17 interested in the action nor a relative or employee 18 of any attorney or any party to this action. 19 IN WITNESS WHEREOF, I have this date subscribed my name. 2.0 21 Dated: 8/23/2017 2.2 23 L' Soares CARLA SOARES 24 CSR No. 5908 25 Page 106

Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 182 of 196 HIGHLY CONFIDENTIAL - OUTSIDE COUNSEL'S EYES ONLY

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1
                  UNITED STATES DISTRICT COURT
 2
                 NORTHERN DISTRICT OF CALIFORNIA
 3
                     SAN FRANCISCO DIVISION
 4
 5
     WAYMO LLC,
 6
                    Plaintiff,
                                             Case No.
        VS.
 7
                                              17-cv-00939-WHA
     UBER TECHNOLOGIES, INC.;
     OTTOMOTTO, LLC; OTTO TRUCKING LLC, )
 8
 9
                   Defendants.
10
11
12
13
       HIGHLY CONFIDENTIAL -- OUTSIDE COUNSEL'S EYES ONLY
14
                    VIDEOTAPED DEPOSITION OF
15
                     OGNEN STOJANOVSKI, ESQ.
                    San Francisco, California
16
17
                     Thursday, July 20, 2017
                             Volume I
18
19
20
2.1
22
     Reported by:
     MARY J. GOFF
23
     CSR No. 13427
     Job No. 2663397
24
     PAGES 1-321
25
                                                      Page 1
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Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 183 of 196 HIGHLY CONFIDENTIAL - OUTSIDE COUNSEL'S EYES ONLY

| 1 | invoices whereby Ottomotto was was was buying | 05:53:27 |
|----|--|----------|
| 2 | multiple products from Tyto LiDAR or is it is it | 05:53:33 |
| 3 | just sort of an internal invoice number thing? | 05:53:36 |
| 4 | A I don't know what it refers to. | 05:53:40 |
| 5 | Q And this is dated February 25, 2016, | 05:53:43 |
| 6 | right? | 05:53:47 |
| 7 | A It's dated February 25, 2016, yes. | 05:53:48 |
| 8 | Q And so presumably by that date you knew | 05:53:52 |
| 9 | that Mr. Levandowski had started his own company | 05:53:58 |
| 10 | called Ottomotto, right? | 05:54:01 |
| 11 | A Yes, I would think so. Yes. | 05:54:03 |
| 12 | Q Do you do you remember how far in | 05:54:05 |
| 13 | advance of Ottomotto's ordering of this Owl 1.0 | 05:54:07 |
| 14 | LiDAR sensor your your conversation with | 05:54:15 |
| 15 | Mr. Levandowski was at ramen where he told you | 05:54:17 |
| 16 | he was he was founding Ottomotto? | 05:54:20 |
| 17 | A Probably not too far in advance. I I | 05:54:22 |
| 18 | don't know. | 05:54:25 |
| 19 | Q When did | 05:54:28 |
| 20 | A I think, yeah, within two weeks for sure, | 05:54:29 |
| 21 | I would say but | 05:54:31 |
| 22 | Q Did you personally talk to Mr. Ron about | 05:54:35 |
| 23 | the ordering of this Owl 1.0 LiDAR sensor? | 05:54:38 |
| 24 | A I did not personally talk to Mr. Ron about | 05:54:44 |
| 25 | ordering this LiDAR sensor. | 05:54:47 |
| | | Page 290 |
| | | |

Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 184 of 196 HIGHLY CONFIDENTIAL - OUTSIDE COUNSEL'S EYES ONLY

I, MARY J. GOFF, CSR No. 13427, Certified 1 2 Shorthand Reporter of the State of California, 3 certify; That the foregoing proceedings were taken 4 before me at the time and place herein set forth, at 5 6 which time the witness declared under penalty of perjury; that the testimony of the witness and all 7 objections made at the time of the examination were 8 recorded stenographically by me and were thereafter 9 transcribed under my direction and supervision; that 10 the foregoing is a full, true, and correct 11 transcript of my shorthand notes so taken and of the 12 testimony so given; That before completion of the deposition, 13 review of the transcript (XX) was () was not) that the witness has failed or requested: (refused to approve the transcript. 14 15 I further certify that I am not financially interested in the action, and I am not a relative or employee of any attorney of the parties, nor of any 16 17 of the parties. I declare under penalty of perjury under the laws of California that the foregoing is true and 18 correct, dated this 21st day of July 2017. 19 20 21 22 2.3 2.4 MARY J. GOFF 2.5 Page 321

Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 185 of 196 HIGHLY CONFIDENTIAL - OUTSIDE COUNSEL'S EYES ONLY

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1
                  UNITED STATES DISTRICT COURT
 2.
                 NORTHERN DISTRICT OF CALIFORNIA
 3
                     SAN FRANCISCO DIVISION
 4
                                          )
 5
     WAYMO LLC,
                    Plaintiff,
 6
 7
        vs.
                                          ) Case No.
 8
     UBER TECHNOLOGIES, INC.;
                                         ) 17-cv-00939-WHA
 9
     OTTOMOTTO, LLC; OTTO TRUCKING LLC, )
10
                   Defendants.
                                          )
11
12
13
       HIGHLY CONFIDENTIAL -- OUTSIDE COUNSEL'S EYES ONLY
14
15
                    VIDEOTAPED DEPOSITION OF
16
                        WILLIAM TREICHLER
17
                    San Francisco, California
18
                     Monday, August 14, 2017
                            Volume I
19
20
21
     Reported by:
     MARY J. GOFF
22
23
     CSR No. 13427
     Job No. 2674484
24
     PAGES 1 - 276
25
                                                      Page 1
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| 1 | Otto concerning LiDAR technology. I want | 04:27:49 |
|----|--|----------|
| 2 | A Okay. | 04:27:54 |
| 3 | Q to go through some of the entries with | 04:27:54 |
| 4 | you. | 04:27:56 |
| 5 | A Okay. | 04:27:57 |
| 6 | Q Let's start with Entry No. 206. | 04:27:57 |
| 7 | A Okay. | 04:28:23 |
| 8 | Q Do you see the date is May 19, 2016? | 04:28:23 |
| 9 | A Yes. | 04:28:30 |
| 10 | Q And there's a number of recipients, and | 04:28:31 |
| 11 | you're listed as a recipient about two-thirds of the | 04:28:33 |
| 12 | way down? | 04:28:35 |
| 13 | A Yes. | 04:28:37 |
| 14 | Q And you can see that the "BCC" is | 04:28:38 |
| 15 | "Upstairs Fishbowl." | 04:28:41 |
| 16 | Is that a mechanism that your schedulers | 04:28:43 |
| 17 | used to denote the location of a meeting? | 04:28:46 |
| 18 | A That's a conference room, yeah. | 04:28:49 |
| 19 | Q Upstairs Fishbowl is a conference room? | 04:28:50 |
| 20 | A Um-hum. | 04:28:54 |
| 21 | Q At 30 737 Harrison Harrison Street? | 04:28:54 |
| 22 | A Yes. | 04:28:57 |
| 23 | Q Okay. And the subject discussed there | 04:28:58 |
| 24 | says "Calendar Invention for Weekly LiDAR Stand-up | 04:29:00 |
| 25 | Meeting." | 04:29:05 |
| | | Page 264 |

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| 1 | Do you see that? | 04:29:06 |
|----|---|----------|
| 2 | A Um-hum. | 04:29:06 |
| 3 | Q Do you remember these weekly LiDAR | 04:29:06 |
| 4 | stand-up meetings, generally? | 04:29:08 |
| 5 | A Generally, yes. | 04:29:10 |
| 6 | Q If you are listed as a recipient on the | 04:29:11 |
| 7 | May 19, 2016 Fishbowl invite, does that indicate to | 04:29:14 |
| 8 | you that you were working at Otto as of May 19, | 04:29:20 |
| 9 | 2016? | 04:29:23 |
| 10 | A I don't know when I was added to when | 04:29:25 |
| 11 | my e-mail was generated, so that doesn't | 04:29:31 |
| 12 | necessarily it should be around that time. I | 04:29:35 |
| 13 | would say that I would either I must have been | 04:29:39 |
| 14 | hired by that time. I think that's fair to assume. | 04:29:41 |
| 15 | Q Do you remember this May 19 meeting? | 04:29:46 |
| 16 | A No. | 04:29:49 |
| 17 | Q So you don't have any recollection as to | 04:29:52 |
| 18 | what Anthony Levandowksi said at this May 19 | 04:29:53 |
| 19 | meeting? | 04:29:59 |
| 20 | MR. KIM: Objection, form. | 04:29:59 |
| 21 | MS. HYDE: Join. | 04:30:00 |
| 22 | A I don't remember this specific meeting, so | 04:30:02 |
| 23 | no. | 04:30:04 |
| 24 | Q (BY MR. NARDINELLI) Let's go to Entry | 04:30:05 |
| 25 | No. 269. | 04:30:08 |
| | | Page 265 |
| | | |

Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 188 of 196 HIGHLY CONFIDENTIAL - OUTSIDE COUNSEL'S EYES ONLY

I, MARY J. GOFF, CSR No. 13427, Certified 1 2 Shorthand Reporter of the State of California, certify; 3 That the foregoing proceedings were taken 4 before me at the time and place herein set forth, at which time the witness declared under penalty of 5 perjury; that the testimony of the witness and all 6 objections made at the time of the examination were recorded stenographically by me and were thereafter 8 transcribed under my direction and supervision; that the foregoing is a full, true, and correct 9 transcript of my shorthand notes so taken and of the 10 11 testimony so given; That before completion of the deposition, 12 13 review of the transcript (XX) was () was not 14 requested: () that the witness has failed or refused to approve the transcript. 15 I further certify that I am not financially interested in the action, and I am not a relative or 16 employee of any attorney of the parties, nor of any 17 of the parties. 18 19 I declare under penalty of perjury under the laws of California that the foregoing is true and 2.0 correct, dated this 15th day of August 2017. 21 22 23 24 MARY J. GOFF 2.5 CSR No. 13427 Page 276

Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 189 of 196 ATTORNEYS EYES ONLY

| 1 | UNITED STATES DISTRICT COURT | |
|----|---------------------------------------|--|
| 2 | NORTHERN DISTRICT OF CALIFORNIA | |
| 3 | SAN FRANCISCO DIVISION | |
| 4 | | |
| 5 | WAYMO LLC | |
| 6 | Plaintiff, | |
| 7 | | |
| | vs. Case No. 17-cv-00939-WHA | |
| 8 | | |
| 9 | UBER TECHNOLOGIES, INC.; | |
| 10 | OTTOMOTTO, LLC; OTTO | |
| | TRUCKING LLC, | |
| 11 | Defendants. | |
| 12 | | |
| 13 | | |
| 14 | **ATTORNEYS' EYES ONLY** | |
| 15 | VIDEO DEPOSITION OF JUR VAN DEN BERG | |
| 16 | San Francisco, California | |
| 17 | Wednesday, August 2, 2017 | |
| 18 | Volume I | |
| 19 | | |
| 20 | | |
| 21 | REPORTED BY: | |
| 22 | REBECCA L. ROMANO, RPR, CSR No. 12546 | |
| 23 | JOB NO. 2671707 | |
| 24 | | |
| 25 | PAGES 1 - 387 | |
| | Page 1 | |
| | | |

Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 190 of 196 ATTORNEYS EYES ONLY

| 1 | call right now. That that kind of logistic | 12:29:23 |
|----|---|----------|
| 2 | stuff, yeah. | |
| 3 | Q. Is your phone being collected by Uber | |
| 4 | for in order to produce any response to text | |
| 5 | messages? | 12:29:30 |
| 6 | A. No, it has not been. | |
| 7 | MR. LIN: Objection to form. | |
| 8 | Q. (By Mr. Judah) No one has asked you | |
| 9 | to to about collecting text messages from | |
| 10 | you | 12:29:43 |
| 11 | A. No. | |
| 12 | Q for this case? | |
| 13 | A. No. | |
| 14 | Q. Have you have you ever used a | |
| 15 | Telegram, an app called Telegram? | 12:29:46 |
| 16 | A. No, never used it. | |
| 17 | Q. Do you have you ever had now kind | |
| 18 | of zooming out for any type of communication | |
| 19 | have you ever discussed LiDAR with Mr. Levandowski? | |
| 20 | A. No, not in a technical sense at least. | 12:30:03 |
| 21 | Q. Not when you say "not in a technical | |
| 22 | sense," have have you is there another sense | |
| 23 | where you have discussed lasers or LiDAR with | |
| 24 | Anthony Levandowski? | |
| 25 | A. Yeah, I think so. I think I have when | 12:30:15 |
| | | Page 175 |

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| 1 | when I joined Otto, he mentioned that that | 12:30:16 |
|----|---|----------|
| 2 | the that the the team was strong in in | |
| 3 | the in the in the in the LiDAR | |
| 4 | competency so that that would be a strong suit of | |
| 5 | our of our startup in that sense that would be | 12:30:32 |
| 6 | that that I can remember having discussed | |
| 7 | in that sense, like, hey, we are strong on LiDAR. | |
| 8 | Q. And was that at the do you was | |
| 9 | that did he mention that at this lunch meeting | |
| 10 | or or as a phone call or a different | 12:30:46 |
| 11 | conversation? | |
| 12 | A. Yeah, I think at the lunch meeting it may | |
| 13 | have come up, or like when we had this conversation | |
| 14 | about the people joining, that we have a lot of | |
| 15 | people with expertise in LiDAR. | 12:30:57 |
| 16 | Q. In the phone call? | |
| 17 | A. This phone call about the the names of | |
| 18 | people that would be joining. I don't know exactly | |
| 19 | in what conversation that's come up, but that | |
| 20 | was I I I had an understanding when I | 12:31:07 |
| 21 | joined Otto that we were that that that we | |
| 22 | had lot of expertise in in LiDAR so that that | |
| 23 | would also be one of the goals of the company to | |
| 24 | develop a LiDAR, yeah. | |
| 25 | Q. Mr. Levandowski, you knew, had had | 12:31:19 |
| | | Page 176 |

Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 192 of 196 ATTORNEYS EYES ONLY

| 1 | Q. This is an email sent from | 03:30:48 |
|----|--|----------|
| 2 | Anthony Levandowski at his Otto email address, | |
| 3 | right? On May 30th, 2016 to the "to" is to | |
| 4 | everyone. | |
| 5 | Was that a company-wide Otto email alias? | 03:30:58 |
| 6 | A. Not 100 percent sure. | |
| 7 | Q. Do you think you received this email? | |
| 8 | A. No, I think I did receive it. I I | |
| 9 | just don't think I read it. | |
| 10 | Q. My understanding or let me ask you | 03:31:20 |
| 11 | this: Did Mr. Levandowski send a lot of email | |
| 12 | updates to the whole team? | |
| 13 | A. No, but it doesn't completely strike me | |
| 14 | as outlandish either. Yeah, this is way too long | |
| 15 | an email for me to read, if I just see that, so | 03:31:33 |
| 16 | I I don't I I have not read this. | |
| 17 | Q. But your name is mentioned in it? | |
| 18 | A. Yeah, I know. I see that now. Yeah. | |
| 19 | Q. Wouldn't you want to read that part? | |
| 20 | A. Yeah, of course. That's super cool, | 03:31:44 |
| 21 | yeah. But, yeah, no, I probably did not read this. | |
| 22 | I probably was working on something else. | |
| 23 | Q. To the best of your recollection, as of | |
| 24 | late May 2016, was Otto in the 737 Harrison space | |
| 25 | yet? | 03:31:59 |
| | | Page 289 |

Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 193 of 196 ATTORNEYS EYES ONLY

| 1 | Q. (By Mr. Judah) What would make a road | 03:37:41 |
|----|--|----------|
| 2 | really hard versus not really hard? | |
| 3 | A. Straight. Flat is easy for us. | |
| 4 | Planning. Also, I guess, for perception. Windy | |
| 5 | and and up and down would be hard. | 03:37:53 |
| 6 | Q. So the second numbered item of this email | |
| 7 | from Mr. Levandowski is titled "Lasers in | |
| 8 | Pittsburgh." | |
| 9 | Do you see that? | |
| 10 | A. Uh-huh. | 03:38:07 |
| 11 | Q. So he starts by saying, "James was badass | |
| 12 | this weekend and showed that we can range on the | |
| 13 | target at around 140 meters with 1/4W laser." | |
| 14 | Do you see that? | |
| 15 | A. Yup. | 03:38:18 |
| 16 | Q. Do you know what that refers to? | |
| 17 | A. No, not I think well, he can see | |
| 18 | something at 140 meters. That's what I take out of | |
| 19 | it. | |
| 20 | The other $1/4	exttt{W}$, I don't know what that | 03:38:28 |
| 21 | stands for, but | |
| 22 | Q. Who is James? | |
| 23 | A. I don't know. | |
| 24 | Q. Is that James Haslim? | |
| 25 | A. I don't I don't know. | 03:38:36 |
| | | Page 296 |

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| 1 | than I don't want to grow as fast as people want | 05:18:16 |
|----|--|----------|
| 2 | me to grow. | |
| 3 | The person I I I would have to ask | |
| 4 | about that would be my direct manager, the one I | |
| 5 | report to. And that would be I'm blanking on | 05:18:25 |
| 6 | his name, my own manager. I mentioned his name | |
| 7 | earlier today. Brandon Basso. Sorry. | |
| 8 | Q. Uber has a CFO, right? A chief financial | |
| 9 | officer. | |
| 10 | A. I I'm sure Uber I I don't know. | 05:18:42 |
| 11 | Q. The way it's structured, does does ATG | |
| 12 | have its own sort of chief financial person for | |
| 13 | their group? | |
| 14 | MS. HARTNETT: Objection. | |
| 15 | MR. LIN: Objection to form. | 05:18:52 |
| 16 | THE DEPONENT: I don't know. | |
| 17 | MR. JUDAH: Let's mark as Exhibit 492 an | |
| 18 | email bearing Bates stamp strike that a | |
| 19 | document bearing Bates Stamp UBER00086538. | |
| 20 | (Exhibit 492 was marked for | 05:19:11 |
| 21 | identification by the court reporter and is | |
| 22 | attached hereto.) | |
| 23 | Q. (By Mr. Judah) Do you recognize | |
| 24 | Exhibit 492? | |
| 25 | A. No. | 05:19:51 |
| | | Page 372 |

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| 1 | Q. This is a this is a calendar | 05:19:55 |
|----|--|----------|
| 2 | invitation that you received, though, right? | |
| 3 | A. Uh-huh. Well, I mean, I infer from the | |
| 4 | fact that I was on the invitees list that I | |
| 5 | received it. | 05:20:00 |
| 6 | Q. It says that you're optional in that | |
| 7 | invite, right? | |
| 8 | A. Yeah. What does that mean? | |
| 9 | Q. Well, you probably received something | |
| 10 | like this. Do you know what that refers to? | 05:20:10 |
| 11 | A. I I don't. | |
| 12 | Q. You don't remember attending this | |
| 13 | meeting? | |
| 14 | A. I I I'm I'm sure I did not | |
| 15 | attend this meeting. | 05:20:18 |
| 16 | Q. Why are you sure you didn't attend it? | |
| 17 | A. I I I skip as many meetings as I | |
| 18 | possibly can, and this is definitely a meeting I | |
| 19 | would never attend because I have nothing to do | |
| 20 | with these with the topic of the meeting. | 05:20:29 |
| 21 | Q. With the "Fuji Laser Program Status and | |
| 22 | Timeline Presentation"? | |
| 23 | A. Right. | |
| 24 | MR. JUDAH: I mark as Exhibit 493 a | |
| 25 | document bearing Bates Stamp UBER000866. | 05:20:40 |
| | | Page 373 |

Case 3:17-cv-00939-WHA Document 1374-7 Filed 08/26/17 Page 196 of 196 ATTORNEYS EYES ONLY

1 I, Rebecca L. Romano, a Certified Shorthand Reporter of the State of California, do hereby 2 certify: 3 That the foregoing proceedings were taken 4 before me at the time and place herein set forth; that any witnesses in the foregoing proceedings, 5 prior to testifying, were administered an oath; 6 7 that a record of the proceedings was made by me using machine shorthand which was thereafter 8 9 transcribed under my direction; that the foregoing transcript is true record of the testimony given. Further, that if the foregoing pertains to the 10 original transcript of a deposition in a Federal 11 12 Case, before completion of the proceedings, review 13 of the transcript [] was [x] was not requested. 14 I further certify I am neither financially 15 interested in the action nor a relative or employee of any attorney or any party to this action. IN WITNESS WHEREOF, I have this date 16 subscribed my name. 17 18 Dated: August 3, 2017 19 2.0 2.1 22 23 24 Rebecca L. Romano, RPR, CSR. No 12546 2.5

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